



AVIVA
Life Insurance

IRDA Public Disclosures

QUARTER ENDED 30th JUNE 2017

Aviva Life Insurance Company India Limited

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UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED JUNE 30, 2017
Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | | NON-LINKED | | | | Q1 '17-18 (Rs. 000's) | YTD June '17 (Rs. 000's) |
|---|----------|---------------------|------------------------|----------------------|---------------------|------------------------|-----------------------------|--------------------------------|--------------------------|-----------------------------|
| | | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP (Rs. 000's) | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP - LIFE (Rs. 000's) | GROUP - PENSION (Rs. 000's) | | |
| Premiums earned – net | | | | | | | | | | |
| (a) Premium | L-4 | 731,066 | 67,155 | 76,752 | 1,158,388 | 24,477 | 71,519 | - | 2,129,357 | 2,129,357 |
| (b) Reinsurance ceded | | (10,035) | - | - | (114,350) | - | (10,650) | - | (135,034) | (135,034) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 721,031 | 67,155 | 76,752 | 1,044,038 | 24,477 | 60,869 | - | 1,994,322 | 1,994,322 |
| Income from Investments | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 403,979 | 46,292 | 53,446 | 663,549 | 39,345 | 32,504 | 97 | 1,239,212 | 1,239,212 |
| (b) Profit on sale/redemption of investments | | 966,909 | 140,433 | 39,125 | 1,033 | 541 | 61 | - | 1,148,102 | 1,148,102 |
| (c) (Loss) on sale/ redemption of investments | | (54,238) | (6,945) | (6,526) | - | - | - | - | (67,709) | (67,709) |
| (d) Transfer/Gain on revaluation/change in fair value | | 123,872 | 3,423 | 21,939 | - | - | - | - | 149,234 | 149,234 |
| (e) Appropriation/Expropriation Adjustment account | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 1,440,522 | 183,203 | 107,984 | 664,582 | 39,886 | 32,565 | 97 | 2,468,839 | 2,468,839 |
| Other Income | | | | | | | | | | |
| (a) Other Income | | (0) | - | - | 10,827 | 178 | - | - | 11,005 | 11,005 |
| (b) Transfer from Shareholders for Mortality charges as per IRDAI order | | 1,847 | - | - | 3,264 | - | - | - | 5,111 | 5,111 |
| (c) Contribution from the Shareholders' A/c | | 56,149 | - | 885 | 149,192 | 35,311 | 12,465 | 12,251 | 266,251 | 266,251 |
| TOTAL (A) | | 2,219,548 | 250,358 | 185,421 | 1,871,904 | 99,852 | 105,899 | 12,348 | 4,745,529 | 4,745,529 |
| Commission | L-5 | 7,568 | 201 | 279 | 43,647 | 418 | 272 | - | 52,383 | 52,383 |
| Service Tax on Linked Charges | | 35,315 | 2,525 | 943 | - | - | - | - | 38,783 | 38,783 |
| Operating Expenses related to Insurance Business | L-6 | 284,339 | 14,025 | 4,128 | 557,219 | 30,406 | 16,318 | 1,589 | 908,024 | 908,024 |
| Provision for Doubtful debts | | 606 | 30 | 9 | 1,187 | 65 | 35 | 3 | 1,935 | 1,935 |
| Bad debts written off | | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - |
| (b) Others | | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | | 327,827 | 16,781 | 5,359 | 602,053 | 30,888 | 16,625 | 1,592 | 1,001,125 | 1,001,125 |
| Benefits Paid (Net) | L-7 | 2,802,881 | 438,250 | 73,250 | 497,865 | 39,731 | 16,916 | 139,304 | 4,008,196 | 4,008,196 |
| Interim Bonuses Paid | | 2,756 | 330 | - | - | - | - | - | 3,086 | 3,086 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | |
| (a) Gross | | (904,577) | (205,218) | 107,012 | 771,932 | (4,948) | 72,358 | (128,549) | (291,990) | (291,990) |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - |
| (d) Reserve for discontinued policies | | 6,788 | - | - | - | - | - | - | 6,788 | 6,788 |
| TOTAL (C) | | 1,907,848 | 233,362 | 180,262 | 1,269,797 | 34,782 | 89,274 | 10,755 | 3,726,080 | 3,726,080 |
| TOTAL (B+C) | | 2,235,675 | 250,142 | 185,621 | 1,871,850 | 65,671 | 105,899 | 12,348 | 4,727,205 | 4,727,205 |
| SURPLUS/(DEFICIT) (D)=(A)-(B)-(C) | | (16,127) | 216 | - | 54 | 34,181 | - | - | 18,324 | 18,324 |
| APPROPRIATIONS | | | | | | | | | | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | (16,127) | (776) | - | 54 | (125) | - | - | (16,974) | (16,974) |
| Transfer to Balance sheet | | 0 | 992 | - | - | 34,306 | - | - | 35,298 | 35,298 |
| TOTAL (D) | | (16,127) | 216 | - | 54 | 34,181 | - | - | 18,324 | 18,324 |
| The break up of Total surplus is as under: | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 2,756 | 330 | - | - | - | - | - | 3,086 | 3,086 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | (16,127) | 216 | - | 54 | 34,181 | - | - | 18,324 | 18,324 |
| (d) Total Surplus: ((a)+(b)+(c)): | | (13,370) | 546 | - | 54 | 34,181 | - | - | 21,410 | 21,410 |

We certify that all expenses of Management (EOM) in respect of life insurance business transacted in India by the company have been fully debited to the Policyholders' Revenue Account as expenses, unless specifically instructed by IRDAI to do otherwise.

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED JUNE 30, 2016
Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | | NON-LINKED | | | | Q1 '16-17 (Rs. 000's) | YTD June '16 (Rs. 000's) |
|--|----------|---------------------|------------------------|----------------------|---------------------|------------------------|-----------------------------|--------------------------------|--------------------------|-----------------------------|
| | | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP (Rs. 000's) | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP - LIFE (Rs. 000's) | GROUP - PENSION (Rs. 000's) | | |
| Premiums earned – net | | | | | | | | | | |
| (a) Premium | L-4 | 852,551 | 85,458 | 87,170 | 1,122,439 | (6,130) | 41,008 | - | 2,182,496 | 2,182,496 |
| (b) Reinsurance ceded | | (12,021) | (0) | (1) | (111,593) | (0) | (8,176) | - | (131,792) | (131,792) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 840,529 | 85,458 | 87,169 | 1,010,845 | (6,130) | 32,832 | - | 2,050,704 | 2,050,704 |
| Income from Investments | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 498,633 | 59,938 | 46,114 | 548,813 | 40,697 | 35,543 | 3,310 | 1,233,048 | 1,233,048 |
| (b) Profit on sale/redemption of investments | | 1,094,919 | 146,339 | 12,849 | 963 | 10 | 52 | 5 | 1,255,136 | 1,255,136 |
| (c) (Loss) on sale/ redemption of investments | | (418,024) | (44,307) | (4,754) | (15) | (4) | (38) | (4) | (467,146) | (467,146) |
| (d) Transfer/Gain on revaluation/change in fair value | | 866,877 | 162,711 | 20,912 | - | - | - | - | 1,050,501 | 1,050,501 |
| Sub Total | | 2,042,405 | 324,680 | 75,120 | 549,761 | 40,703 | 35,558 | 3,311 | 3,071,539 | 3,071,539 |
| Other Income | | | | | | | | | | |
| (a) Other Income | | 20 | - | - | 8,746 | 228 | - | - | 8,993 | 8,993 |
| (b) Transfer from the Shareholders for Extra Mortality charges per IRDAI order | | 2,342 | - | - | 2,976 | 0 | - | - | 5,318 | 5,318 |
| (b) Contribution from the Shareholders' A/c | | - | - | 31,809 | 176,274 | - | 21,118 | 941 | 230,142 | 230,142 |
| TOTAL (A) | | 2,885,295 | 410,138 | 194,098 | 1,748,602 | 34,801 | 89,508 | 4,251 | 5,366,696 | 5,366,696 |
| Commission | L-5 | 4,504 | 182 | 45 | 21,303 | (27) | 78 | - | 26,088 | 26,088 |
| Service Tax on Premium | | 38,152 | 2,779 | 747 | - | - | - | - | 41,678 | 41,678 |
| Operating Expenses related to Insurance Business | L-6 | 230,499 | 11,961 | 2,651 | 613,197 | 6,165 | 11,834 | 905 | 877,210 | 877,210 |
| Provision for Doubtful debts | | 9,440 | 490 | 109 | 25,115 | 252 | 485 | 37 | 35,927 | 35,927 |
| Bad debts written off | | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - |
| (b) Others | | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | | 282,596 | 15,411 | 3,553 | 659,615 | 6,392 | 12,397 | 941 | 980,903 | 980,903 |
| Benefits Paid (Net) | L-7 | 2,467,084 | 386,654 | 80,799 | 356,960 | 95,198 | 136,267 | - | 3,522,961 | 3,522,961 |
| Interim Bonuses Paid | | 3,234 | 321 | - | - | - | - | - | 3,556 | 3,556 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | |
| (a) Gross | | (11,411) | (5,295) | 109,746 | 742,412 | (103,295) | (59,316) | 3,311 | 676,153 | 676,153 |
| (b) Amount ceded in Reinsurance | | 4,622 | - | - | (9,413) | 17 | 160 | - | (4,614) | (4,614) |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - |
| (d) Reserve for discontinued policies | | 88,642 | - | - | - | - | - | - | 88,642 | 88,642 |
| TOTAL (C) | | 2,552,171 | 381,682 | 190,545 | 1,089,959 | (8,080) | 77,111 | 3,311 | 4,286,698 | 4,286,698 |
| TOTAL (B+C) | | 2,834,767 | 397,093 | 194,098 | 1,749,574 | (1,689) | 89,508 | 4,252 | 5,267,601 | 5,267,601 |
| SURPLUS/DEFICIT (D)=(A)-(B)-(C) | | 50,529 | 13,046 | 1 | (972) | 36,490 | 0 | - | 99,095 | 99,095 |
| APPROPRIATIONS | | | | | | | | | | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | 24,668 | 3,429 | - | (974) | 275 | - | - | 27,399 | 27,399 |
| Transfer to Balance sheet | | 25,860 | 9,618 | - | 1 | 36,215 | (1) | - | 71,695 | 71,695 |
| TOTAL (D) | | 50,529 | 13,046 | - | (973) | 36,491 | (1) | - | 99,095 | 99,095 |
| The break up of Total surplus is as under: | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 3,234 | 321 | - | - | - | - | - | 3,555 | 3,555 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | 50,529 | 13,046 | - | (973) | 36,491 | (1) | - | 99,095 | 99,095 |
| (d) Total Surplus: ((a)+(b)+(c)): | | 53,763 | 13,368 | - | (973) | 36,492 | (1) | - | 102,650 | 102,650 |

We certify that all expenses of Management (EOM) in respect of life insurance business transacted in India by the company have been fully debited to the Policyholders' Revenue Account as expenses, unless specifically instructed by IRDAI to do otherwise.

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE PERIOD ENDED JUNE 30, 2017
Shareholders' Account (Non-technical Account)

| Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|--|---------------------|---------------------|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Income From Investments | | | | |
| (a) Interest, Dividends and Rent – Gross | 144,086 | 144,086 | 159,188 | 159,188 |
| (b) Profit on sale/redemption of investments | 4,153 | 4,153 | 899 | 899 |
| (c) (Loss) on sale/ redemption of investments | - | - | - | - |
| Other Income | - | - | - | - |
| TOTAL (A) | 148,239 | 148,239 | 160,087 | 160,087 |
| Expense other than those directly related to the insurance business | 18,967 | 18,967 | 20,236 | 20,236 |
| Bad debts written off | - | - | - | - |
| Provisions (Other than taxation) | - | - | - | - |
| (a) For diminution in the value of investments (net) | - | - | - | - |
| (b) Provision for doubtful debts | - | - | - | - |
| (c) Others | - | - | - | - |
| Contribution to the Policyholders Account (Technical Account) | 266,251 | 266,251 | 230,142 | 230,142 |
| TOTAL (B) | 285,219 | 285,219 | 250,378 | 250,378 |
| Profit/ (Loss) before tax | (136,980) | (136,980) | (90,291) | (90,291) |
| Provision for Taxation | - | - | - | - |
| Profit / (Loss) after tax | (136,980) | (136,980) | (90,291) | (90,291) |
| APPROPRIATIONS | | | | |
| (a) Balance at the beginning of the year | (12,985,243) | (12,985,243) | (12,615,263) | (12,615,263) |
| (b) Interim dividends paid during the year | - | - | - | - |
| (c) Proposed final dividend | - | - | - | - |
| (d) Dividend distribution on tax | - | - | - | - |
| (e) Transfer to reserves/ other accounts | - | - | - | - |
| Profit/ (Loss) carried forward to the Balance Sheet | (13,122,223) | (13,122,223) | (12,705,554) | (12,705,554) |
| Earnings per equity share | | | | |
| Basic and diluted earnings per equity share (Face value of Rs. 10 per share) | | (0.07) | | (0.05) |

FORM L-3-A-B5
Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002
UNAUDITED BALANCE SHEET AS AT JUNE 30, 2017

| Particulars | Schedule | AS AT JUNE 30, 2017 (Rs. 000's) | AS AT JUNE 30, 2016 (Rs. 000's) |
|---|----------|------------------------------------|------------------------------------|
| SOURCES OF FUNDS | | | |
| SHAREHOLDERS' FUNDS: | | | |
| SHARE CAPITAL | L-8,L-9 | 20,049,000 | 20,049,000 |
| RESERVES AND SURPLUS | L-10 | - | - |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | (912) | - |
| Sub-Total | | 20,048,088 | 20,049,000 |
| BORROWINGS | | | |
| POLICYHOLDERS' FUNDS: | | | |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | (6,133) | 921 |
| SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account | | 35,298 | 71,695 |
| POLICY LIABILITIES | | | |
| Linked - Individual - Life - Non Participating | | 306,646 | 485,250 |
| Linked - Individual - Pension - Non Participating | | 12,731 | 11,540 |
| Non Linked - Individual - Life - Participating | | 71,457 | 54,635 |
| Non Linked - Individual - Pension - Participating | | 13,722 | 13,848 |
| Non Linked - Individual - Life - Non Participating | | 33,277,174 | 26,915,926 |
| Non Linked - Individual - Pension - Non Participating | | 1,490,214 | 1,477,654 |
| Non Linked - Group - Life - Non Participating | | 1,182,292 | 1,546,494 |
| Non Linked - Group - Pension - Non Participating | | 516,342 | 180,578 |
| Non Linked - Annuity - Non Participating | | 307,467 | 281,671 |
| Non Linked - Variable group | | 217,230 | 288,669 |
| Non Linked Health Non Participating | | 66,708 | 47,143 |
| INSURANCE RESERVES | | | |
| PROVISION FOR LINKED LIABILITIES | | | |
| Linked - Individual - Life - Participating | | 602,068 | 580,669 |
| Linked - Individual - Pension - Participating | | 57,374 | 58,460 |
| Linked - Individual - Life - Non Participating | | 34,206,551 | 35,754,050 |
| Linked - Individual - Pension - Non Participating | | 4,898,694 | 5,518,027 |
| Linked - Group - Life - Non Participating | | 3,201,133 | 2,622,079 |
| Discontinued Policies Fund - Non payment of premium | | 2,228,416 | 3,173,213 |
| Sub-Total | | 82,685,384 | 79,082,524 |
| FUNDS FOR FUTURE APPROPRIATIONS | | | |
| FFA - Others | | 652,185 | 563,230 |
| TOTAL | | 103,385,658 | 99,694,754 |
| APPLICATION OF FUNDS | | | |
| INVESTMENTS | | | |
| - Shareholders' | L-12 | 6,970,748 | 7,423,314 |
| - Policyholders' | L-13 | 37,864,779 | 33,676,037 |
| Assets held to cover linked liabilities | L-14 | 45,837,485 | 48,266,766 |
| LOANS | | | |
| | L-15 | - | - |
| FIXED ASSETS | | | |
| | L-16 | 133,294 | 158,188 |
| CURRENT ASSETS | | | |
| Cash & Bank Balance | L-17 | 373,804 | 115,615 |
| Advances And Other Assets | L-18 | 3,707,824 | 1,690,303 |
| Sub-Total (A) | | 4,081,628 | 1,805,918 |
| CURRENT LIABILITIES | | | |
| PROVISIONS | L-20 | 142,800 | 116,417 |
| | L-19 | 4,481,699 | 4,224,607 |
| Sub-Total (B) | | 4,624,499 | 4,341,024 |
| NET CURRENT ASSETS (C) = (A - B) | | (542,871) | (2,535,106) |
| MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | L-21 | - | - |
| DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account) | | 13,122,223 | 12,705,555 |
| TOTAL | | 103,385,658 | 99,694,754 |

| Particulars | 30 June 2017 (Rs. 000's) | 30 June 2016 (Rs. 000's) |
|--|-----------------------------|-----------------------------|
| Partly paid-up investments | Nil | Nil |
| Underwriting commitments outstanding (in respect of shares and securities) | Nil | Nil |
| Claims, other than those under policies, not acknowledged as debts | 25,122 | 17,540 |
| Guarantees given by or on behalf of the Company | Nil | Nil |
| Reinsurance obligations to the extent not provided for in accounts | Nil | Nil |
| Claims under policies not acknowledged as debts | 213,650 | 166,226 |
| Statutory demands/liabilities in dispute, not provided for | | Refer below |

Company is in receipt of show cause notices amounting to Rs. (000's) 583,176 (Previous Year Rs. (000's) 583,176) (with proposal to levy interest and penalty) in respect of service tax pertaining to payouts to/ for advisors. During the year issue of commission being inclusive of service tax has been dropped by Commissioner giving 100% relief in respect of Rs. (000's) 538,152. In respect of remaining two issues pertaining to Conveyance charges & Foreign & Domestic tours for agents, demand of Rs (000's) 45,023 has been confirmed. In addition to the above, penalty of Rs. (000's) 44,729 has also been confirmed. Company has filed an appeal before CESTAT.

Company has received Commissioner's order in respect of service tax on surrender charges and upholding penalty thereon amounting to Rs. (000's) 780,313 (Previous Year Rs. (000) 780,313 as per SCN. Commissioner has also upheld imposition of interest as per SCN. Appeal before CESTAT is filed and case is yet to be placed for hearing.

Company has received Commissioner's order in respect of service tax on difference between forex payments as per financials and return for Rs. (000's) 7,683 (Previous Year Rs. (000) 7,683) and has upheld penalty thereon amounting to Rs. (000's)15,366 (Previous Year Rs. (000's) 15,366). Imposition of interest as per SCN has also been upheld. Appeal before CESTAT is filed and case is yet to be placed for hearing.

Company had received show cause notice amounting to Rs. (000's) 216,525 (Previous Year Rs. (000) 216,525) in respect of service tax on ULIP charges other than FMC along with a proposal to levy interest and penalty. Matter has been heard and order is awaited.

Based on legal opinion, management believes that at present no provision is required in the books of accounts in respect of above matters.

FORM L-4-PREMIUM SCHEDULE

| Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|----------------------|------------------|------------------|------------------|------------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| First year premiums | 382,268 | 382,268 | 318,374 | 318,374 |
| Renewal premiums | 1,731,430 | 1,731,430 | 1,843,334 | 1,843,334 |
| Single premiums | 15,659 | 15,659 | 20,788 | 20,788 |
| TOTAL PREMIUM | 2,129,357 | 2,129,357 | 2,182,496 | 2,182,496 |

Premium Income from business written :

| | | | | |
|----------------------|------------------|------------------|------------------|------------------|
| In India | 2,129,357 | 2,129,357 | 2,182,496 | 2,182,496 |
| Outside India | - | - | - | - |
| TOTAL PREMIUM | 2,129,357 | 2,129,357 | 2,182,496 | 2,182,496 |

FORM L-5 - COMMISSION SCHEDULE

| Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|---|---------------|---------------|---------------|---------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Commission Paid | | | | |
| Direct - First year premiums | 31,240 | 31,240 | 1,515 | 1,515 |
| - Renewal premiums | 21,144 | 21,144 | 24,450 | 24,450 |
| - Single premiums | - | - | 124 | 124 |
| Total (A) | 52,384 | 52,384 | 26,088 | 26,088 |
| Add : Commission on Re-insurance Accepted | - | - | - | - |
| Less : Commission on Re-insurance Ceded | - | - | - | - |
| Net Commission | 52,384 | 52,384 | 26,088 | 26,088 |
| Break-up of the expenses (Gross) incurred to procure business: | | | | |
| Agents | 34,998 | 34,998 | 36,652 | 36,652 |
| Brokers | 2,847 | 2,847 | 3,297 | 3,297 |
| Corporate Agency | 13,437 | 13,437 | (14,073) | (14,073) |
| Referral | (2) | (2) | (3) | (3) |
| Others (IMF) | 1,103 | 1,103 | 215 | 215 |
| TOTAL (B) | 52,383 | 52,383 | 26,088 | 26,088 |

FORM L-6- OPERATING EXPENSES SCHEDULE

| Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|---|----------------|----------------|----------------|----------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Employees' remuneration and welfare benefits | 527,934 | 527,934 | 510,711 | 510,711 |
| Travel, conveyance and vehicle running expenses | 11,622 | 11,622 | 11,057 | 11,057 |
| Training expenses | 18,973 | 18,973 | 19,606 | 19,606 |
| Rents, rates and taxes | 99,362 | 99,362 | 94,309 | 94,309 |
| Repairs | 1,107 | 1,107 | 763 | 763 |
| Printing and stationery | 9,897 | 9,897 | 8,980 | 8,980 |
| Communication expenses | 15,909 | 15,909 | 14,748 | 14,748 |
| Legal and professional charges | 15,981 | 15,981 | 51,175 | 51,175 |
| Medical fees | 3,029 | 3,029 | 3,455 | 3,455 |
| Auditors' fees, expenses etc | | | | |
| a) as auditor | 1,973 | 1,973 | 1,595 | 1,595 |
| b) as adviser or in any other capacity, in respect of | | | | |
| (i) Taxation matters | - | - | - | - |
| (ii) Insurance matters | - | - | - | - |
| (iii) Management services; and | - | - | - | - |
| c) in any other capacity | 250 | 250 | 125 | 125 |
| Advertisement and publicity | 56,589 | 56,589 | 18,964 | 18,964 |
| Interest and bank charges | 7,465 | 7,465 | 3,832 | 3,832 |
| Information technology and related expenses | 39,714 | 39,714 | 33,178 | 33,178 |
| Service tax on premium | 2,956 | 2,956 | 4,166 | 4,166 |
| Others | - | - | - | - |
| a) Office maintenance | 48,025 | 48,025 | 36,705 | 36,705 |
| b) Electricity | 12,914 | 12,914 | 15,971 | 15,971 |
| c) Recruitment | 5,523 | 5,523 | 18,293 | 18,293 |
| d) Miscellaneous expenses | 5,183 | 5,183 | 4,038 | 4,038 |
| Depreciation | 23,618 | 23,618 | 25,541 | 25,541 |
| TOTAL | 908,024 | 908,024 | 877,210 | 877,210 |

FORM L-7-BENEFITS PAID SCHEDULE

| Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|------------------------------------|------------------|------------------|------------------|------------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Insurance Claims | | | | |
| (a) Claims by Death, | 273,098 | 273,098 | 247,710 | 247,710 |
| (b) Claims by Maturity, | 399,269 | 399,269 | 216,122 | 216,122 |
| (c) Annuities/Pension payment | 216,015 | 216,015 | 113,234 | 113,234 |
| (d) Other benefits | | | | |
| - Riders | 2,556 | 2,556 | 1,814 | 1,814 |
| - Surrender | 3,054,781 | 3,054,781 | 2,874,137 | 2,874,137 |
| - Health | 8,500 | 8,500 | 3,500 | 3,500 |
| - Periodical Benefit | 173,199 | 173,199 | 156,939 | 156,939 |
| - Interest to policy holders | 32,578 | 32,578 | 30,738 | 30,738 |
| (Amount ceded in reinsurance): | | | | |
| (a) Claims by Death, | (151,801) | (151,801) | (121,230) | (121,230) |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Other benefits | | | | |
| - Riders | - | - | - | - |
| - Surrender | - | - | - | - |
| - Health | - | - | - | - |
| - Periodical Benefit | - | - | - | - |
| Amount accepted in reinsurance : | | | | |
| (a) Claims by Death, | - | - | - | - |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Other benefits | | | | |
| - Riders | - | - | - | - |
| - Surrender | - | - | - | - |
| - Health | - | - | - | - |
| - Periodical Benefit | - | - | - | - |
| TOTAL | 4,008,196 | 4,008,197 | 3,522,962 | 3,522,961 |
| Benefits paid to claimants: | | | | |
| In India | 4,008,196 | 4,008,197 | 3,522,962 | 3,522,961 |
| Outside India | - | - | - | - |
| Total Benefits paid (Net) | 4,008,196 | 4,008,197 | 3,522,962 | 3,522,961 |

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-8-SHARE CAPITAL SCHEDULE

SHARE CAPITAL

| Particulars | AS AT JUNE 30, 2017 (Rs. 000's) | AS AT JUNE 30, 2016 (Rs. 000's) |
|--|------------------------------------|------------------------------------|
| Authorized Capital 2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each | 25,000,000 | 25,000,000 |
| Issued, Subscribed and Called up Capital 2,004,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up | 20,049,000 | 20,049,000 |
| Less : Calls unpaid | - | - |
| Add : Shares forfeited (Amount originally paid up) | - | - |
| Less: Par value of Equity Shares bought back | - | - |
| Less: Preliminary expenses | - | - |
| Expenses including commission or brokerage or underwriting or subscription of shares. | - | - |
| TOTAL | 20,049,000 | 20,049,000 |

* 1,022,499,000 equity shares (Previous year 1,483,626,000) are held in the name of Partners, who are holding these shares on behalf of Dabur Invest Corp. (Partnership Firm). During the year, NIL (Previous Year NIL) equity shares of Rs. 10 each were allotted, at par value.

FORM L-9- PATTERN OF SHAREHOLDING SCHEDULE

| Shareholder | AS AT JUNE 30, 2017 | | AS AT JUNE 30, 2016 | |
|--|----------------------|--------------|----------------------|--------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| - Indian - Dabur Invest Corp.(Partnership Firm) | 1,022,499,000 | 51% | 1,022,499,000 | 51% |
| - Foreign - Aviva International Holdings Limited, UK | 982,401,000 | 49% | 982,401,000 | 49% |
| Others | | | | |
| TOTAL | 2,004,900,000 | 100% | 2,004,900,000 | 100% |

FORM L-10-RESERVES AND SURPLUS SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|--|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| Capital Reserve | - | - |
| Capital Redemption Reserve | - | - |
| Share Premium | - | - |
| Revaluation Reserve | - | - |
| General Reserves | - | - |
| Less: Debit balance in Profit and Loss Account, if any | - | - |
| Less: Amount utilized for Buy-back | - | - |
| Catastrophe Reserve | - | - |
| Other Reserves | - | - |
| Balance of profit in Profit and Loss Account | - | - |
| TOTAL | - | - |

FORM L-11-BORROWINGS SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|------------------------|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| Debentures/ Bonds | - | - |
| Banks | - | - |
| Financial Institutions | - | - |
| Others | - | - |
| TOTAL | - | - |

Form L-12 - INVESTMENTS-SHAREHOLDERS

| Particulars | AS AT JUNE 30, 2017 (Rs. 000's) | AS AT JUNE 30, 2016 (Rs. 000's) |
|--|------------------------------------|------------------------------------|
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 25,73,796 Previous Year 22,97,288) | 2,440,059 | 2,259,965 |
| Other Approved Securities (Market value (Rs '000) Current Year 10,77,987 Previous Year 10,61,578) | 1,035,593 | 1,030,353 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds (Market value (Rs '000) Current Year 2,82,626 Previous Year 1,62,562) | 261,640 | 150,000 |
| (e) Other Securities (Term Deposits) (Market value (Rs '000) Current Year 7,500 Previous Year 1,78,200) | 7,500 | 178,200 |
| (f) Subsidiaries | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures (Market value (Rs '000) Current Year 25,09,949 Previous Year 22,20,148) | 2,416,694 | 2,190,405 |
| Equity Shares (Market value (Rs '000) Current Year 28,030 Previous Year NIL) | 28,030 | - |
| Other Investments | | |
| Debentures/ Bonds | - | - |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 95,901 Previous Year 6,13,321) | 95,900 | 613,316 |
| Other Approved Securities (Market value (Rs '000) Current Year 1,02,526 Previous Year NIL) | 100,807 | - |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds (Market value (Rs '000) Current Year NIL Previous Year 2,24,374) | - | 223,789 |
| (e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits) (Market value (Rs '000) Current Year 2,07,740 Previous Year 3,53,205) | 207,740 | 353,205 |
| (f) Other Securities (Reverse Repo) (Market value (Rs '000) Current Year 1,36,562 Previous Year 7,596) | 136,562 | 7,596 |
| (g) Subsidiaries | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures (Market value (Rs '000) Current Year 2,41,041 Previous Year 4,18,513) | 240,222 | 416,484 |
| Other Investments | - | - |
| TOTAL | 6,970,748 | 7,423,314 |
| INVESTMENTS | | |
| In India | 6,970,748 | 7,423,314 |
| Outside India | - | - |
| TOTAL | 6,970,748 | 7,423,314 |

Form L-13 - INVESTMENTS-POLICYHOLDERS

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|--|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 2,72,76,414 Previous Year 2,20,28,738) | 24,880,907 | 20,887,472 |
| Other Approved Securities (Market value (Rs '000) Current Year 7,70,524 Previous Year 3,26,247) | 749,536 | 319,512 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity (Market value (Rs '000) Current Year 7,138 Previous Year 6,191) | 7,138 | 5,364 |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds (Market value (Rs '000) Current Year 13,23,592 Previous Year 2,65,525) | 1,276,889 | 255,139 |
| (e) Other Securities (Term Deposits) (Market value (Rs '000) Current Year 2,01,700 Previous Year 2,01,700) | 201,700 | 201,700 |
| (f) Subsidiaries | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures (Market value (Rs '000) Current Year 89,56,616 Previous Year 66,78,521) | 8,332,031 | 6,469,953 |
| Equity Shares (Market value (Rs '000) Current Year 2,89,935 Previous Year 717) | 289,935 | 605 |
| Other Investments | | |
| Equity Shares (Market value (Rs '000) Current Year 126 Previous Year NIL) | 126 | 14 |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 7,52,834 Previous Year 25,91,869) | 752,731 | 2,591,869 |
| Other Approved Securities (Market value (Rs '000) Current Year 3,043 Previous Year NIL) | 3,024 | - |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | 4 | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds (Market value (Rs '000) Current Year NIL Previous Year 2,30,399) | - | 230,267 |
| (e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits) (Market value (Rs '000) Current Year 2,47,280 Previous Year 11,06,024) | 247,280 | 1,106,024 |
| (f) Other Securities (Reverse Repo) (Market value (Rs '000) Current Year 6,08,275 Previous Year 9,81,048) | 608,275 | 981,048 |
| (g) Subsidiaries | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures (Market value (Rs '000) Current Year 5,16,343 Previous Year 6,28,127) | 515,204 | 627,069 |
| Commercial Paper | - | - |
| Other Investments | - | - |
| TOTAL | 37,864,779 | 33,676,037 |
| INVESTMENTS | | |
| In India | 37,864,779 | 33,676,037 |
| Outside India | - | - |
| TOTAL | 37,864,779 | 33,676,037 |

Aviva Life Insurance Company India Limited
Form L-14 - INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

| Particulars | AS AT JUNE 30, 2017 (Rs. 000's) | AS AT JUNE 30, 2016 (Rs. 000's) |
|--|------------------------------------|------------------------------------|
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills (Historic value('000) Current Year 63,45,336 Previous Year 70,17,868) | 6,469,842 | 7,207,716 |
| Other Approved Securities (Historic value('000)Current Year 86,006 Previous Year 2,09,438) | 91,783 | 221,848 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity (Historic value('000)Current Year 1,56,14,276 Previous Year 1,69,08,897) | 19,381,273 | 20,091,150 |
| (bb) Preference (Historic value('000)Current Year NIL Previous Year NIL) | - | 3,510 |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/Bonds (Historic value('000)Current Year 26,00,792 Previous Year 24,61,542) | 2,877,158 | 2,635,319 |
| (e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers) (Historic value('000)Current Year 3,000 Previous Year 48,500) | 3,000 | 48,500 |
| (f) Subsidiaries | - | - |
| Investments in Infrastructure, Social Sector and Housing : | | |
| (a) Non Convertible Debentures (Historic value('000)Current Year 49,68,004 Previous Year 25,76,618) | 5,152,335 | 2,693,813 |
| (b) Equity (Historic value('000)Current Year 32,91,908 Previous Year 40,92,872) | 4,028,238 | 4,505,470 |
| Other Investments | | |
| (a) Equity Shares* (Historic value('000)Current Year 2,17,104 Previous Year 11,34,280) | 596,804 | 1,198,832 |
| (b) Debentures/Bonds | - | - |
| (c) Mutual Funds (Historic value('000)Current Year 14,12,939 Previous Year NIL) | 1,412,939 | - |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills | | |
| Government Securities and Government guaranteed bonds (Historic value('000)Current Year 21,47,365 Previous Year 36,48,900) | 2,187,568 | 3,740,196 |
| Other Approved Securities | - | - |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference (Historic value('000)Current Year 27,092 Previous Year NIL) | 27,092 | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds (Historic value('000)Current Year 71,307 Previous Year 4,01,678) | 70,609 | 403,900 |
| (e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers) (Historic value('000)Current Year 42,801 Previous Year 7,69,655) | 42,990 | 781,608 |
| (f) Other Securities (Reverse Repo) (Historic value('000)Current Year NIL Previous Year 43,50,746) | - | 4,350,746 |
| (g) Subsidiaries | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures (Historic value('000)Current Year 1,43,729 Previous Year 6,26,071) | 145,192 | 651,342 |
| Other Investments | | |
| Balances in Bank | (40,092) | 14,881 |
| Other Current Assets (net) | 3,390,755 | (282,065) |
| TOTAL | 45,837,485 | 48,266,766 |
| INVESTMENTS | | |
| In India | 45,837,485 | 48,266,766 |
| Outside India | - | - |
| TOTAL | 45,837,485 | 48,266,766 |

*other investment in Infrastructure has been considered under Long term Infrastructure

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-15-LOANS SCHEDULE

| Particulars | AS AT JUNE 30, 2017 (Rs. 000's) | AS AT JUNE 30, 2016 (Rs. 000's) |
|--|------------------------------------|------------------------------------|
| Security-Wise Classification | | |
| <i>Secured</i> | | |
| (a) On mortgage of property | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) On Shares, Bonds, Govt. Securities etc | - | - |
| (c) Loans against policies | - | - |
| (d) Others (to be specified) | - | - |
| <i>Unsecured</i> | - | - |
| TOTAL | - | - |
| BORROWER-WISE CLASSIFICATION | | |
| (a) Central and State Governments | - | - |
| (b) Banks and Financial Institutions | - | - |
| (c) Subsidiaries | - | - |
| (d) Companies | - | - |
| (e) Loans against policies | - | - |
| (f) Others | - | - |
| TOTAL | - | - |
| PERFORMANCE-WISE CLASSIFICATION | | |
| (a) Loans classified as standard | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) Non-standard loans less provisions | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| TOTAL | - | - |
| MATURITY-WISE CLASSIFICATION | | |
| (a) Short Term | - | - |
| (b) Long Term | - | - |
| Total | - | - |

FORM L-16-FIXED ASSETS SCHEDULE

| Particulars | Cost/ Gross Block | | | | Depreciation / Amortisation | | | | Net Block | |
|---|------------------------------|-----------------------------|---------------|-----------------------------|------------------------------|----------------|--------------------|-----------------------------|---------------------|---------------------|
| | BALANCE AS ON MARCH 31, 2017 | ADDITIONS DURING THE PERIOD | DEDUCTIONS | BALANCE AS ON JUNE 30, 2017 | BALANCE AS ON MARCH 31, 2017 | FOR THE PERIOD | SALES/ ADJUSTMENTS | BALANCE AS ON JUNE 30, 2017 | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
| Intangibles | | | | | | | | | | |
| - Software | 458,518 | 2,679 | - | 461,197 | 375,062 | 12,498 | 16 | 387,544 | 73,653 | 70,507 |
| Tangibles | | | | | | | | | | |
| Leasehold Improvements | 316,634 | 1,905 | - | 318,539 | 293,199 | 2,905 | - | 296,103 | 22,435 | 21,096 |
| Buildings | - | - | - | - | - | - | - | - | - | - |
| Furniture & Fittings | 150,364 | 427 | 3,051 | 147,740 | 146,962 | 103 | 427 | 146,637 | 1,104 | 2,065 |
| Information Technology Equipment | 475,259 | 88 | 18,616 | 456,731 | 439,799 | 6,800 | 18,616 | 427,983 | 28,748 | 47,450 |
| Vehicles | 2,771 | 12 | - | 2,782 | 1,681 | 96 | - | 1,777 | 1,005 | (0) |
| Office Equipment | 81,368 | 948 | 123 | 82,193 | 77,214 | 1,217 | 111 | 78,320 | 3,874 | 7,384 |
| Sub Total | 1,484,914 | 6,059 | 21,790 | 1,469,183 | 1,333,916 | 23,618 | 19,170 | 1,338,365 | 130,818 | 148,501 |
| Capital Work In Progress - (including capital advances) | - | - | - | - | - | - | - | - | 2,475 | 9,687 |
| TOTAL | 1,484,914 | 6,059 | 21,790 | 1,469,183 | 1,333,916 | 23,618 | 19,170 | 1,338,365 | 133,294 | 158,188 |
| PREVIOUS YEAR | 1,489,365 | 15,841 | 10,224 | 1,494,982 | 1,322,141 | 25,541 | 1,203 | 1,346,482 | 158,188 | |

FORM L-17-CASH AND BANK BALANCE SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|---|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| Cash (including cheques, drafts and stamps) | 2,543 | 7,044 |
| Bank Balances | | |
| (a) Deposit Accounts | | |
| (aa) Short-term (due within 12 months of the date of balance sheet) | 138,000 | 7,085 |
| (bb) Others | - | - |
| (b) Current Accounts | 233,261 | 101,486 |
| (c) Others | - | - |
| Money at Call and Short Notice | | |
| (a) With Banks | - | - |
| (b) With other Institutions | - | - |
| Others | - | - |
| TOTAL | 373,804 | 115,615 |
| <i>Balances with non-scheduled banks included above</i> | - | - |
| CASH AND BANK BALANCES | | |
| In India | 373,804 | 115,615 |
| Outside India | - | - |
| TOTAL | 373,804 | 115,615 |

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | | AS AT JUNE 30, 2016 | |
|--|---------------------|------------------|---------------------|------------------|
| | | (Rs. 000's) | | (Rs. 000's) |
| ADVANCES | | | | |
| Reserve deposits with ceding companies | | - | | - |
| Application money for investments | | - | | - |
| Prepayments | | 60,864 | | 53,725 |
| Advances to Directors/Officers | | - | | - |
| Advance tax paid and taxes deducted at source (Net of provision for taxation) | 19,007 | | 19,163 | |
| Less: Provision for doubtful debts | <u>(81)</u> | 18,926 | <u>(11,744)</u> | 7,419 |
| Others (includes vendor, travel advances & salary recoverable) | | | | |
| | 115,059 | | 124,782 | |
| Less : Provision for doubtful debts | <u>(11,768)</u> | 103,291 | <u>(14,748)</u> | 110,033 |
| TOTAL (A) | | 183,081 | | 171,178 |
| OTHER ASSETS | | | | |
| Income accrued on investments | | 1,131,870 | | 918,348 |
| Outstanding Premiums | | 183,864 | | 241,491 |
| Agents' Balances | 74,533 | | 100,602 | |
| Less : Provision for doubtful debts | <u>(71,773)</u> | 2,760 | <u>(97,007)</u> | 3,595 |
| Foreign Agencies' Balances | | - | | - |
| Due from other entities carrying on insurance business (including reinsurers) | | 61,885 | | 27,440 |
| Deposit with Reserve Bank of India (In Pursuant to Sec 7 of Insurance Act, 1938) (Refer Sch 8) | | - | | - |
| Others | | | | |
| - Investment pertaining to Unclaimed Policyholders Fund | | 1,808,581 | | - |
| -Refundable Security Deposits | 337,280 | | 332,326 | |
| Less : Provision for doubtful deposit | <u>(18,939)</u> | 318,341 | <u>(15,515)</u> | 316,811 |
| - Unsettled Contracts | | - | | - |
| -Service Tax Unutilized Credit | | 17,442 | | 11,441 |
| TOTAL (B) | | 3,524,743 | | 1,519,127 |
| TOTAL (A+B) | | 3,707,824 | | 1,690,303 |

FORM L-19-CURRENT LIABILITIES SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|--|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| Agents' Balances | 227,810 | 172,901 |
| Balances due to other insurance companies | 14,610 | 10,089 |
| Deposits held on re-insurance ceded | - | - |
| Premiums received in advance | 63,894 | 72,368 |
| Unallocated premium | 119,728 | 58,701 |
| Sundry creditors | | |
| Micro, Small & Medium Enterprises | - | - |
| Others | 142,925 | 148,057 |
| Accrual for expenses | 667,682 | 566,145 |
| Unclaimed Amount - Policyholders | 2,544,925 | 2,273,300 |
| Claims Outstanding | 125,215 | 145,738 |
| Due to Officers/Directors | - | - |
| Others: | | |
| Others (includes statutory dues payable and payables to employees) | 126,364 | 130,796 |
| Service Tax payable | 7,508 | 27,061 |
| Payables for unsettled investment contracts | 28,879 | - |
| Temporary book overdrafts | 173,528 | 398,192 |
| Payable to Policyholders | 238,630 | 221,259 |
| TOTAL | 4,481,699 | 4,224,607 |

FORM L-20-PROVISIONS SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|---|---------------------|---------------------|
| | (Rs. 000's) | (Rs. 000's) |
| For taxation (less payments and taxes deducted at source) | - | - |
| For proposed dividends | - | - |
| For dividend distribution tax | - | - |
| Others: | | |
| Provision for Gratuity | 18,598 | 5,657 |
| Provision for Leave Encashment | 14,877 | 14,278 |
| Provision for Other Long Term Benefits | 76,703 | 71,524 |
| Provision for Other Employee Benefits | 32,622 | 24,959 |
| TOTAL | 142,800 | 116,417 |

FORM L-21-MISC EXPENDITURE SCHEDULE

| Particulars | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
|---|----------------------------|----------------------------|
| | (Rs. 000's) | (Rs. 000's) |
| Discount Allowed in issue of shares/ debentures | - | - |
| Others | - | - |
| TOTAL | - | - |

FORM L-22 Analytical Ratios

| S.No. | Particulars | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
|---|---|-----------|--------------|-----------|--------------|
| 1 | New business premium income growth rate - segment wise | | | | |
| | Linked Individual - Life Participating | 0% | 0% | NA | NA |
| | Linked Individual - Pension Participating | NA | NA | NA | NA |
| | Linked Individual - Life Non Participating | (19)% | (19)% | 85% | 85% |
| | Linked Individual - Pension Non Participating | 118% | 118% | 73% | 73% |
| | Linked Group - Non Participating | (15)% | (15)% | -81% | -81% |
| | Non Linked Individual - Life Participating | 69% | 69% | 35% | 35% |
| | Non Linked Individual - Pension Participating | NA | NA | NA | NA |
| | Non Linked Individual - Life Non Participating | 25% | 25% | -60% | -60% |
| | Non Linked Individual - Pension Non Participating | 257% | 257% | -18% | -18% |
| | Non Linked Group - Life Non Participating | 1768% | 1768% | -93% | -93% |
| | Non Linked Group - Pension Non Participating | 0% | 0% | NA | NA |
| | Non Linked Annuity Non Participating | 59% | 59% | -41% | -41% |
| | Non Linked - Variable - Group | (3)% | (3)% | 733% | 733% |
| | Non Linked Health Non Participating | 510% | 510% | -36% | -36% |
| 2 | Net Retention Ratio | 94% | 94% | 94% | 94% |
| 3 | Expense of Management to Gross Direct Premium Ratio | 46% | 46% | 42% | 42% |
| 4 | Commission Ratio (Gross commission paid to Gross Premium) | 3% | 3% | 1% | 1% |
| 5 | Ratio of policy holder's liabilities to shareholder's funds | 12.03 | 12.03 | 10.84 | 10.84 |
| 6 | Growth rate of shareholders' fund | (5.67)% | (5.67)% | 8% | 8% |
| 7 | Ratio of surplus to policyholders' liability | (0.30)% | (0.30)% | (0.16)% | (0.16)% |
| 8 | Change in net worth in ('000) | (453,977) | (453,977) | 528,691 | 528,691 |
| 9 | Profit after tax/Total Income | (3)% | (3)% | (2)% | (2)% |
| 10 | (Total real estate + loans)/(Cash & invested assets) | NA | NA | - | - |
| 11 | Total investments/(Capital + Surplus) | 4.52 | 4.52 | 4.46 | 4.46 |
| 12 | Total affiliated investments/(Capital+ Surplus) | - | - | - | - |
| 13A | Investment Yield (Gross) with total gains | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 2.3% | 2.3% | 2.0% | 2.0% |
| | R2. Non-PAR | 2.0% | 2.0% | 2.0% | 2.0% |
| | R3.Sub-TOTAL | 2.0% | 2.0% | 2.0% | 2.0% |
| | Linked: | | | | |
| | R4. PAR | 2.8% | 2.8% | 3.1% | 3.1% |
| | R5. Non-PAR | 3.4% | 3.4% | 4.6% | 4.6% |
| | R6. Sub-TOTAL | 3.4% | 3.4% | 4.6% | 4.6% |
| | R7.Grand Total | 2.7% | 2.7% | 3.5% | 3.5% |
| | Shareholders' Funds | 2.0% | 2.0% | 1.9% | 1.9% |
| 13B | Investment Yield (Net) (Only realized gains) | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 2.3% | 2.3% | 2.0% | 2.0% |
| | R2. Non-PAR | 2.0% | 2.0% | 2.0% | 2.0% |
| | R3.Sub-TOTAL | 2.0% | 2.0% | 2.0% | 2.0% |
| | Linked: | | | | |
| | R4. PAR | 1.8% | 1.8% | 1.9% | 1.9% |
| | R5. Non-PAR | 3.1% | 3.1% | 2.4% | 2.4% |
| | R6. Sub-TOTAL | 3.0% | 3.0% | 2.4% | 2.4% |
| | R7.Grand Total | 2.5% | 2.5% | 2.3% | 2.3% |
| | Shareholders' Funds | 2.0% | 2.0% | 1.9% | 1.9% |
| 14 | Conservation Ratio | | | | |
| | Linked Individual - Life Participating | 122% | 122% | 68% | 68% |
| | Linked Individual - Pension Participating | 96% | 96% | 84% | 84% |
| | Linked Individual - Life Non Participating | 77% | 77% | 78% | 78% |
| | Linked Individual - Pension Non Participating | 78% | 78% | 67% | 67% |
| | Linked Group - Non Participating | 5% | 5% | 1% | 1% |
| | Non Linked Individual - Life Participating | 86% | 86% | 83% | 83% |
| | Non Linked Individual - Pension Participating | 98% | 98% | 96% | 96% |
| | Non Linked Individual - Life Non Participating | 88% | 88% | 85% | 85% |
| | Non Linked Individual - Pension Non Participating | NA | NA | -225% | -225% |
| | Non Linked Group - Life Non Participating | 58% | 58% | 29% | 29% |
| | Non Linked - Variable - Group | NA | NA | 0% | 0% |
| | Non Linked Health Non Participating | 91% | 91% | 88% | 88% |
| 15 | Persistency Ratio (by numbers) | | | | |
| | For 13th month | 64% | 61% | 59% | 58% |
| | For 25th month | 50% | 50% | 55% | 51% |
| | For 37th month | 50% | 46% | 37% | 45% |
| | For 49th Month | 35% | 42% | 35% | 39% |
| | for 61st month | 34% | 38% | 37% | 31% |
| | Persistency Ratio (by premium) | | | | |
| | For 13th month | 80% | 75% | 64% | 68% |
| | For 25th month | 53% | 58% | 50% | 56% |
| | For 37th month | 44% | 48% | 47% | 47% |
| | For 49th Month | 44% | 45% | 42% | 41% |
| | for 61st month | 47% | 45% | 45% | 38% |
| 16 | NPA Ratio | | | | |
| | Gross NPA Ratio | NIL | NIL | NIL | NIL |
| | Net NPA Ratio | NIL | NIL | NIL | NIL |
| Equity Holding Pattern for Life Insurers | | | | | |
| | (a) No. of shares (in Lakhs) | | 20,049 | | 20,049 |
| | (b) Percentage of shareholding (Indian / Foreign) | | 51% / 49% | | 51% / 49% |
| | (c) %of Government holding (in case of public sector insurance companies) | | Nil | | Nil |
| | (a) Basic and diluted EPS before extraordinary items (net of tax expense) | | (0.07) | | (0.05) |
| | (b) Basic and diluted EPS after extraordinary items (net of tax expense) | | (0.07) | | (0.05) |
| | (iv) Book value per share (Rs) | | 3.47 | | 3.70 |

FORM L-24 Valuation of Net Liabilities

(Rs in Lakhs)

| Valuation of Net Liabilities | | | |
|------------------------------|-------------------|---------------------|---------------------|
| Sl.No. | Particular | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
| 1 | Linked | | |
| a | Life | 401,454 | 422,634 |
| b | General Annuity | - | - |
| c | Pension | 53,683 | 59,399 |
| d | Health | - | - |
| 2 | Non-Linked | | |
| a | Life | 352,645 | 289,863 |
| b | General Annuity | 3,075 | 2,817 |
| c | Pension | 15,039 | 14,915 |
| d | Health | 667 | 471 |

| Geographical Distribution of Total Business | | | | | | | | | | | | | |
|---|---------------------------|--------------------|--------------|-------------------|-----------------------|--------------------|--------------|-------------------|-----------------------|--------------------|--------------|-------------------|-----------------------|
| Sl. No. | State/Union Territory | RURAL (Individual) | | | | URBAN (Individual) | | | | TOTAL (Individual) | | | |
| | | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Andhra Pradesh | 906 | 906 | 6 | 204 | 314 | 301 | 153 | 8,112 | 1,220 | 1,207 | 159 | 8,316 |
| 3 | Arunachal Pradesh | - | - | - | - | 28 | 28 | 3 | 243 | 28 | 28 | 3 | 243 |
| 4 | Assam | 1 | 1 | 0 | 10 | 228 | 228 | 72 | 2,341 | 229 | 229 | 72 | 2,351 |
| 5 | Bihar | 1,069 | 1,070 | 8 | 260 | 126 | 122 | 36 | 1,307 | 1,195 | 1,192 | 44 | 1,567 |
| 6 | Chandigarh | - | - | - | - | 41 | 42 | 17 | 836 | 41 | 42 | 17 | 836 |
| 7 | Chhattisgarh | - | - | - | - | 2 | 3 | 1 | 18 | 2 | 3 | 1 | 18 |
| 8 | Dadra & NagraHaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Delhi | - | - | - | - | 674 | 649 | 428 | 13,495 | 674 | 649 | 428 | 13,495 |
| 11 | Goa | - | - | - | - | 4 | 4 | 6 | 111 | 4 | 4 | 6 | 111 |
| 12 | Gujarat | 4 | 4 | 3 | 66 | 144 | 145 | 57 | 2,646 | 148 | 149 | 60 | 2,712 |
| 13 | Haryana | 4 | 4 | 1 | 119 | 267 | 257 | 337 | 8,697 | 271 | 261 | 338 | 8,816 |
| 14 | Himachal Pradesh | - | - | - | - | 10 | 9 | 3 | 203 | 10 | 9 | 3 | 203 |
| 15 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Jharkhand | - | - | - | - | 72 | 70 | 29 | 948 | 72 | 70 | 29 | 948 |
| 17 | Karnataka | 12 | 11 | 0 | 14 | 261 | 240 | 123 | 9,969 | 273 | 251 | 123 | 9,983 |
| 18 | Kerala | - | - | - | - | 68 | 70 | 42 | 1,289 | 68 | 70 | 42 | 1,289 |
| 19 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Madhya Pradesh | - | - | - | - | 125 | 123 | 46 | 2,112 | 125 | 123 | 46 | 2,112 |
| 21 | Maharashtra | (1) | 1 | (1) | 31 | 682 | 673 | 426 | 14,350 | 681 | 674 | 425 | 14,381 |
| 22 | Manipur | - | - | - | - | 2 | 2 | 2 | 48 | 2 | 2 | 2 | 48 |
| 23 | Meghalaya | - | - | - | - | 2 | 2 | 1 | 75 | 2 | 2 | 1 | 75 |
| 24 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 25 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Orissa | 18 | 18 | 4 | 66 | 131 | 132 | 54 | 1,591 | 149 | 150 | 59 | 1,657 |
| 27 | Puducherry | - | - | - | - | 8 | 8 | 5 | 102 | 8 | 8 | 5 | 102 |
| 28 | Punjab | (3) | 2 | (2) | 63 | 130 | 134 | 40 | 2,764 | 127 | 136 | 38 | 2,827 |
| 29 | Rajasthan | - | - | 0 | - | 38 | 36 | 13 | 885 | 38 | 36 | 13 | 885 |
| 30 | Sikkim | - | - | - | - | - | 1 | 0 | 10 | - | 1 | 0 | 10 |
| 31 | Tamil Nadu | - | - | - | - | 361 | 355 | 241 | 7,376 | 361 | 355 | 241 | 7,376 |
| 32 | Tripura | - | - | - | - | 61 | 61 | 23 | 276 | 61 | 61 | 23 | 276 |
| 33 | Uttar Pradesh | 2 | 2 | (0) | 1 | 274 | 271 | 123 | 4,898 | 276 | 273 | 123 | 4,898 |
| 34 | Uttarakhand | 6 | 6 | 0 | 1 | 10 | 8 | 1 | 212 | 16 | 14 | 1 | 214 |
| 35 | West Bengal | 80 | 79 | 9 | 179 | 695 | 664 | 340 | 7,348 | 775 | 743 | 348 | 7,527 |
| Grand Total | | 2,098 | 2,104 | 31 | 1,014 | 4,758 | 4,638 | 2,621 | 92,262 | 6,856 | 6,742 | 2,652 | 93,276 |

| Geographical Distribution of Total Business | | | | | | | | | | | | | |
|---|---------------------------|--------------------|--------------|-------------------|-----------------------|--------------------|--------------|-------------------|-----------------------|--------------------|--------------|-------------------|-----------------------|
| Sl. No. | State/Union Territory | RURAL (Individual) | | | | URBAN (Individual) | | | | TOTAL (Individual) | | | |
| | | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) | No of Policies | No of lives | Premium (in Lakh) | Sum Assured (in Lakh) |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Andhra Pradesh | 906 | 906 | 5.97 | 204.09 | 314 | 301 | 152.75 | 8,112.40 | 1,220 | 1,207 | 159 | 8,316 |
| 3 | Arunachal Pradesh | - | - | - | - | 28 | 28 | 3.18 | 242.73 | 28 | 28 | 3 | 243 |
| 4 | Assam | 1 | 1 | 0.33 | 9.88 | 228 | 228 | 71.58 | 2,341.09 | 229 | 229 | 72 | 2,351 |
| 5 | Bihar | 1,069 | 1,070 | 8.46 | 259.54 | 126 | 122 | 35.60 | 1,307.46 | 1,195 | 1,192 | 44 | 1,567 |
| 6 | Chandigarh | - | - | - | - | 41 | 42 | 17.06 | 836.18 | 41 | 42 | 17 | 836 |
| 7 | Chhattisgarh | - | - | - | - | 2 | 3 | 1.40 | 18.10 | 2 | 3 | 1 | 18 |
| 8 | Dadra & NagraHaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Delhi | - | - | - | - | 674 | 649 | 428.18 | 13,494.94 | 674 | 649 | 428 | 13,495 |
| 11 | Goa | - | - | - | - | 4 | 4 | 6.23 | 111.00 | 4 | 4 | 6 | 111 |
| 12 | Gujarat | 4 | 4 | 3.43 | 66.00 | 144 | 145 | 56.80 | 2,645.97 | 148 | 149 | 60 | 2,712 |
| 13 | Haryana | 4 | 4 | 1.37 | 119.40 | 267 | 257 | 337.02 | 8,697.07 | 271 | 261 | 338 | 8,816 |
| 14 | Himachal Pradesh | - | - | - | - | 10 | 9 | 2.74 | 202.68 | 10 | 9 | 3 | 203 |
| 15 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Jharkhand | - | - | - | - | 72 | 70 | 29.41 | 947.70 | 72 | 70 | 29 | 948 |
| 17 | Karnataka | 12 | 11 | 0.19 | 13.50 | 261 | 240 | 123.05 | 9,969.42 | 273 | 251 | 123 | 9,983 |
| 18 | Kerala | - | - | - | - | 68 | 70 | 41.87 | 1,289.41 | 68 | 70 | 42 | 1,289 |
| 19 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Madhya Pradesh | - | - | - | - | 125 | 123 | 45.61 | 2,112.45 | 125 | 123 | 46 | 2,112 |
| 21 | Maharashtra | (1) | 1 | (0.52) | 31.02 | 682 | 673 | 425.89 | 14,349.77 | 681 | 674 | 425 | 14,381 |
| 22 | Manipur | - | - | - | - | 2 | 2 | 2.00 | 48.00 | 2 | 2 | 2 | 48 |
| 23 | Meghalaya | - | - | - | - | 2 | 2 | 0.85 | 75.00 | 2 | 2 | 1 | 75 |
| 24 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 25 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Orissa | 18 | 18 | 4.35 | 66.38 | 131 | 132 | 54.47 | 1,591.09 | 149 | 150 | 59 | 1,657 |
| 27 | Puducherry | - | - | - | - | 8 | 8 | 4.58 | 102.29 | 8 | 8 | 5 | 102 |
| 28 | Punjab | (3) | 2 | (1.52) | 63.15 | 130 | 134 | 40.00 | 2,763.76 | 127 | 136 | 38 | 2,827 |
| 29 | Rajasthan | - | - | 0.10 | - | 38 | 36 | 13.00 | 884.76 | 38 | 36 | 13 | 885 |
| 30 | Sikkim | - | - | - | - | - | 1 | 0.02 | 9.60 | - | 1 | 0 | 10 |
| 31 | Tamil Nadu | - | - | - | - | 361 | 355 | 241.26 | 7,375.89 | 361 | 355 | 241 | 7,376 |
| 32 | Tripura | - | - | - | - | 61 | 61 | 22.67 | 275.83 | 61 | 61 | 23 | 276 |
| 33 | Uttar Pradesh | 2 | 2 | (0.09) | 0.50 | 274 | 271 | 122.96 | 4,897.51 | 276 | 273 | 123 | 4,898 |
| 34 | Uttarakhand | 6 | 6 | 0.03 | 1.20 | 10 | 8 | 0.89 | 212.40 | 16 | 14 | 1 | 214 |
| 35 | West Bengal | 80 | 79 | 8.74 | 179.20 | 695 | 664 | 339.62 | 7,347.57 | 775 | 743 | 348 | 7,527 |
| Grand Total | | 2,098 | 2,104 | 31 | 1,014 | 4,758 | 4,638 | 2,621 | 92,262 | 6,856 | 6,742 | 2,652 | 93,276 |

(Rs in Lakhs)

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | |
|--|-------------------------|-----------------|-------------------------|--------------------|------------------------|-----------------|----------------|--------------------|------------------------|------------------------|----------------|--------------------|------------------------|
| Sl. No. | State / Union Territory | Rural (Group) | | | | Urban (Group) | | | | Total Business (Group) | | | |
| | | No. of Policies | No. of Lives (Actual) | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) |
| 1 | Andhra Pradesh | - | - | - | - | - | 7,280 | 11.58 | 2,915 | - | 7,280 | 11.58 | 2,915 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 7 | Gujarat | - | - | - | - | - | 87 | 9 | 43 | - | 87 | 9.33 | 43 |
| 8 | Haryana | - | - | - | - | 27 | 75,976 | 758 | 312,307 | 27 | 75,976 | 757.54 | 312,307 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 12 | Karnataka | - | - | - | - | 2 | 3,683 | 52 | 2,558 | 2 | 3,683 | 52.23 | 2,558 |
| 13 | Kerala | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 14 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 15 | Maharashtra | - | - | - | - | 3 | 8,228 | 412 | 29,255 | 3 | 8,228 | 412.43 | 29,255 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 20 | Orissa | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 21 | Punjab | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 22 | Rajasthan | - | - | - | - | - | - | - | - | - | - | 0.00 | 0.00 |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 24 | Tamil Nadu | - | - | - | - | - | 121 | 1 | 859 | - | 121 | 1.10 | 859 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 26 | Uttar Pradesh | - | - | - | - | - | 265 | 11 | (124) | - | 265 | 11.16 | (124) |
| 27 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 28 | West Bengal | - | - | - | - | 2 | 1,308 | 23 | 10,056 | 2 | 1,308 | 23.15 | 10,056 |
| 29 | Andaman & Nicobar Isla | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 30 | Chandigarh | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 31 | Dadra & Nagarhaveli | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 32 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 33 | Delhi | - | - | - | - | 2 | 5,938 | 49 | 548 | 2 | 5,938 | 49.19 | 548 |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| 35 | Puduchery | - | - | - | - | - | - | - | - | - | - | 0.00 | - |
| | Total | - | - | - | - | 36 | 102,886 | 1,328 | 358,418 | 36 | 102,886 | 1,328 | 358,418 |

(Rs in Lakhs)

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | |
|--|-------------------------|-----------------|-------------------------|--------------------|------------------------|-----------------|----------------|--------------------|------------------------|------------------------|----------------|--------------------|------------------------|
| Sl. No. | State / Union Territory | Rural (Group) | | | | Urban (Group) | | | | Total Business (Group) | | | |
| | | No. of Policies | No. of Lives (Actual) | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) |
| 1 | Andhra Pradesh | - | - | - | - | - | 7,280 | 12 | 2,915 | - | 7,280 | 12 | 2,915 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | - | 87 | 9 | 43 | - | 87 | 9 | 43 |
| 8 | Haryana | - | - | - | - | 27 | 75,976 | 758 | 312,307 | 27 | 75,976 | 758 | 312,307 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | 2 | 3,683 | 52 | 2,558 | 2 | 3,683 | 52 | 2,558 |
| 13 | Kerala | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 15 | Maharashtra | - | - | - | - | 3 | 8,228 | 412 | 29,255 | 3 | 8,228 | 412 | 29,255 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | - | - | - | - | - | - | - | - |
| 21 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - |
| 22 | Rajasthan | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | - | - | - | - | - | 121 | 1 | 859 | - | 121 | 1 | 859 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttar Pradesh | - | - | - | - | - | 265 | 11 | (124) | - | 265 | 11 | (124) |
| 27 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 28 | West Bengal | - | - | - | - | 2 | 1,308 | 23 | 10,056 | 2 | 1,308 | 23 | 10,056 |
| 29 | Andaman & Nicobar Isla | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Dadra & Nagrahaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Delhi | - | - | - | - | 2 | 5,938 | 49 | 548 | 2 | 5,938 | 49 | 548 |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 35 | Puduchery | - | - | - | - | - | - | - | - | - | - | - | - |
| | Total | - | - | - | - | 36 | 102,886 | 1,328 | 358,418 | 36 | 102,886 | 1,328 | 358,418 |

FORM L-26: INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A

(Read with Regulation 10)

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Statement as on: 30th June 2017

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. Crore

Section I

| No | PARTICULARS | SCH | Amount |
|----|---|------------|-----------------|
| 1 | Investments (Shareholders) | 8 | 697.07 |
| | Investments (Policyholders) | 8A | 3,786.48 |
| | Investments (Linked Liabilities) | 8B | 4,583.75 |
| 2 | Loans | 9 | 0.00 |
| 3 | Fixed Assets | 10 | 13.33 |
| 4 | Current Assets | | |
| | a. Cash & Bank Balance | 11 | 37.38 |
| | b. Advances & Other Assets | 12 | 370.78 |
| 5 | Current Liabilities | | |
| | a. Current Liabilities | 13 | 448.17 |
| | b. Provisions | 14 | 14.28 |
| | c. Misc. Exp not Written Off | 15 | 0.00 |
| | d. Debit Balance of P&L A/c | | 1,312.22 |
| | Application of Funds as per Balance Sheet (A) | | 10,338.57 |
| | Less: Other Assets | SCH | Amount |
| 1 | Loans (if any) | 9 | 0.00 |
| 2 | Fixed Assets (if any) | 10 | 13.33 |
| 3 | Cash & Bank Balance (if any) | 11 | 37.38 |
| 4 | Advances & Other Assets (if any) | 12 | 370.78 |
| 5 | Current Liabilities | 13 | 448.17 |
| 6 | Provisions | 14 | 14.28 |
| 7 | Misc. Exp not Written Off | 15 | 0.00 |
| 8 | Investments held outside India | | 0.00 |
| 9 | Debit balance of P&L A/c | | 1,312.22 |
| | TOTAL (B) | | 1,271.26 |
| | Investment Assets (A-B) | | 9,067.30 |

PART - A

Reconciliation of Investment Assets

| | |
|---|---------|
| Total Investment Assets (as per Balance Sheet) | 9067.30 |
| Balance Sheet Value of: | |
| A. Life Fund | 4126.42 |
| B. Pension & General Annuity and Group Business | 357.13 |
| C. Unit Linked Funds | 4583.75 |
| | 9067.30 |

Section II

NON - LINKED BUSINESS

| A. LIFE FUND | % as per Reg | SH | | PH | | | Book Value (SH+PH) | Actual % | FVC Amount | Total Fund | Market Value | |
|--------------|--|-------------------|-------------------|-----------------|---------------|-------------|--------------------|----------------|------------|--------------|----------------|----------------|
| | | Balance | FRSM ¹ | UL-Non Unit Res | PAR | NON PAR | | | | | | |
| | | (a) | (b) | (c) | (d) | (e) | | | | | | |
| 1 | Central Govt. Sec | Not Less than 25% | 0.00 | 253.60 | 73.23 | 4.30 | 2265.39 | 2596.52 | 62.9% | 0.00 | 2596.52 | 2835.31 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (Incl (1) above) | Not Less than 50% | 0.00 | 367.24 | 73.23 | 6.74 | 2335.88 | 2783.09 | 67.4% | 0.00 | 2783.09 | 2835.31 |
| 3 | Investment subject to Exposure Norms | | | | | | | | | | | |
| | a. Infrastructure/ Social/ Housing Sector | | | | | | | | | | | |
| | 1. Approved Investments | Not Less than 15% | 0.00 | 265.69 | 41.18 | 1.85 | 738.69 | 1047.42 | 25.4% | 0.01 | 1047.43 | 1113.87 |
| | 2. Other Investments | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.0% | 0.00 | 0.00 | 0.00 |
| | b. i) Approved Investments | | 0.00 | 64.24 | 60.22 | 0.59 | 171.55 | 296.60 | 7.2% | -0.72 | 295.89 | 302.60 |
| | ii) Other Investments | Not exceeding 35% | 0.00 | 0.00 | 0.00 | 0.01 | 0.00 | 0.01 | 0.0% | 0.00 | 0.01 | 0.01 |
| | TOTAL LIFE FUND | 100% | 0.00 | 697.17 | 174.62 | 9.20 | 3246.13 | 4127.12 | | -0.70 | 4126.42 | 4251.79 |

| B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value | |
|---|--|-------------------|-------------|---------------|---------------|---------------|------------|---------------|---------------|
| | | PAR | NON PAR | | | | | | |
| | | (a) | (b) | | | | | | |
| 1 | Central Govt. Sec | Not Less than 20% | 0.00 | 220.44 | 220.44 | 61.7% | 0.00 | 220.44 | 234.59 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (Incl (1) above) | Not Less than 40% | 0.00 | 222.76 | 222.76 | 62.4% | 0.00 | 222.76 | 234.59 |
| 3 | Balance in Approved Investment | Not Exceeding 60% | 0.00 | 134.37 | 134.37 | 37.6% | 0.00 | 134.37 | 139.96 |
| | TOTAL PENSION, GENERAL ANNUITY FUND | 100% | 0.00 | 357.13 | 357.13 | 100.0% | | 357.13 | 374.55 |

LINKED BUSINESS

| C. LINKED FUNDS | % as per Reg | PH | | Total Fund | Actual % | |
|-----------------|------------------------------------|-------------------|---------------|----------------|----------------|---------------|
| | | PAR | NON PAR | | | |
| | | (a) | (b) | | | |
| 1 | Approved Investments | Not Less than 75% | 129.98 | 4240.00 | 4369.99 | 95.3% |
| 2 | Other Investments | Not More than 25% | 0.28 | 213.48 | 213.76 | 4.7% |
| | TOTAL LINKED INSURANCE FUND | 100% | 130.27 | 4453.48 | 4583.75 | 100.0% |

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 7/18/2017

Note:

- (+) FRSM refers to 'Funds representing Solvency Margin'
- Funds beyond Solvency Margin shall have a separate Custody Account.
- Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

Kamli S Arha
Chief Financial Officer

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Link to Item 'C' of FORM 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Group Superannuation & Gratuity Balanced Fund | Group Superannuation & Gratuity Cash Fund | Group Superannuation & Gratuity Debt Fund | Group Superannuation & Gratuity Growth Fund | Group Superannuation & Gratuity Secure Fund | Grp Superann-Short Term Debt fund |
|---|---|---|---|---|---|-----------------------------------|
| PARTICULARS | ULGF00210/03/2006GROUPB ALAN122 | ULGF00531/03/2006GROUPC ASHF122 | ULGF00310/03/2006GROUPDEBT F122 | ULGF00410/03/2006GROUPG ROWT122 | ULGF00113/07/2005GROUPS ECUR122 | ULGF00613/02/2009GROUPS DEBT122 |
| Opening Balance (Market Value) | 28.51 | 2.08 | 219.17 | 11.52 | 48.02 | 0.10 |
| Add: Inflow during the Quarter | 0.27 | 1.59 | 14.75 | 0.36 | 5.11 | 0.13 |
| Increase / (Decrease) Value of Inv [Net] | 1.01 | 0.02 | 6.99 | 0.45 | 1.60 | 0.00 |
| Less: Outflow during the Quarter | 1.24 | 1.70 | 14.53 | 0.69 | 3.41 | 0.00 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 28.54 | 2.00 | 226.38 | 11.63 | 51.33 | 0.24 |

| INVESTMENT OF UNIT FUND | ULGF00210/03/2006GROUPB ALAN122 | | ULGF00531/03/2006GROUPC ASHF122 | | ULGF00310/03/2006GROUPDEBT F122 | | ULGF00410/03/2006GROUPG ROWT122 | | ULGF00113/07/2005GROUPS ECUR122 | | ULGF00613/02/2009GROUPS DEBT122 | |
|---|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 6.58 | 23.1% | 1.21 | 60.7% | 81.46 | 36.0% | 1.63 | 14.0% | 17.03 | 33.2% | 0.22 | 92.8% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.54 | 0.2% | 0.27 | 2.3% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 2.14 | 7.5% | 0.11 | 5.7% | 21.99 | 9.7% | 0.84 | 7.2% | 4.00 | 7.8% | 0.00 | 0.0% |
| Infrastructure Bonds | 5.82 | 20.4% | 0.00 | 0.0% | 83.18 | 36.7% | 1.53 | 13.1% | 11.61 | 22.6% | 0.00 | 0.0% |
| Equity | 9.42 | 33.0% | 0.00 | 0.0% | 0.00 | 0.0% | 5.66 | 48.6% | 7.76 | 15.1% | 0.00 | 0.0% |
| Money Market Investments | 2.68 | 9.4% | 0.67 | 33.4% | 18.59 | 8.2% | 0.56 | 4.8% | 6.37 | 12.4% | 0.02 | 6.5% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.30 | 0.1% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 26.63 | 93.3% | 1.99 | 99.7% | 206.05 | 91.0% | 10.48 | 90.1% | 46.77 | 91.1% | 0.23 | 99.3% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.38 | 1.3% | 0.01 | 0.3% | 4.88 | 2.2% | 0.12 | 1.1% | 0.77 | 1.5% | 0.00 | 0.0% |
| Dividend Recievable | 0.02 | 0.1% | 0.00 | 0.0% | 0.00 | 0.0% | 0.01 | 0.1% | 0.02 | 0.0% | 0.00 | 0.0% |
| Bank Balance | 0.00 | 0.0% | 0.00 | 0.1% | -0.08 | 0.0% | 0.03 | 0.2% | 0.00 | 0.0% | 0.00 | 0.7% |
| Receivable for Sale of Investments | 1.24 | 4.3% | 0.00 | 0.0% | 15.56 | 6.9% | 0.42 | 3.6% | 3.23 | 6.3% | 0.00 | 0.0% |
| Other Current Assets (for Investments) | 0.47 | 1.6% | 0.00 | 0.0% | 0.00 | 0.0% | 0.25 | 2.1% | 0.39 | 0.8% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | -0.50 | -1.8% | 0.00 | 0.0% | 0.00 | 0.0% | -0.24 | -2.1% | -0.09 | -0.2% | 0.00 | 0.0% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | 0.00 | 0.0% | -0.02 | 0.0% | 0.00 | 0.0% | -0.01 | 0.0% | 0.00 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 1.61 | 5.6% | 0.01 | 0.3% | 20.33 | 9.0% | 0.58 | 5.0% | 4.31 | 8.4% | 0.00 | 0.7% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.30 | 1.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.19 | 1.6% | 0.25 | 0.5% | 0.00 | 0.0% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.37 | 3.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.30 | 1.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.56 | 4.8% | 0.25 | 0.5% | 0.00 | 0.0% |
| Total (A + B + C) | 28.54 | 100.0% | 2.00 | 100.0% | 226.38 | 100.0% | 11.63 | 100.0% | 51.33 | 100.0% | 0.24 | 100.0% |
| Fund Carried Forward (as per LB 2) | 28.54 | | 2.00 | | 226.38 | | 11.63 | | 51.33 | | 0.24 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Pension Unit Linked Balanced Fund | Pension Unit Linked Balanced - II Fund | Pension Unit linked Growth fund | Pension Unit Linked Growth - II Fund | Pension Unit Linked Infrastructure fund | Pension Unit Linked Index Fund |
|---|-----------------------------------|--|---------------------------------|--------------------------------------|---|---------------------------------|
| PARTICULARS | ULIF00311/02/2003PNSBALANCE122 | ULIF02325/01/2010PNBALANII122 | ULIF00703/03/2005PNSNGROWTH122 | ULIF02425/01/2010PNGROWT-II122 | ULIF02525/01/2010PNSNINFR AF122 | ULIF01122/01/2008PNSNIND EXF122 |
| Opening Balance (Market Value) | 63.22 | 16.36 | 82.18 | 17.08 | 9.80 | 168.21 |
| Add: Inflow during the Quarter | 2.05 | 0.93 | 3.01 | 0.60 | 0.44 | 3.77 |
| Increase / (Decrease) Value of Inv [Net] | 2.15 | 0.54 | 3.17 | 0.65 | 0.25 | 6.48 |
| Less: Outflow during the Quarter | 5.94 | 2.92 | 9.58 | 2.34 | 0.71 | 16.27 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 61.48 | 14.90 | 78.78 | 16.00 | 9.78 | 162.18 |

| INVESTMENT OF UNIT FUND | ULIF00311/02/2003PNSBALANCE122 | | ULIF02325/01/2010PNBALANII122 | | ULIF00703/03/2005PNSNGROWTH122 | | ULIF02425/01/2010PNGROWT-II122 | | ULIF02525/01/2010PNSNINFR AF122 | | ULIF01122/01/2008PNSNIND EXF122 | |
|---|--------------------------------|---------------|-------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 14.40 | 23.4% | 3.23 | 21.6% | 13.98 | 17.8% | 2.59 | 16.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| State Government Securities | 0.00 | 0.0% | 0.27 | 1.8% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 5.12 | 8.3% | 2.02 | 13.5% | 3.68 | 4.7% | 1.57 | 9.8% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 13.23 | 21.5% | 2.37 | 15.9% | 12.68 | 16.1% | 1.80 | 11.3% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 20.27 | 33.0% | 5.12 | 34.3% | 39.85 | 50.6% | 8.12 | 50.8% | 9.05 | 92.6% | 139.14 | 85.8% |
| Money Market Investments | 3.76 | 6.1% | 1.06 | 7.1% | 0.04 | 0.0% | 0.16 | 1.0% | 0.35 | 3.5% | 1.41 | 0.9% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 56.79 | 92.4% | 14.06 | 94.4% | 70.22 | 89.1% | 14.25 | 89.1% | 9.40 | 96.1% | 140.55 | 86.7% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.87 | 1.4% | 0.18 | 1.2% | 0.93 | 1.2% | 0.18 | 1.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Dividend Receivable | 0.05 | 0.1% | 0.01 | 0.1% | 0.10 | 0.1% | 0.02 | 0.1% | 0.00 | 0.0% | 0.36 | 0.2% |
| Bank Balance | -0.09 | -0.1% | -0.20 | -1.3% | -0.26 | -0.3% | -0.03 | -0.2% | -0.05 | -0.5% | -0.15 | -0.1% |
| Receivable for Sale of Investments | 2.42 | 3.9% | 0.99 | 6.7% | 2.05 | 2.6% | 0.77 | 4.8% | 0.01 | 0.1% | 2.60 | 1.6% |
| Other Current Assets (for Investments) | 1.01 | 1.6% | 0.26 | 1.7% | 1.75 | 2.2% | 0.36 | 2.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | -0.23 | -0.4% | -0.57 | -3.9% | 0.00 | 0.0% | -0.37 | -2.3% | 0.00 | 0.0% | 0.00 | 0.0% |
| Fund Mgmt Charges Payable | -0.01 | 0.0% | 0.00 | 0.0% | -0.01 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | -0.02 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 4.02 | 6.5% | 0.67 | 4.5% | 4.55 | 5.8% | 0.93 | 5.8% | -0.03 | -0.4% | 2.79 | 1.7% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.66 | 1.1% | 0.17 | 1.2% | 1.39 | 1.8% | 0.28 | 1.8% | 0.41 | 4.2% | 4.32 | 2.7% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 2.62 | 3.3% | 0.54 | 3.4% | 0.00 | 0.0% | 14.52 | 9.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.66 | 1.1% | 0.17 | 1.2% | 4.01 | 5.1% | 0.82 | 5.1% | 0.41 | 4.2% | 18.84 | 11.6% |
| Total (A + B + C) | 61.48 | 100.0% | 14.90 | 100.0% | 78.78 | 100.0% | 16.00 | 100.0% | 9.78 | 100.0% | 162.18 | 100.0% |
| Fund Carried Forward (as per LB 2) | 61.48 | | 14.90 | | 78.78 | | 16.00 | | 9.78 | | 162.18 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Pension Unit Linked Index - II fund | Pension Unit Linked PSU fund | Pension Unit linked Secure fund | Pension Unit Linked Protector Fund | Pension Unit Linked Protector -II fund | Pension Unitised with Profit fund |
|---|-------------------------------------|---------------------------------|---------------------------------|------------------------------------|--|-----------------------------------|
| PARTICULARS | ULIF02625/01/2010PNINDEX-II122 | ULIF02725/01/2010PNSNPSU FND122 | ULIF00803/03/2005PNSNSEC URE122 | ULIF01408/02/2008PNSPROT ECT122 | ULIF02825/01/2010PNPROTE-II122 | ULIF00411/02/2003PNSWPR OFIT122 |
| Opening Balance (Market Value) | 35.88 | 26.18 | 51.84 | 29.48 | 10.51 | 13.13 |
| Add: Inflow during the Quarter | 2.73 | 1.41 | 0.75 | 3.75 | 0.62 | 0.06 |
| Increase / (Decrease) Value of Inv [Net] | 1.36 | -1.10 | 1.69 | 0.95 | 0.26 | 0.37 |
| Less: Outflow during the Quarter | 6.28 | 4.24 | 1.64 | 4.59 | 2.79 | 0.23 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 33.69 | 22.25 | 52.63 | 29.60 | 8.59 | 13.33 |

| INVESTMENT OF UNIT FUND | ULIF02625/01/2010PNINDEX-II122 | | ULIF02725/01/2010PNSNPSU FND122 | | ULIF00803/03/2005PNSNSEC URE122 | | ULIF01408/02/2008PNSPROT ECT122 | | ULIF02825/01/2010PNPROTE-II122 | | ULIF00411/02/2003PNSWPR OFIT122 | |
|---|--------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 0.00 | 0.0% | 0.00 | 0.0% | 16.13 | 30.6% | 9.14 | 30.9% | 2.39 | 27.8% | 5.73 | 43.0% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.27 | 3.1% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.11 | 0.8% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 4.91 | 9.3% | 4.07 | 13.8% | 1.58 | 18.4% | 1.60 | 12.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 15.62 | 29.7% | 7.88 | 26.6% | 2.05 | 23.8% | 3.87 | 29.0% |
| Equity | 29.16 | 86.6% | 22.01 | 98.9% | 7.40 | 14.1% | 4.17 | 14.1% | 1.20 | 14.0% | 1.53 | 11.5% |
| Money Market Investments | 0.00 | 0.0% | 0.31 | 1.4% | 3.77 | 7.2% | 1.95 | 6.6% | 0.54 | 6.3% | 0.12 | 0.9% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 29.16 | 86.6% | 22.31 | 100.3% | 47.82 | 90.9% | 27.22 | 92.0% | 8.03 | 93.5% | 12.96 | 97.2% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.00 | 0.0% | 0.00 | 0.0% | 0.92 | 1.7% | 0.51 | 1.7% | 0.17 | 2.0% | 0.39 | 2.9% |
| Dividend Receivable | 0.07 | 0.2% | 0.01 | 0.0% | 0.02 | 0.0% | 0.01 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Bank Balance | -0.34 | -1.0% | -0.33 | -1.5% | 0.00 | 0.0% | 0.06 | 0.2% | -0.11 | -1.3% | -0.05 | -0.4% |
| Receivable for Sale of Investments | 0.86 | 2.5% | 0.00 | 0.0% | 3.35 | 6.4% | 1.77 | 6.0% | 0.56 | 6.5% | 0.00 | 0.0% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.37 | 0.7% | 0.21 | 0.7% | 0.06 | 0.7% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | 0.00 | 0.0% | 0.00 | 0.0% | -0.09 | -0.2% | -0.31 | -1.1% | -0.17 | -2.0% | 0.00 | 0.0% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | 0.00 | 0.0% | -0.01 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.59 | 1.7% | -0.32 | -1.5% | 4.56 | 8.7% | 2.24 | 7.6% | 0.52 | 6.0% | 0.34 | 2.5% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.92 | 2.7% | 0.00 | 0.0% | 0.24 | 0.5% | 0.14 | 0.5% | 0.04 | 0.5% | 0.03 | 0.2% |
| Mutual funds- Exchange traded funds | 3.02 | 9.0% | 0.26 | 1.2% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 3.94 | 11.7% | 0.26 | 1.2% | 0.24 | 0.5% | 0.14 | 0.5% | 0.04 | 0.5% | 0.03 | 0.2% |
| Total (A + B + C) | 33.69 | 100.0% | 22.25 | 100.0% | 52.63 | 100.0% | 29.60 | 100.0% | 8.59 | 100.0% | 13.33 | 100.0% |
| Fund Carried Forward (as per LB 2) | 33.69 | | 22.25 | | 52.63 | | 29.60 | | 8.59 | | 13.33 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Unit Linked Balanced fund | Unit Linked Balanced- II fund | Unit Linked Debt Fund | Unit Linked Debt -II Fund | Unit Linked Enhancer fund | Unit Linked Enhancer - II fund |
|---|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|
| PARTICULARS | ULIF00106/06/2002LIFBALAN-CE122 | ULIF01508/01/2010LIBALAN-II122 | ULIF01306/02/2008LIFEDEBTF-U122 | ULIF01608/01/2010LIFDEBT-II122 | ULIF01230/01/2008LIENHANC-ER122 | ULIF01708/01/2010LIFENHN-II122 |
| Opening Balance (Market Value) | 451.95 | 178.64 | 6.51 | 154.37 | 83.51 | 292.33 |
| Add: Inflow during the Quarter | 16.26 | 14.49 | 0.40 | 18.31 | 1.70 | 31.90 |
| Increase / (Decrease) Value of Inv [Net] | 15.75 | 6.14 | 0.17 | 4.39 | 3.39 | 11.98 |
| Less: Outflow during the Quarter | 37.49 | 25.48 | 1.55 | 29.21 | 7.71 | 46.09 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 446.48 | 173.79 | 5.53 | 147.86 | 80.89 | 290.11 |

| INVESTMENT OF UNIT FUND | ULIF00106/06/2002LIFBALAN-CE122 | | ULIF01508/01/2010LIBALAN-II122 | | ULIF01306/02/2008LIFEDEBTF-U122 | | ULIF01608/01/2010LIFDEBT-II122 | | ULIF01230/01/2008LIENHANC-ER122 | | ULIF01708/01/2010LIFENHN-II122 | |
|---|---------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 114.83 | 25.7% | 36.38 | 20.9% | 1.68 | 30.3% | 52.57 | 35.6% | 0.00 | 0.0% | 0.00 | 0.0% |
| State Government Securities | 0.00 | 0.0% | 1.07 | 0.6% | 0.32 | 5.8% | 0.75 | 0.5% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 37.63 | 8.4% | 24.35 | 14.0% | 1.25 | 22.6% | 29.13 | 19.7% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 94.84 | 21.2% | 24.03 | 13.8% | 1.69 | 30.6% | 49.50 | 33.5% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 149.11 | 33.4% | 66.32 | 38.2% | 0.00 | 0.0% | 0.00 | 0.0% | 71.07 | 87.9% | 251.62 | 86.7% |
| Money Market Investments | 11.27 | 2.5% | 6.22 | 3.6% | 0.20 | 3.6% | 9.31 | 6.3% | 1.33 | 1.6% | 8.96 | 3.1% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 407.68 | 91.3% | 158.38 | 91.1% | 5.14 | 92.9% | 141.26 | 95.5% | 72.40 | 89.5% | 260.58 | 89.8% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 6.51 | 1.5% | 2.26 | 1.3% | 0.13 | 2.4% | 3.61 | 2.4% | 0.00 | 0.0% | 0.00 | 0.0% |
| Dividend Receivable | 0.36 | 0.1% | 0.16 | 0.1% | 0.00 | 0.0% | 0.00 | 0.0% | 0.17 | 0.2% | 0.60 | 0.2% |
| Bank Balance | -0.01 | 0.0% | -0.08 | 0.0% | 0.04 | 0.7% | 0.03 | 0.0% | -0.01 | 0.0% | 1.04 | 0.4% |
| Receivable for Sale of Investments | 21.49 | 4.8% | 8.44 | 4.9% | 0.45 | 8.2% | 13.10 | 8.9% | 0.74 | 0.9% | 1.87 | 0.6% |
| Other Current Assets (for Investments) | 7.43 | 1.7% | 3.31 | 1.9% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | -1.74 | -0.4% | -0.78 | -0.4% | -0.23 | -4.2% | -10.11 | -6.8% | -0.99 | -1.2% | -3.58 | -1.2% |
| Fund Mgmt Charges Payable | -0.07 | 0.0% | -0.03 | 0.0% | 0.00 | 0.0% | -0.03 | 0.0% | -0.02 | 0.0% | -0.05 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 33.97 | 7.6% | 13.28 | 7.6% | 0.39 | 7.1% | 6.61 | 4.5% | -0.11 | -0.1% | -0.12 | 0.0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 4.83 | 1.1% | 2.14 | 1.2% | 0.00 | 0.0% | 0.00 | 0.0% | 2.11 | 2.6% | 7.49 | 2.6% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 6.51 | 8.0% | 22.16 | 7.6% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 4.83 | 1.1% | 2.14 | 1.2% | 0.00 | 0.0% | 0.00 | 0.0% | 8.61 | 10.6% | 29.66 | 10.2% |
| Total (A + B + C) | 446.48 | 100.0% | 173.79 | 100.0% | 5.53 | 100.0% | 147.86 | 100.0% | 80.89 | 100.0% | 290.11 | 100.0% |
| Fund Carried Forward (as per LB 2) | 446.48 | | 173.79 | | 5.53 | | 147.86 | | 80.89 | | 290.11 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Unit Linked Growth Fund | Unit Linked Growth - II fund | Unit Linked Infrastructure fund | Unit Linked Index fund | Unit Linked Index - II fund | Unit Linked Protector Fund |
|---|--------------------------------|------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| PARTICULARS | ULIF00527/01/2004LIFEGROWTH122 | ULIF01808/01/2010LIGROWTH122 | ULIF01908/01/2010LIFEINFRA F122 | ULIF01002/01/2008LIFEINDEX F122 | ULIF02008/01/2010LIFINDEX-II122 | ULIF00911/07/2006LIFPROTE CT122 |
| Opening Balance (Market Value) | 1268.01 | 158.43 | 49.49 | 106.87 | 113.75 | 45.10 |
| Add: Inflow during the Quarter | 43.53 | 14.97 | 2.86 | 1.94 | 3.39 | 2.86 |
| Increase / (Decrease) Value of Inv [Net] | 53.65 | 6.60 | 1.25 | 4.16 | 4.19 | 1.40 |
| Less: Outflow during the Quarter | 115.32 | 20.96 | 8.70 | 6.89 | 13.55 | 4.46 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 1249.87 | 159.03 | 44.90 | 106.09 | 107.78 | 44.89 |

| INVESTMENT OF UNIT FUND | ULIF00527/01/2004LIFEGROWTH122 | | ULIF01808/01/2010LIGROWTH122 | | ULIF01908/01/2010LIFEINFRA F122 | | ULIF01002/01/2008LIFEINDEX F122 | | ULIF02008/01/2010LIFINDEX-II122 | | ULIF00911/07/2006LIFPROTE CT122 | |
|---|--------------------------------|---------------|------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 111.54 | 8.9% | 14.54 | 9.1% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 13.53 | 30.1% |
| State Government Securities | 0.19 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 82.12 | 6.6% | 6.92 | 4.4% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 8.18 | 18.2% |
| Infrastructure Bonds | 70.45 | 5.6% | 10.03 | 6.3% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 9.40 | 20.9% |
| Equity | 849.37 | 68.0% | 105.77 | 66.5% | 42.99 | 95.8% | 90.39 | 85.2% | 92.86 | 86.2% | 6.31 | 14.1% |
| Money Market Investments | 31.19 | 2.5% | 10.00 | 6.3% | 0.00 | 0.0% | 2.21 | 2.1% | 0.00 | 0.0% | 4.03 | 9.0% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 1144.86 | 91.6% | 147.26 | 92.6% | 42.99 | 95.8% | 92.61 | 87.3% | 92.86 | 86.2% | 41.45 | 92.3% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 7.25 | 0.6% | 0.79 | 0.5% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.68 | 1.5% |
| Dividend Receivable | 2.02 | 0.2% | 0.25 | 0.2% | 0.01 | 0.0% | 0.23 | 0.2% | 0.24 | 0.2% | 0.02 | 0.0% |
| Bank Balance | -2.03 | -0.2% | 0.01 | 0.0% | -0.49 | -1.1% | -0.03 | 0.0% | -0.18 | -0.2% | -0.12 | -0.3% |
| Receivable for Sale of Investments | 33.87 | 2.7% | 3.38 | 2.1% | 0.38 | 0.8% | 1.01 | 0.9% | 2.34 | 2.2% | 2.83 | 6.3% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.31 | 0.7% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | -20.27 | -1.6% | -3.07 | -1.9% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | -0.47 | -1.1% |
| Fund Mgmt Charges Payable | -0.24 | 0.0% | -0.03 | 0.0% | -0.01 | 0.0% | -0.01 | 0.0% | -0.02 | 0.0% | -0.01 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 20.60 | 1.6% | 1.33 | 0.8% | -0.11 | -0.2% | 1.20 | 1.1% | 2.38 | 2.2% | 3.24 | 7.2% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 28.56 | 2.3% | 3.55 | 2.2% | 2.02 | 4.5% | 2.79 | 2.6% | 2.92 | 2.7% | 0.20 | 0.5% |
| Mutual funds- Exchange traded funds | 55.85 | 4.5% | 6.88 | 4.3% | 0.00 | 0.0% | 9.50 | 9.0% | 9.63 | 8.9% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 84.41 | 6.8% | 10.44 | 6.6% | 2.02 | 4.5% | 12.29 | 11.6% | 12.55 | 11.6% | 0.20 | 0.5% |
| Total (A + B + C) | 1249.87 | 100.0% | 159.03 | 100.0% | 44.90 | 100.0% | 106.09 | 100.0% | 107.78 | 100.0% | 44.89 | 100.0% |
| Fund Carried Forward (as per LB 2) | 1249.87 | | 159.03 | | 44.90 | | 106.09 | | 107.78 | | 44.89 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th June 2017

| | Unit Linked Protector - II fund | Unit Linked PSU fund | Unit Linked Secure fund | Unitised with Profit fund | Unit Linked Liquid Fund | Unit Linked Wealth Builder fund |
|---|---------------------------------|--------------------------------|---------------------------------|--------------------------------|--------------------------------|---------------------------------|
| PARTICULARS | ULIF02108/01/2010LIPROTE-II122 | ULIF02208/01/2010LIFEPSUFND122 | ULIF00627/01/2004LIFESECU RE122 | ULIF00225/06/2002LIFWPROFIT122 | ULIF02903/05/2010LIFELIQUID122 | ULIF03020/07/2010LIFEWEALTH122 |
| Opening Balance (Market Value) | 57.12 | 168.91 | 227.50 | 114.65 | 0.00 | 9.13 |
| Add: Inflow during the Quarter | 9.65 | 6.90 | 6.34 | 1.21 | 0.00 | 0.02 |
| Increase / (Decrease) Value of Inv [Net] | 1.65 | -7.02 | 6.97 | 3.17 | 0.00 | 0.15 |
| Less: Outflow during the Quarter | 13.55 | 22.13 | 19.36 | 2.09 | 0.00 | 0.39 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 54.87 | 146.66 | 221.46 | 116.94 | 0.00 | 8.92 |

| INVESTMENT OF UNIT FUND | ULIF02108/01/2010LIPROTE-II122 | | ULIF02208/01/2010LIFEPSUFND122 | | ULIF00627/01/2004LIFESECU RE122 | | ULIF00225/06/2002LIFWPROFIT122 | | ULIF02903/05/2010LIFELIQUID122 | | ULIF03020/07/2010LIFEWEALTH122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|--------------------------------|----------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Govt Securities | 16.08 | 29.3% | 0.00 | 0.0% | 66.84 | 30.2% | 39.76 | 34.0% | 0.00 | 0.0% | 5.80 | 65.0% |
| State Government Securities | 0.25 | 0.5% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.74 | 8.3% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 4.42 | 3.8% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 7.15 | 13.0% | 0.00 | 0.0% | 35.02 | 15.8% | 9.39 | 8.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 14.04 | 25.6% | 0.00 | 0.0% | 52.47 | 23.7% | 39.71 | 34.0% | 0.00 | 0.0% | 1.97 | 22.0% |
| Equity | 7.79 | 14.2% | 142.00 | 96.8% | 31.20 | 14.1% | 13.15 | 11.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Money Market Investments | 5.50 | 10.0% | 3.27 | 2.2% | 20.18 | 9.1% | 0.72 | 0.6% | 0.00 | 0.0% | 0.13 | 1.4% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 3.80 | 3.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 50.81 | 92.6% | 145.26 | 99.0% | 205.71 | 92.9% | 110.94 | 94.9% | 0.00 | 0.0% | 8.63 | 96.7% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.88 | 1.6% | 0.00 | 0.0% | 3.88 | 1.8% | 5.74 | 4.9% | 0.00 | -0.2% | 0.30 | 3.3% |
| Dividend Receivable | 0.02 | 0.0% | 0.06 | 0.0% | 0.08 | 0.0% | 0.04 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Bank Balance | 0.56 | 1.0% | -0.35 | -0.2% | -0.18 | -0.1% | -0.03 | 0.0% | 0.00 | 972.0% | 0.00 | 0.0% |
| Receivable for Sale of Investments | 3.03 | 5.5% | 0.00 | 0.0% | 11.74 | 5.3% | 0.00 | 0.0% | 0.00 | -871.8% | 0.00 | 0.0% |
| Other Current Assets (for Investments) | 0.39 | 0.7% | 0.00 | 0.0% | 1.56 | 0.7% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | -1.06 | -1.9% | 0.00 | 0.0% | -2.33 | -1.1% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Fund Mgmt Charges Payable | -0.01 | 0.0% | -0.02 | 0.0% | -0.03 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 3.81 | 6.9% | -0.32 | -0.2% | 14.72 | 6.6% | 5.74 | 4.9% | 0.00 | 100.0% | 0.29 | 3.3% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.25 | 0.5% | 0.00 | 0.0% | 1.03 | 0.5% | 0.25 | 0.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 1.72 | 1.2% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.25 | 0.5% | 1.72 | 1.2% | 1.03 | 0.5% | 0.25 | 0.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Total (A + B + C) | 54.87 | 100.0% | 146.66 | 100.0% | 221.46 | 100.0% | 116.94 | 100.0% | 0.00 | 100.0% | 8.92 | 100.0% |
| Fund Carried Forward (as per LB 2) | 54.87 | | 146.66 | | 221.46 | | 116.94 | | 0.00 | 100.00% | 8.92 | |

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

PART - B

Periodicity of Submission: Quarterly

Rs. Crore

Statement as on: 30th June 2017

| | Group Superannuation & Gratuity Income Fund | Unit Linked Dynamic P/E Fund | Discontinued Policy Fund | Total of All Funds |
|---|---|--------------------------------|-------------------------------|--------------------|
| PARTICULARS | ULGF00728/03/2011GROUPINCOM122 | ULIF03201/08/2011LIFDYNAMIC122 | ULIF03127/01/2011LIDISPLCY122 | |
| Opening Balance (Market Value) | 0.01 | 143.90 | 222.16 | 4685.60 |
| Add: Inflow during the Quarter | 0.00 | 5.38 | 77.58 | 302.05 |
| Increase / (Decrease) Value of Inv [Net] | 0.00 | 5.32 | 3.11 | 153.26 |
| Less: Outflow during the Quarter | 0.00 | 23.10 | 80.01 | 557.16 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 0.01 | 131.50 | 222.84 | 4583.75 |

| INVESTMENT OF UNIT FUND | ULGF00728/03/2011GROUPINCOM122 | | ULIF03201/08/2011LIFDYNAMIC122 | | ULIF03127/01/2011LIDISPLCY122 | | Total of All Funds | |
|--|--------------------------------|--------------|--------------------------------|--------------|-------------------------------|---------------|--------------------|--------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | |
| Central Govt Securities | 0.00 | 24.7% | 0.00 | 0.0% | 216.50 | 97.2% | 865.74 | 18.9% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 4.65 | 0.1% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 4.53 | 0.1% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 294.78 | 6.4% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 529.75 | 11.6% |
| Equity | 0.00 | 0.0% | 101.05 | 76.8% | 0.00 | 0.0% | 2,330.88 | 50.9% |
| Money Market Investments | 0.00 | 23.8% | 16.06 | 12.2% | 7.92 | 3.6% | 180.84 | 3.9% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Deposit with Banks | 0.00 | 30.9% | 0.00 | 0.0% | 0.00 | 0.0% | 4.10 | 0.1% |
| Sub Total (A) | 0.01 | 79.4% | 117.11 | 89.1% | 224.42 | 100.7% | 4,215.27 | 92.0% |

| | | | | | | | | |
|---|-------------|--------------|-------------|-------------|--------------|--------------|---------------|-------------|
| Current Assets: | | | | | | | | |
| Accrued Interest | 0.00 | 2.7% | 0.00 | 0.0% | 0.00 | 0.0% | 42.34 | 0.9% |
| Dividend Receivable | 0.00 | 0.0% | 0.24 | 0.2% | 0.00 | 0.0% | 5.20 | 0.1% |
| Bank Balance | 0.00 | 17.9% | 0.04 | 0.0% | -1.56 | -0.7% | (4.94) | -0.1% |
| Receivable for Sale of Investments | 0.00 | 0.0% | 2.82 | 2.1% | 0.00 | 0.0% | 143.33 | 3.1% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 18.11 | 0.4% |
| Less: Current Liabilities | | | | | | | | |
| Payable for Investments | 0.00 | 0.0% | -1.38 | -1.0% | 0.00 | 0.0% | (48.60) | -1.1% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | -0.02 | 0.0% | -0.01 | 0.0% | (0.69) | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | (0.02) | 0.0% |
| Sub Total (B) | 0.00 | 20.6% | 1.70 | 1.3% | -1.57 | -0.7% | 154.72 | 3.4% |

| | | | | | | | | |
|---|-------------|---------------|---------------|---------------|---------------|---------------|-----------------|---------------|
| Other Investments (<=25%) | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Equity | 0.00 | 0.0% | 3.00 | 2.3% | 0.00 | 0.0% | 70.48 | 1.5% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 9.69 | 7.4% | 0.00 | 0.0% | 143.28 | 3.1% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Sub Total (C) | 0.00 | 0.0% | 12.70 | 9.7% | 0.00 | 0.0% | 213.76 | 4.7% |
| Total (A + B + C) | 0.01 | 100.0% | 131.50 | 100.0% | 222.84 | 100.0% | 4,583.75 | 100.0% |
| Fund Carried Forward (as per LB 2) | 0.01 | | 131.50 | | 222.84 | | 4,583.75 | |

Date : 18/07/2017

Note:

- Karni S Arha
Chief Financial Officer
- The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Part A), for both Par & Non Par Business
 - Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
 - Other Investments' are as permitted under Sec 27A(2)
 - Treasury Bills are included under Central Government Securities.

FORM L-28 - ULIP - NAV - 3A

FORM - 3A

(Read with Regulation 10)

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Link to FORM 3A (Part B)

Statement for the period: 30th June 2017

Periodicity of Submission: Quarterly

Statement of NAV of Segregated Funds

PART - C

3/31/2017

3/31/2014

| | | | | | | | | | | | | | | Rs. Crore |
|----|---|---------------------------------|----------------|-------------|---|-----------------|---------------------------|------------------|----------------------|----------------------|----------------------|--------------|---------------------|-----------------------------|
| No | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date | NAV as per LB 2 | NAV as on the above date* | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | 4th Previous Qtr NAV | Return/Yield | 3 Year Rolling CAGR | Highest NAV since inception |
| 1 | Unit Linked Balanced fund | ULIF00106/06/2002LIFBALANCE122 | 6/6/2002 | Non Par | 446.48 | 63.5552 | 63.5552 | 61.3689 | 58.551 | 58.892 | 55.591 | 14.3% | 9.9% | 63.9570 |
| 2 | Pension Unit Linked Balanced Fund | ULIF00311/02/2003PNSBALANCE122 | 2/11/2003 | Non Par | 61.48 | 49.6656 | 49.6656 | 47.9970 | 45.747 | 45.991 | 43.398 | 14.4% | 10.1% | 49.9560 |
| 3 | Unit Linked Growth Fund | ULIF00527/01/2004LIFEGROWTH122 | 1/27/2004 | Non Par | 1,249.87 | 54.7306 | 54.7306 | 52.4746 | 47.552 | 49.399 | 46.247 | 18.3% | 9.7% | 55.2673 |
| 4 | Unit Linked Secure fund | ULIF00627/01/2004LIFESECURE122 | 1/27/2004 | Non Par | 221.46 | 27.3367 | 27.3367 | 26.4998 | 25.854 | 25.558 | 24.221 | 12.9% | 10.5% | 27.4525 |
| 5 | Pension Unit linked Growth fund | ULIF00703/03/2005PNSNGROWTH122 | 3/3/2005 | Non Par | 78.78 | 32.4530 | 32.4530 | 31.2247 | 29.105 | 29.721 | 27.982 | 16.0% | 9.3% | 32.7152 |
| 6 | Pension Unit linked Secure fund | ULIF00803/03/2005PNSNSEURE122 | 3/3/2005 | Non Par | 52.63 | 25.7191 | 25.7191 | 24.9043 | 24.318 | 23.920 | 22.642 | 13.6% | 10.8% | 25.8370 |
| 7 | Group Superannuation & Gratuity Secure Fund | ULGF00113/07/2005GROUPSECUR122 | 7/13/2005 | Non Par | 51.33 | 27.0099 | 27.0099 | 26.1677 | 25.455 | 25.143 | 23.808 | 13.4% | 10.8% | 27.1364 |
| 8 | Group Superannuation & Gratuity Debt Fund | ULGF00310/03/2006GROUPDEBTF122 | 3/10/2006 | Non Par | 226.38 | 25.4515 | 25.4515 | 24.6590 | 24.579 | 23.968 | 22.674 | 12.2% | 11.0% | 25.5415 |
| 9 | Group Superannuation & Gratuity Balanced Fund | ULGF00210/03/2006GROUPBALAN122 | 3/10/2006 | Non Par | 28.54 | 24.6914 | 24.6914 | 23.8409 | 22.717 | 22.739 | 21.472 | 15.0% | 10.8% | 24.8376 |
| 10 | Group Superannuation & Gratuity Growth Fund | ULGF00410/03/2006GROUPGROWT122 | 3/10/2006 | Non Par | 11.63 | 28.9814 | 28.9814 | 27.8690 | 25.870 | 26.406 | 24.768 | 17.0% | 10.9% | 29.1865 |
| 11 | Group Superannuation & Gratuity Cash Fund | ULGF00531/03/2006GROUPCASHF122 | 3/31/2006 | Non Par | 2.00 | 23.3480 | 23.3480 | 23.0273 | 22.739 | 22.377 | 21.968 | 6.3% | 7.2% | 23.3447 |
| 12 | Unit Linked Protector Fund | ULIF00911/07/2006LIFPROTECT122 | 7/11/2006 | Non Par | 44.89 | 23.8448 | 23.8448 | 23.1126 | 22.555 | 22.262 | 21.088 | 13.1% | 10.6% | 23.9462 |
| 13 | Unit Linked Index fund | ULIF01002/01/2008LIFEINDEXF122 | 1/2/2008 | Non Par | 106.09 | 16.0753 | 16.0753 | 15.4649 | 13.812 | 14.534 | 14.040 | 14.5% | 8.0% | 16.2986 |
| 14 | Pension Unit Linked Index Fund | ULIF01122/01/2008PNSINDEXF122 | 1/22/2008 | Non Par | 162.18 | 19.7867 | 19.7867 | 19.0361 | 16.996 | 17.884 | 17.273 | 14.6% | 8.0% | 20.0669 |
| 15 | Unit Linked Enhancer fund | ULIF01230/01/2008LIENHANCER122 | 1/30/2008 | Non Par | 80.89 | 23.0489 | 23.0489 | 22.1395 | 19.531 | 20.622 | 19.392 | 18.9% | 9.2% | 23.3467 |
| 16 | Unit Linked Debt Fund | ULIF01306/02/2008LIFEDEBTFU122 | 2/6/2008 | Non Par | 5.53 | 23.4275 | 23.4275 | 22.7466 | 22.657 | 22.049 | 20.923 | 12.0% | 10.7% | 23.4944 |
| 17 | Pension Unit Linked Protector Fund | ULIF01408/02/2008PNSPROTECT122 | 2/8/2008 | Non Par | 29.60 | 21.3186 | 21.3186 | 20.6510 | 20.165 | 19.897 | 18.865 | 13.0% | 10.5% | 21.4131 |
| 18 | Grp Superann-Short Term Debt fund | ULGF00613/02/2009GROUPSDEBT122 | 2/13/2009 | Non Par | 0.24 | 18.7068 | 18.7068 | 18.4726 | 18.239 | 17.996 | 17.747 | 5.4% | 8.1% | 18.7041 |
| 19 | Unit Linked PSU fund | ULIF02208/01/2010LIFEPSUFND122 | 1/8/2010 | Non Par | 146.66 | 13.7129 | 13.7129 | 14.3979 | 12.897 | 12.199 | 10.632 | 29.0% | 6.2% | 15.3716 |
| 20 | Unit Linked Index - II fund | ULIF02008/01/2010LIFINDX-II122 | 1/8/2010 | Non Par | 107.78 | 17.7245 | 17.7245 | 17.0795 | 15.266 | 16.092 | 15.551 | 14.0% | 7.4% | 17.9883 |
| 21 | Unit Linked Growth - II fund | ULIF01808/01/2010LIGROWT-II122 | 1/8/2010 | Non Par | 159.03 | 19.2322 | 19.2322 | 18.4489 | 16.707 | 17.326 | 16.254 | 18.3% | 9.5% | 19.4251 |
| 22 | Unit Linked Balanced- II fund | ULIF01508/01/2010LIBALAN-II122 | 1/8/2010 | Non Par | 173.79 | 18.9656 | 18.9656 | 18.3146 | 17.358 | 17.553 | 16.549 | 14.6% | 9.9% | 19.0869 |
| 23 | Unit Linked Enhancer - II fund | ULIF01708/01/2010LIFENHN-II122 | 1/8/2010 | Non Par | 290.11 | 21.9468 | 21.9468 | 21.0714 | 18.574 | 19.571 | 18.393 | 19.3% | 9.5% | 22.2218 |
| 24 | Unit Linked Debt -II fund | ULIF01608/01/2010LIFEDEBT-II122 | 1/8/2010 | Non Par | 147.86 | 19.1453 | 19.1453 | 18.5965 | 18.529 | 18.107 | 17.141 | 11.7% | 10.6% | 19.2014 |
| 25 | Unit Linked Infrastructure fund | ULIF01908/01/2010LIFEINFRAF122 | 1/8/2010 | Non Par | 44.90 | 12.2459 | 12.2459 | 11.9693 | 10.460 | 10.487 | 10.069 | 21.6% | 3.1% | 12.8396 |
| 26 | Unit Linked Protector - II fund | ULIF02108/01/2010LIPROTE-II122 | 1/8/2010 | Non Par | 54.87 | 18.5255 | 18.5255 | 17.9784 | 17.593 | 17.390 | 16.464 | 12.5% | 10.2% | 18.6060 |
| 27 | Pension Unit Linked Index - II fund | ULIF02625/01/2010PNINDEX-II122 | 1/25/2010 | Non Par | 33.69 | 19.4164 | 19.4164 | 18.6862 | 16.668 | 17.545 | 16.926 | 14.7% | 7.9% | 19.6945 |
| 28 | Pension Unit Linked PSU fund | ULIF02725/01/2010PNSNPSUFND122 | 1/25/2010 | Non Par | 22.25 | 13.9498 | 13.9498 | 14.6361 | 13.084 | 12.377 | 10.780 | 29.4% | 6.1% | 15.6202 |
| 29 | Pension Unit Linked Balanced - II fund | ULIF02325/01/2010PNBALAN-II122 | 1/25/2010 | Non Par | 14.90 | 18.3813 | 18.3813 | 17.7766 | 16.956 | 17.028 | 16.039 | 14.6% | 9.9% | 18.4930 |
| 30 | Pension Unit Linked Growth - II fund | ULIF02425/01/2010PNGROWT-II122 | 1/25/2010 | Non Par | 16.00 | 20.1403 | 20.1403 | 19.3767 | 17.995 | 18.414 | 17.342 | 16.1% | 9.8% | 20.2949 |
| 31 | Pension Unit Linked Infrastructure fund | ULIF02525/01/2010PNSNINFRAF122 | 1/25/2010 | Non Par | 9.78 | 12.7602 | 12.7602 | 12.4521 | 10.890 | 10.911 | 10.485 | 21.7% | 2.6% | 13.3680 |
| 32 | Pension Unit Linked Protector -II fund | ULIF02825/01/2010PNPROTE-II122 | 1/25/2010 | Non Par | 8.59 | 18.7603 | 18.7603 | 18.1891 | 17.803 | 17.577 | 16.669 | 12.5% | 10.0% | 18.8381 |
| 33 | Unit Linked Liquid fund | ULIF02903/05/2010LIFEELIQUID122 | 5/3/2010 | Non Par | 0.00 | 12.4150 | 12.4150 | 12.4150 | 12.415 | 12.415 | 12.415 | 0.0% | 1.2% | 12.4150 |
| 34 | Unit Linked Wealth Builder fund | ULIF03020/07/2010LIFEWEALTH122 | 7/20/2010 | Non Par | 8.92 | 16.5226 | 16.5226 | 16.2505 | 15.863 | 15.728 | 15.238 | 8.4% | 8.1% | 16.5288 |
| 35 | Discontinued Policy Fund | ULIF03127/01/2011LIDISCLCY122 | 1/27/2011 | Non Par | 222.84 | 15.9602 | 15.9602 | 15.7372 | 15.515 | 15.281 | 15.043 | 6.1% | 7.0% | 15.9577 |
| 36 | Group Superannuation & Gratuity Income fund | ULGF00728/03/2011GROUPINCOM122 | 3/25/2011 | Non Par | 0.01 | 16.9359 | 16.9359 | 16.6272 | 16.461 | 16.161 | 15.748 | 7.5% | 8.2% | 16.9406 |
| 37 | Unit Linked Dynamic P/E Fund | ULIF03201/08/2011LIFDYNAMIC122 | 8/1/2011 | Non Par | 131.50 | 18.5583 | 18.5583 | 17.8691 | 15.872 | 16.654 | 15.673 | 18.4% | 8.9% | 18.7730 |
| 38 | Unitised with Profit fund | ULIF00225/06/2002LIFWPROFIT122 | 6/25/2002 | Par | 116.94 | 22.5113 | 22.5113 | 21.9951 | 21.496 | 20.998 | 20.608 | 9.2% | 7.7% | 21.9951 |
| 39 | Pension Unitised with Profit fund | ULIF00411/02/2003PNSWPROFIT122 | 2/11/2003 | Par | 13.33 | 22.8228 | 22.8228 | 22.2995 | 21.794 | 21.289 | 20.881 | 9.3% | 8.0% | 22.2995 |
| | Total | | | | 4,583.75 | | | | | | | | | |

CERTIFICATION

Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE : 18-07-2017

Note: * NAV should reflect the published NAV on the reporting date

Karni S Arha
Chief Financial Officer

FORM L-29 : Detail regarding debt securities

Unit Linked

(Rs in cr)

| Detail Regarding debt securities | | | | | | | | |
|--|-------------------|------------------------------|-------------------|------------------------------|-------------------|------------------------------|-------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 30 Jun 2017 | as % of total for this class | As at 30 Jun 2016 | as % of total for this class | As at 30 Jun 2017 | as % of total for this class | As at 30 Jun 2016 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 1,724 | 91.7% | 2,165 | 95.4% | 1,675 | 92.0% | 2,118 | 95.5% |
| AA or better | 156 | 8.3% | 104 | 4.6% | 146 | 8.0% | 100 | 4.5% |
| Rated below AA but above A | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| | 1,880 | 100.00% | 2,269 | 100.00% | 1,821 | 100.00% | 2,219 | 100.0% |
| BREAKDOWN BY RESIDUALMATURITY | | | | | | | | |
| Up to 1 year | 421 | 22.4% | 993 | 43.8% | 421 | 23.1% | 992 | 44.7% |
| more than 1 year and upto 3years | 135 | 7.2% | 82 | 3.6% | 135 | 7.4% | 80 | 3.6% |
| More than 3years and up to 7years | 369 | 19.6% | 268 | 11.8% | 351 | 19.3% | 255 | 11.5% |
| More than 7 years and up to 10 years | 530 | 28.2% | 187 | 8.2% | 512 | 28.1% | 179 | 8.1% |
| More than 10 years and up to 15 years | 111 | 5.9% | 321 | 14.2% | 109 | 6.0% | 315 | 14.2% |
| More than 15 years and up to 20 years | 178 | 9.5% | 154 | 6.8% | 173 | 9.5% | 149 | 6.7% |
| Above 20 years | 135 | 7.2% | 264 | 11.6% | 120 | 6.6% | 248 | 11.2% |
| | 1,880 | 100.00% | 2,269 | 100.0% | 1,821 | 100.0% | 2,219 | 100.0% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 866 | 46.0% | 1,095 | 48.3% | 853 | 46.9% | 1,076 | 48.5% |
| State Government | 5 | 0.2% | 6 | 0.3% | 4 | 0.2% | 6 | 0.3% |
| Corporate Securities | 1,010 | 53.7% | 1,168 | 51.5% | 963 | 52.9% | 1,137 | 51.2% |
| | 1,880 | 100.00% | 2,269 | 100.0% | 1,821 | 100.00% | 2,219 | 100.0% |

| Detail Regarding debt securities | | | | | | | | |
|--|-------------------|------------------------------|-------------------|------------------------------|-------------------|------------------------------|-------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 30 Jun 2017 | as % of total for this class | As at 30 Jun 2016 | as % of total for this class | As at 30 Jun 2017 | as % of total for this class | As at 30 Jun 2016 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 4,387 | 99.4% | 4,066 | 100.0% | 4,387 | 99.4% | 4,066 | 100.0% |
| AA or better | 25 | 0.6% | 0 | 0.0% | 25 | 0.6% | 0 | 0.0% |
| Rated below AA but above A | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| | 4,412 | 100.00% | 4,066 | 100.00% | 4,412 | 100.00% | 4,066 | 100.00% |
| BREAKDOWN BY RESIDUALMATURITY | | | | | | | | |
| Up to 1 year | 273 | 6.2% | 710 | 17.5% | 273 | 6.2% | 710 | 17.5% |
| more than 1 year and upto 3years | 310 | 7.0% | 182 | 4.5% | 310 | 7.0% | 182 | 4.5% |
| More than 3years and up to 7years | 358 | 8.1% | 331 | 8.1% | 358 | 8.1% | 331 | 8.1% |
| More than 7 years and up to 10 years | 344 | 7.8% | 187 | 4.6% | 344 | 7.8% | 187 | 4.6% |
| More than 10 years and up to 15 years | 1,071 | 24.3% | 925 | 22.7% | 1,071 | 24.3% | 925 | 22.7% |
| More than 15 years and up to 20 years | 681 | 15.4% | 569 | 14.0% | 681 | 15.4% | 569 | 14.0% |
| Above 20 years | 1,375 | 31.2% | 1,163 | 28.6% | 1,375 | 31.2% | 1,163 | 28.6% |
| | 4,412 | 100.00% | 4,066 | 100.00% | 4,412 | 100.00% | 4,066 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 2,817 | 63.8% | 2,635 | 64.8% | 2,817 | 63.8% | 2,635 | 64.8% |
| State Government | 189 | 4.3% | 135 | 3.3% | 189 | 4.3% | 135 | 3.3% |
| Corporate Securities | 1,406 | 31.9% | 1,296 | 31.9% | 1,406 | 31.9% | 1,296 | 31.9% |
| | 4,412 | 100.00% | 4,066 | 100.00% | 4,412 | 100.00% | 4,066 | 100.00% |

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Non-ULIP includes Shareholder fund.
4. Fixed Deposit are considered as Equity and Equity related instrument as per IRDAI Investment return guidelines.
5. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
6. Reverse Repo with underlying as Gsec has been classified as Corporate Securities and AAA rated instrument.
7. AAA rated includes all Sovereign rated instruments#

FORM L-30 : Related Party Transactions

| Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | (Rs in Lakhs) | (Rs in Lakhs) | (Rs in Lakhs) | (Rs in Lakhs) |
|-----------------------------------|---|--|---------------|---------------|---------------|---------------|
| | | | Q1 '17-18 | YTD June '17 | Q1 '16-17 | YTD June '16 |
| Aviva Group Holdings Limited | Significant Influence | Net Balance Receivable/ (Payable) | (1.20) | (1.20) | (1.20) | (1.20) |
| Aviva PLC | Significant Influence | Net Balance Receivable/ (Payable) | (26.61) | (26.61) | (32.69) | (32.69) |
| AVIVA Central Services UK Limited | Significant Influence | Net Balance Receivable/ (Payable) | 120.98 | 120.98 | - | - |
| Aviva Life Services UK Limited | Significant Influence | Net Balance Receivable/ (Payable) | 0.67 | 0.67 | - | - |
| Aviva Asia PTE Ltd. | Significant Influence | Reimbursement of Travel related expenses | | | (13.70) | (13.70) |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Sesame Group India Pvt Ltd | Significant Influence | Sublease related transaction | 27.99 | 27.99 | - | - |
| Trevor Bull | Key Managerial Personnel | Managerial Remuneration | (73.91) | (73.91) | (76.62) | (76.62) |

FORM L-31 LNL - 6 : Board of Directors & Key Person

| BOD and Key Person Information | | | April-June, 2017 | |
|--------------------------------|-------------------------|--|---------------------------------|-----------------|
| Sl. No. | Name of person | Role/designation | Details of change in the period | |
| | | | Date of Appointment | Date of Ceasing |
| 1 | Dr Ajay Dua | Director | NA | NA |
| 2 | Dr Anand Chand Burman | Director | NA | NA |
| 3 | Bobby Parikh | Director | NA | NA |
| 4 | David Elliot | Additional Director | 17-May-17 | NA |
| 5 | Falguni Sanjay Nayar | Director | NA | NA |
| 6 | Lee Patrick Callaghan | Director | NA | NA |
| 7 | Mohit Burman | Director | NA | NA |
| 8 | Pradip Burman | Director | NA | NA |
| 9 | Pritam Das Narang | Director | NA | NA |
| 10 | Nishit Piyush Majmudar | Director | NA | NA |
| 11 | Trevor Bull | Chief Executive Officer & Managing Director | NA | NA |
| 12 | Vivek Saxena | General Counsel | NA | NA |
| 13 | Anjali Malhotra Nanda | Chief Customer, Marketing and Digital Officer | NA | NA |
| 14 | Sanjeeb Kumar | Appointed Actuary & Chief Risk Officer | NA | NA |
| 15 | Karni Singh Arha | Chief Financial Officer & Chief Operations, IT and Customer Services Officer | 24-04-2017* | NA |
| 16 | Vijayalakshmi Natarajan | Chief Compliance Officer | NA | NA |
| 17 | Prashant Kalaver | Chief of Internal Audit | NA | NA |
| 18 | Prashant Sharma | Chief Investment officer | NA | NA |
| 19 | Amit Malik | Chief People Officer | NA | NA |
| 20 | Mahesh Misra | Chief Distribution Officer | NA | NA |
| 21 | Nisha Prabhakar | Company Secretary | NA | NA |
| 22 | Kunal Anand | Chief Strategic Alliances Officer | NA | NA |

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

* The appointment date refers to Mr Karni Singh Arha's interim appointment as Chief Operations, IT and Customer Services Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-32-SOLVENCY MARGIN - KT 3

AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO

Rs. in lakhs

| Item | Description | Adjusted Value | |
|------|---|---------------------|---------------------|
| | | AS AT JUNE 30, 2017 | AS AT JUNE 30, 2016 |
| 01 | Available Assets in Policyholders' Fund: Deduct: | 875,881 | 836,656 |
| 02 | Mathematical Reserves | 826,562 | 790,099 |
| 03 | Other Liabilities | 45,679 | 43,410 |
| 04 | Excess in Policyholders' funds | 3,639 | 3,147 |
| 05 | Available Assets in Shareholders Fund: Deduct: | 70,178 | 74,151 |
| 06 | Other Liabilities of shareholders' fund | 566 | 0 |
| 07 | Excess in Shareholders' funds | 69,612 | 74,151 |
| 08 | Total ASM (04)+(07) | 73,251 | 77,298 |
| 09 | Total RSM | 21,822 | 20,047 |
| 10 | Solvency Ratio (ASM/RSM) | 3.36 | 3.86 |

Certification:

I, Sanjeeb Kumar, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Sd/-

Sanjeeb Kumar

Appointed Actuary

Place: Gurgaon
Date: _____

Notes

- Item No. 01 is the amount of the Total Admissible Assets for Solvency as mentioned in Form IRDAI-Assets- AA under Policyholders A/c
- Item No. 02 is the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 are the amounts of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 is the amount of Total Admissible Assets for Solvency as mentioned in Form IRDAI-Assets- AA under Shareholders A/c

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th June 2017

Details of Non Performing Assets-Quarterly

Name of the Fund -Pension General Annuity Fund

Periodicity of Submission : Quarterly

| NO | PARTICULARS | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|----|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) |
| 1 | Investment Assets (As per Form 3A/3B- Total Fund) | 114.71 | 117.93 | - | - | 19.66 | 37.35 | 222.76 | 213.86 | 357.13 | 369.13 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision Made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % on NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 114.71 | 117.93 | - | - | 19.66 | 37.35 | 222.76 | 213.86 | 357.13 | 369.13 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Karni S Arha
Chief Financial Officer

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th June 2017

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

| NO | PARTICULARS | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|----|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) |
| 1 | Investment Assets (As per Form 3A/3B- Total Fund) | 1,189.56 | 1,101.36 | - | - | 82.50 | 94.81 | 2,855.06 | 2,811.21 | 4,127.12 | 4,007.38 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision Made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % on NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 1,189.56 | 1,101.36 | - | - | 82.50 | 94.81 | 2,855.06 | 2,811.21 | 4,127.12 | 4,007.38 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Karni S Arha
Chief Financial Officer

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th June 2017

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -ULIP Fund

| NO | PARTICULARS | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|----|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2017) |
| 1 | Investment Assets (As per Form 3A/3B- Total Fund) | 829.05 | 747.59 | - | - | 180.84 | 193.11 | 3,573.85 | 3,744.90 | 4,583.75 | 4,685.60 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision Made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % on NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 829.05 | 747.59 | - | - | 180.84 | 193.11 | 3,573.85 | 3,744.90 | 4,583.75 | 4,685.60 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Karni S Arha
Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

NAME OF THE INSURER:AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

FORM - 1

(Read with Regulation 10)

Name of the Insurer:Aviva Life Insurance Company India Limited

Registration Number: 122

Statement as on: 30th June 2017

Name of the Fund -Pension General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Crore

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | | | |
|----------|---|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|--|--|
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | | |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 190.89 | 3.76 | 2.0% | 2.0% | 190.89 | 3.76 | 2.0% | 2.0% | 141.85 | 3.02 | 2.1% | 2.1% | | |
| | Treasury Bills | CTRB | 22.60 | 0.34 | 1.5% | 1.5% | 22.60 | 0.34 | 1.5% | 1.5% | 44.35 | 0.77 | 1.7% | 1.7% | | |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 2.33 | 0.04 | 1.9% | 1.9% | 2.33 | 0.04 | 1.9% | 1.9% | 1.02 | 0.02 | 2.1% | 2.1% | | |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 53.32 | 1.08 | 2.0% | 2.0% | 53.32 | 1.08 | 2.0% | 2.0% | 42.93 | 0.92 | 2.1% | 2.1% | | |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | | | |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 48.68 | 1.14 | 2.3% | 2.3% | 48.68 | 1.14 | 2.3% | 2.3% | 55.10 | 1.22 | 2.2% | 2.2% | | |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 0.11 | 0.00 | 3.3% | 3.3% | 0.11 | 0.00 | 3.3% | 3.3% | 0.13 | 0.00 | 2.4% | 2.4% | | |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | | | |
| | Commercial Papers | ECCP | 14.70 | 0.28 | 1.9% | 1.9% | 14.70 | 0.28 | 1.9% | 1.9% | 22.88 | 0.47 | 2.0% | 2.0% | | |
| | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCL, RBI | ECDB | - | - | 0.0% | 0.0% | - | - | 0.0% | 0.0% | 5.00 | 0.10 | 2.1% | 2.1% | | |
| | Deposits - CDs with Scheduled Banks | EDCD | 5.69 | 0.10 | 1.8% | 1.8% | 5.69 | 0.10 | 1.8% | 1.8% | 52.86 | 1.00 | 1.9% | 1.9% | | |
| | Deposits - Repo / Reverse Repo | ECMR | 15.36 | 0.23 | 1.5% | 1.5% | 15.36 | 0.23 | 1.5% | 1.5% | 11.50 | 0.20 | 1.8% | 1.8% | | |
| | Corporate Securities - Debentures | ECOS | 11.61 | 0.22 | 1.9% | 1.9% | 11.61 | 0.22 | 1.9% | 1.9% | 7.25 | 0.14 | 2.0% | 2.0% | | |
| | TOTAL | | 365.28 | 7.20 | 2.0% | 2.0% | 365.28 | 7.20 | 2.0% | 2.0% | 384.88 | 7.87 | 2.0% | 2.0% | | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 7/18/2017

Karni S Arha

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

FORM - 1

(Read with Regulation 10)

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Statement as on: 30th June 2017

Statement of Investment and Income on Investment

Name of the Fund - LIFE FUND

Periodicity of Submission: Quarterly

Rs Crore

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|----------|---|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 2,521.94 | 50.32 | 2.0% | 2.0% | 2,521.94 | 50.32 | 2.0% | 2.0% | 2,150.33 | 43.87 | 2.0% | 2.0% |
| | Treasury Bills | CTRB | 57.69 | 0.84 | 1.5% | 1.5% | 57.69 | 0.84 | 1.5% | 1.5% | 269.91 | 4.66 | 1.7% | 1.7% |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 195.87 | 4.39 | 2.2% | 2.2% | 195.87 | 4.39 | 2.2% | 2.2% | 138.11 | 3.03 | 2.2% | 2.2% |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 0.11 | 0.00 | 0.9% | 0.9% | 0.11 | 0.00 | 0.9% | 0.9% | - | - | - | - |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 140.38 | 2.82 | 2.0% | 2.0% | 140.38 | 2.82 | 2.0% | 2.0% | 101.95 | 2.27 | 2.2% | 2.2% |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 0.02 | - | 0.0% | 0.0% | 0.02 | - | 0.0% | 0.0% | 0.02 | (0.00) | -3.3% | -3.3% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 0.04 | - | 0.0% | 0.0% | 0.04 | - | 0.0% | 0.0% | 0.04 | 0.00 | 1.9% | 1.9% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 784.46 | 16.80 | 2.1% | 2.1% | 784.46 | 16.80 | 2.1% | 2.1% | 710.73 | 15.25 | 2.1% | 2.1% |
| | Infrastructure- Infrastructure Development Fund | IDDF | 33.80 | 0.69 | 2.1% | 2.1% | 33.80 | 0.69 | 2.1% | 2.1% | 0.00 | 0.00 | 0.0% | 0.0% |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 2.34 | 0.06 | 2.5% | 2.5% | 2.34 | 0.06 | 2.5% | 2.5% | 2.35 | 0.05 | 2.3% | 2.3% |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 45.96 | 0.89 | 1.9% | 1.9% | 45.96 | 0.89 | 1.9% | 1.9% | - | - | - | - |
| | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Infrastructure - Equity (including unlisted) | IOEQ | - | - | - | - | - | - | 0.0% | 0.0% | - | - | - | - |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 0.04 | 0.00 | 0.4% | 0.4% | 0.04 | 0.00 | 0.4% | 0.4% | 0.03 | 0.00 | 3.4% | 3.4% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 0.51 | 0.00 | 0.9% | 0.9% | 0.51 | 0.00 | 0.9% | 0.9% | 0.43 | 0.00 | 1.2% | 1.2% |
| | Commercial Papers | ECCP | 9.80 | 0.19 | 1.9% | 1.9% | 9.80 | 0.19 | 1.9% | 1.9% | 3.22 | 0.07 | 2.0% | 2.0% |
| | Deposits - CDs with Scheduled Banks | EDCD | 2.93 | 0.05 | 1.7% | 1.7% | 2.93 | 0.05 | 1.7% | 1.7% | 58.32 | 1.11 | 1.9% | 1.9% |
| | Corporate Securities - Preference Shares | EPNQ | 0.00 | - | 0.0% | 0.0% | 0.00 | - | 0.0% | 0.0% | - | - | - | - |
| | Units of Infrastructure Investment Trust | EIIT | 10.68 | 0.06 | 0.6% | 0.6% | 10.68 | 0.06 | 0.6% | 0.6% | - | - | - | - |
| | Deposits - Repo / Reverse Repo | ECMR | 93.74 | 1.39 | 1.5% | 1.5% | 93.74 | 1.39 | 1.5% | 1.5% | 91.18 | 1.55 | 1.7% | 1.7% |
| | Corporate Securities - Debentures | ECOS | 107.26 | 2.24 | 2.1% | 2.1% | 107.26 | 2.24 | 2.1% | 2.1% | 77.09 | 1.67 | 2.2% | 2.2% |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 0.01 | - | 0.0% | 0.0% | 0.01 | - | 0.0% | 0.0% | 0.00 | 0.00 | 0.0% | 0.0% |
| | TOTAL | | 4,046.30 | 82.07 | 2.0% | 2.0% | 4,046.30 | 82.07 | 2.0% | 2.0% | 3,641.69 | 74.71 | 2.1% | 2.1% |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 7/18/2017

Karni S Arha
Chief Financial Officer**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
- 6 YTD Income on investment excludes the Income on Investment of Unclaimed Policyholders Fund
- 7 Unclaimed Policyholder Fund has been reclassified from Life fund and reported in as current assets in the financial statement as per IRDA Investment Regulation 2016

FORM - 1

(Read with Regulation 10)

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Statement as on: 30th June 2017

Name of the Fund - ULIP Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Crore

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|----------|--|---------------|-------------------------------|----------------------|------------------------------|----------------------------|-------------------------------|----------------------|------------------------------|----------------------------|---|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) ¹ | Income on Investment | Gross Yield (%) ² | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment | Gross Yield (%) ² | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment | Gross Yield (%) ² | Net Yield (%) ² |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | |
| | Central Government Bonds | CGBS | 763.72 | 30.51 | 4.0% | 4.0% | 763.72 | 30.51 | 4.0% | 4.0% | 814.35 | 26.97 | 3.3% | 3.3% |
| | Treasury Bills | CTRB | 206.07 | 3.18 | 1.5% | 1.5% | 206.07 | 3.18 | 1.5% | 1.5% | 322.17 | 5.60 | 1.7% | 1.7% |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 22.41 | 0.81 | 3.6% | 3.6% | 22.41 | 0.81 | 3.6% | 3.6% | 6.05 | 0.17 | 2.8% | 2.8% |
| | Central Government Guaranteed Loans / Bonds | CGSL | 5.29 | 0.09 | 1.7% | 1.7% | 5.29 | 0.09 | 1.7% | 1.7% | 16.18 | 0.37 | 2.3% | 2.3% |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 123.76 | 2.96 | 2.4% | 2.4% | 123.76 | 2.96 | 2.4% | 2.4% | 141.58 | 3.19 | 2.3% | 2.3% |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 159.01 | (0.33) | -0.2% | -0.2% | 159.01 | (0.33) | -0.2% | -0.2% | 149.85 | 22.48 | 15.0% | 15.0% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 256.68 | 11.09 | 4.3% | 4.3% | 256.68 | 11.09 | 4.3% | 4.3% | 226.80 | 24.93 | 11.0% | 11.0% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 164.68 | 5.42 | 3.3% | 3.3% | 164.68 | 5.42 | 3.3% | 3.3% | 108.57 | 2.68 | 2.5% | 2.5% |
| | Infrastructure- Infrastructure Development Fund | IDDF | 43.76 | 0.13 | 0.3% | 0.3% | 43.76 | 0.13 | 0.3% | 0.3% | - | - | - | - |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 97.46 | 2.63 | 2.7% | 2.7% | 97.46 | 2.63 | 2.7% | 2.7% | 93.16 | 2.02 | 2.2% | 2.2% |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 32.90 | 1.18 | 3.6% | 3.6% | 32.90 | 1.18 | 3.6% | 3.6% | - | - | - | - |
| | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Infrastructure - Equity (including unlisted) | IOEQ | 12.67 | (0.25) | -2.0% | -2.0% | 12.67 | (0.25) | -2.0% | -2.0% | 22.60 | -2.02 | -8.9% | -8.9% |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 268.07 | (10.74) | -4.0% | -4.0% | 268.07 | (10.74) | -4.0% | -4.0% | 270.73 | 25.15 | 9.3% | 9.3% |
| | Corporate Securities - Preference Shares | EPNQ | 1.96 | (0.00) | 0.0% | 0.0% | 1.96 | (0.00) | 0.0% | 0.0% | 0.39 | (0.00) | -0.5% | -0.5% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 1,731.16 | 101.73 | 5.9% | 5.9% | 1,731.16 | 101.73 | 5.9% | 5.9% | 1670.97 | 99.88 | 6.0% | 6.0% |
| | Commercial Papers | ECCP | 0.49 | 0.01 | 1.8% | 1.8% | 0.49 | 0.01 | 1.8% | 1.8% | 0.07 | 0.00 | 2.0% | 2.0% |
| | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI | ECDB | 4.11 | 0.16 | 3.8% | 3.8% | 4.11 | 0.16 | 3.8% | 3.8% | 4.86 | 0.17 | 3.5% | 3.5% |
| | Deposits - CDs with Scheduled Banks | EDCD | - | - | - | - | - | - | - | - | 143.97 | 2.56 | 1.8% | 1.8% |
| | Deposits - Repo / Reverse Repo | ECMR | 212.85 | 3.15 | 1.5% | 1.5% | 212.85 | 3.15 | 1.5% | 1.5% | 302.10 | 5.11 | 1.7% | 1.7% |
| | Corporate Securities - Debentures | ECOS | 291.67 | 10.08 | 3.5% | 3.5% | 291.67 | 10.08 | 3.5% | 3.5% | 304.80 | 7.05 | 2.3% | 2.3% |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | 154.72 | - | 0.0% | 0.0% | 154.72 | - | 0.0% | 0.0% | -26.72 | - | - | - |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 60.91 | (3.45) | -5.7% | -5.7% | 60.91 | (3.45) | -5.7% | -5.7% | 64.08 | 9.74 | 15.2% | 15.2% |
| | Equity Exchange Traded Fund | OETF | 143.49 | 11.09 | 7.7% | 7.7% | 143.49 | 11.09 | 7.7% | 7.7% | 45.22 | 4.48 | 9.9% | 9.9% |
| | TOTAL | | 4,757.83 | 169.44 | 3.56% | 3.56% | 4,757.83 | 169.44 | 3.56% | 3.56% | 4,681.78 | 240.51 | 5.14% | 5.14% |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 7/18/2017

Karni S Arha
Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122

Statement as on: 30th June 2017

Name of Fund- Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Crores

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|-----------|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u>¹ | | | | | | | | |
| | Nil | | | | | | | | |
| B. | <u>As on Date</u>² | | | | | | | | |
| | Nil | | | | | | | | |

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122

Statement as on: 30th June 2017

Name of Fund- Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Crores

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | Nil | | | | | | | | |
| | | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | Nil | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122

Statement as on: 30th June 2017

Name of Fund Pension Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Crores

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | Nil | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | Nil | | | | | | | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 7/18/2017

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Karni S Arha
Chief Financial Officer

FORM L-36: Premium and Number of lives covered by policy type

(Rs in Lakhs)

| | Q1 '17-18 | | | | Q1 '16-17 | | | | YTD June'17 | | | | YTD June'16 | | | |
|--|-----------|-----------------|--------------|----------------------------------|-----------|-----------------|--------------|----------------------------------|-------------|-----------------|--------------|----------------------------------|-------------|-----------------|--------------|----------------------------------|
| | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 First year Premium | | | | | | | | | | | | | | | | |
| i Individual Single Premium- (ISP) | | | | | | | | | | | | | | | | |
| From 0-10000 | 18 | 1,994 | 1,990 | 423 | 5 | 262 | 261 | 56 | 18 | 1,994 | 1,990 | 423 | 5 | 262 | 261 | 56 |
| From 10,000-25,000 | 5 | 3 | 2 | 7 | 7 | 4 | 3 | 7 | 5 | 3 | 2 | 7 | 7 | 4 | 3 | 7 |
| From 25001-50,000 | 12 | 3 | 3 | 18 | 4 | 1 | - | 8 | 12 | 3 | 3 | 18 | 4 | 1 | - | 8 |
| From 50,001-75,000 | 12 | 2 | 2 | 15 | 7 | 1 | 1 | 9 | 12 | 2 | 2 | 15 | 7 | 1 | 1 | 9 |
| From 75,000-100,000 | 8 | 1 | 1 | 10 | - | - | - | - | 8 | 1 | 1 | 10 | - | - | - | - |
| From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Above Rs. 1,25,000 | - | - | - | - | 143 | 5 | 4 | 179 | - | - | - | - | 143 | 5 | 4 | 179 |
| ii Individual Single Premium (ISPA)- Annuity | | | | | | | | | | | | | | | | |
| From 0-50000 | 28 | 6 | 6 | - | 24 | 21 | 20 | - | 28 | 6 | 6 | - | 24 | 21 | 20 | - |
| From 50,001-100,000 | 13 | 2 | 2 | - | - | - | - | - | 13 | 2 | 2 | - | - | - | - | - |
| From 1,00,001-1,50,000 | - | - | - | - | 12 | 1 | - | - | - | - | - | - | 12 | 1 | - | - |
| From 1,50,001-2,00,000 | 17 | 1 | 1 | - | - | - | - | - | 17 | 1 | 1 | - | - | - | - | - |
| From 2,00,001-2,50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 2,50,001-3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| iii Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| From 0-10000 | 0 | 0 | 1 | (762) | 0 | 0 | 1 | (427) | 0 | 0 | 1 | (762) | 0 | 0 | 1 | (427) |
| From 10,000-25,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| From 25001-50,000 | 0 | 0 | 3 | 17 | 1 | 0 | 4 | 30 | 0 | 0 | 3 | 17 | 1 | 0 | 4 | 30 |
| From 50,001-75,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| From 75,000-100,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| From 1,00,001-1,25,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Above Rs. 1,25,000 | 38 | 2 | 201 | 1,858 | 2 | 0 | 10 | 95 | 38 | 2 | 201 | 1,858 | 2 | 0 | 10 | 95 |
| iv Group Single Premium- Annuity- GSPA | | | | | | | | | | | | | | | | |
| From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 1,00,001-1,50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 1,50,001-2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 2,00,001-2,50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 2,50,001-3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| v Individual non Single Premium- INSP | | | | | | | | | | | | | | | | |
| From 0-10000 | 40 | 1,454 | 1,718 | 28,466 | 43 | 1,093 | 1,073 | 28,361 | 40 | 1,454 | 1,718 | 28,466 | 43 | 1,093 | 1,073 | 28,361 |
| From 10,000-25,000 | 151 | 878 | 874 | 18,852 | 201 | 1,195 | 1,190 | 23,492 | 151 | 878 | 874 | 18,852 | 201 | 1,195 | 1,190 | 23,492 |
| From 25001-50,000 | 491 | 1,213 | 1,207 | 13,120 | 358 | 1,014 | 1,005 | 11,674 | 491 | 1,213 | 1,207 | 13,120 | 358 | 1,014 | 1,005 | 11,674 |
| From 50,001-75,000 | 144 | 241 | 241 | 3,268 | 113 | 186 | 183 | 4,060 | 144 | 241 | 241 | 3,268 | 113 | 186 | 183 | 4,060 |
| From 75,000-100,000 | 416 | 426 | 426 | 7,996 | 279 | 310 | 308 | 6,002 | 416 | 426 | 426 | 7,996 | 279 | 310 | 308 | 6,002 |
| From 1,00,001-1,25,000 | 66 | 63 | 62 | 1,643 | 59 | 58 | 58 | 1,919 | 66 | 63 | 62 | 1,643 | 59 | 58 | 58 | 1,919 |
| Above Rs. 1,25,000 | 1,211 | 369 | 369 | 19,459 | 1,033 | 276 | 274 | 17,751 | 1,211 | 369 | 369 | 19,459 | 1,033 | 276 | 274 | 17,751 |
| vi Individual non Single Premium- Annuity- INSPA | | | | | | | | | | | | | | | | |
| From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 1,00,001-1,50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 1,50,001-2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 2,00,001-2,50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 2,50,001-3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| vii Group Non Single Premium (GNSP) | | | | | | | | | | | | | | | | |
| From 0-10000 | (8) | 1 | 7581 | (10,402) | (14) | - | 19791 | (9,411) | (8) | 1 | 7,581 | (10,402) | (14) | - | 19,791 | (9,411) |
| From 10,000-25,000 | 5 | 5 | 3,199 | 5,675 | 4 | - | 749 | 4,074 | 5 | 5 | 3,199 | 5,675 | 4 | - | 749 | 4,074 |
| From 25001-50,000 | 5 | 4 | 2,126 | 4,471 | 6 | 4 | 1,650 | 5,020 | 5 | 4 | 2,126 | 4,471 | 6 | 4 | 1,650 | 5,020 |
| From 50,001-75,000 | 4 | 3 | 273 | 1,831 | 4 | 1 | 1,687 | 3,185 | 4 | 3 | 273 | 1,831 | 4 | 1 | 1,687 | 3,185 |
| From 75,000-100,000 | 3 | - | 401 | 1,609 | 1 | - | 238 | 2,524 | 3 | - | 401 | 1,609 | 1 | - | 238 | 2,524 |
| From 1,00,001-1,25,000 | 9 | 5 | 3,527 | 5,942 | 1 | - | 1,196 | 1,006 | 9 | 5 | 3,527 | 5,942 | 1 | - | 1,196 | 1,006 |
| Above Rs. 1,25,000 | 1,271 | 16 | 85,374 | 348,159 | 1,079 | 3 | 6,447 | 5,025 | 1,271 | 16 | 85,374 | 348,159 | 1,079 | 3 | 6,447 | 5,025 |
| viii Group Non Single Premium- Annuity- GNSPA | | | | | | | | | | | | | | | | |
| From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-36: Premium and Number of lives covered by policy type

[Rs in Lakhs]

| | | Q1 '17-18 | | | | Q1 '16-17 | | | | YTD June'17 | | | | YTD June'16 | | | |
|----------|------------------------|-----------|-----------------|--------------|----------------------------------|-----------|-----------------|--------------|----------------------------------|-------------|-----------------|--------------|----------------------------------|-------------|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 2 | Renewal Premium | | | | | | | | | | | | | | | | |
| | i Individual | | | | | | | | | | | | | | | | |
| | From 0-10000 | 1,280 | 29,945 | 29,663 | 801,681 | 1,363 | 32,331 | 32,104 | 807,222 | 1,280 | 29,945 | 29,663 | 801,681 | 1,363 | 32,331 | 32,104 | 807,222 |
| | From 10,000-25,000 | 3,548 | 37,405 | 37,057 | 584,456 | 3,964 | 42,601 | 42,312 | 595,506 | 3,548 | 37,405 | 37,057 | 584,456 | 3,964 | 42,601 | 42,312 | 595,506 |
| | From 25,001-50,000 | 3,452 | 17,890 | 17,679 | 229,127 | 3,642 | 19,837 | 19,649 | 239,732 | 3,452 | 17,890 | 17,679 | 229,127 | 3,642 | 19,837 | 19,649 | 239,732 |
| | From 50,001-75,000 | 1,230 | 4,398 | 4,296 | 71,669 | 1,306 | 4,873 | 4,781 | 74,768 | 1,230 | 4,398 | 4,296 | 71,669 | 1,306 | 4,873 | 4,781 | 74,768 |
| | From 75,000-100,000 | 2,058 | 3,526 | 3,507 | 73,049 | 2,374 | 4,021 | 3,995 | 78,715 | 2,058 | 3,526 | 3,507 | 73,049 | 2,374 | 4,021 | 3,995 | 78,715 |
| | From 1,00,001-1,25,000 | 735 | 1,383 | 1,358 | 36,149 | 850 | 1,572 | 1,540 | 36,930 | 735 | 1,383 | 1,358 | 36,149 | 850 | 1,572 | 1,540 | 36,930 |
| | Above Rs. 1,25,000 | 4,857 | 2,762 | 2,720 | 170,768 | 4,737 | 3,163 | 3,115 | 173,192 | 4,857 | 2,762 | 2,720 | 170,768 | 4,737 | 3,163 | 3,115 | 173,192 |
| | ii Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25,001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group | | | | | | | | | | | | | | | | |
| | From 0-10000 | 0.07 | 19 | 18,779 | 425.47 | -1.23 | 21 | 18,735 | 206.13 | 0.07 | 19 | 18,779 | 425.47 | -1.23 | 21 | 18,735 | 206.13 |
| | From 10,000-25,000 | 1.52 | 2 | 113 | 289.46 | 2.42 | 4 | 518 | 326.88 | 1.52 | 2 | 113 | 289.46 | 2.42 | 4 | 518 | 326.88 |
| | From 25,001-50,000 | 4.06 | 6 | 877 | 1,836.14 | 6.35 | 7 | 412 | 1,486 | 4.06 | 6 | 877 | 1,836.14 | 6.35 | 7 | 412 | 1,485.72 |
| | From 50,001-75,000 | 8.74 | 3 | 1,056 | 753.49 | 5.27 | 2 | 599 | 2,430 | 8.74 | 3 | 1,056 | 753.49 | 5.27 | 2 | 599 | 2,429.98 |
| | From 75,000-100,000 | 5.50 | 4 | 1,575 | 2,841.47 | 7.84 | 4 | 1,662 | 2,886 | 5.50 | 4 | 1,575 | 2,841.47 | 7.84 | 4 | 1,662 | 2,886.15 |
| | From 1,00,001-1,25,000 | 1.20 | 1 | 733 | 1,352.47 | 3.51 | 2 | 695 | 1,911 | 1.20 | 1 | 733 | 1,352.47 | 3.51 | 2 | 695 | 1,911.32 |
| | Above Rs. 1,25,000 | 133.90 | 17 | 17,699 | 76,748.87 | 173.92 | 16 | 18,938 | 100,228 | 133.90 | 17 | 17,699 | 76,748.87 | 173.92 | 16 | 18,938 | 100,227.67 |
| | iv Group- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25,001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

(Rs in Lakhs)

| Sl. No. | Channels | Q1 '17-18 | | | Q1 '16-17 | | | YTD June '17 | | | YTD June '16 | | |
|--------------------------|--------------------------|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|
| | | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium |
| 1 | Individual agents | 2 | 1,772 | 5.69 | 0 | 421 | 16.23 | 2 | 1,772 | 5.69 | 0 | 421 | 16.23 |
| 2 | Corporate Agents-Banks | 0 | 0 | 0.00 | 0 | 13 | 5.00 | 0 | 0 | 0.00 | 0 | 13 | 5.00 |
| 3 | Corporate Agents -Others | 0 | 0 | 0.00 | 0 | 0 | - | 0 | 0 | 0.00 | 0 | 0 | - |
| 4 | Brokers | 11 | 51,410 | 652.49 | 0 | 1,110 | 14.88 | 11 | 51,410 | 652.49 | 0 | 1,110 | 14.88 |
| 5 | Micro Agents | 0 | 0 | 0.00 | 0 | 0 | - | 0 | 0 | 0.00 | 0 | 0 | - |
| 6 | Direct Business | 23 | 49,704 | 669.53 | 8 | 30,229 | 1,047.70 | 23 | 49,704 | 669.53 | 8 | 30,229 | 1,047.70 |
| Total(A) | | 36 | 102,886 | 1,328 | 8 | 31,773 | 1,084 | 36 | 102,886 | 1,328 | 8 | 31,773 | 1,084 |
| 1 | Referral (B) | 0 | 0 | 0 | 0 | 0 | - | 0 | 0 | 0 | 0 | 0 | - |
| Grand Total (A+B) | | 36 | 102,886 | 1,328 | 8 | 31,773 | 1,084 | 36 | 102,886 | 1,328 | 8 | 31,773 | 1,084 |

(Rs in Lakhs)

| S.No. | Channels | Business Acquisition through different channels (Individuals) | | | | | | | |
|-------|--------------------------|---|--------------|-----------------|--------------|-----------------|--------------|-----------------|--------------|
| | | Q1 '17-18 | | Q1 '16-17 | | YTD June'17 | | YTD June'16 | |
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 4,138 | 1,335 | 2,639 | 1,515 | 4,138 | 1,335 | 2,639 | 1,515 |
| 2 | Corporate Agents-Banks | 1,152 | 327 | 634 | 348 | 1,152 | 327 | 634 | 348 |
| 3 | Corporate Agents -Others | 29 | 71 | 13 | 11 | 29 | 71 | 13 | 11 |
| 4 | Brokers | 52 | 16 | 13 | 90 | 52 | 16 | 13 | 90 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 1,485 | 910 | 1,081 | 422 | 1,485 | 910 | 1,081 | 422 |
| | Total (A) | 6,856 | 2,658 | 4,380 | 2,385 | 6,856 | 2,658 | 4,380 | 2,385 |
| 1 | Referral (B) | - | (6) | 49 | (77) | - | (6) | 49 | (77) |
| | Grand Total (A+B) | 6,856 | 2,652 | 4,429 | 2,308 | 6,856 | 2,652 | 4,429 | 2,308 |

Individual

| Ageing of Claims* | | | | | | | | | |
|-------------------|-------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (in'000) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | - | 1,255 | 300 | 113 | 37 | - | 1,705 | 414,106 |
| 2 | Survival Benefit | 92 | 3,187 | 12 | 1 | 1 | - | 3,293 | 170,734 |
| 3 | for Annuities / Pension | 373 | 1,028 | 54 | 28 | 36 | 48 | 1,567 | 4,422 |
| 4 | For Surrender | - | 7,485 | 1 | - | - | - | 7,486 | 2,225,954 |
| 5 | Other benefits | - | - | - | - | - | - | - | - |
| | CI/PTD/ADP | - | 2 | - | - | - | - | 2 | 400 |
| | HCB | - | 4 | - | - | - | - | 4 | 36 |
| | ADB | - | 5 | - | - | - | - | 5 | 4,395 |
| | IB | - | 6 | - | - | - | - | 6 | 179 |
| 6 | Partial Withdrawals | - | 454 | - | - | - | - | 454 | 89,992 |
| 7 | Auto Foreclosure | - | 2,831 | - | - | - | - | 2,831 | 484,749 |
| 8 | EL Surrender | - | 4,778 | - | - | - | - | 4,778 | 359,069 |
| 9 | Death Claims | - | 254 | - | - | - | - | 254 | 222,249 |

Group

| Ageing of Claims* | | | | | | | | | |
|-------------------|-------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (in'000) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | - | - | - | - | - | - | - | - |
| 2 | Survival Benefit | - | - | - | - | - | - | - | - |
| 3 | for Annuities / Pension | - | - | - | - | - | - | - | - |
| 4 | For Surrender | - | 7 | - | - | - | - | 7 | 7,070 |
| 5 | Other benefits | - | - | - | - | - | - | - | - |
| 6 | Foreclosure | - | 157 | - | - | - | - | 157 | 631 |
| 7 | Gratuity | - | 547 | - | - | - | - | 547 | 210,880 |
| 8 | Leave encashment | - | 9 | - | - | - | - | 9 | 471 |
| 9 | ADB | - | - | - | - | - | - | - | - |
| 10 | Death Claims | - | - | - | - | - | - | - | - |

*the ageing of claims, in case of the death of the claims has been computed from the date of completion of all the documentation.

Data is on settlement basis

| Individual | | No. of claims only | | | | | | | | | | | |
|------------|--|--------------------|--------------|------------------|-----------------------|-----------------|----------------------------|-----------------------|------------------|--------------------|----------------|--------------|-----|
| Sl. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/Pension | For Surrender** | For Partial withdrawals*** | For Auto Fore Closure | For EL Surrender | CI/ADD/PTD* *** | Income Benefit | HCB*** ** | ADB |
| 1 | Claims O/S at the beginning of the period | 7 | 1,185 | - | 778 | 16 | 1 | - | - | - | 28 | - | 1 |
| 2 | Claims reported during the period* | 248 | 1,778 | 3,315 | 1,638 | 7,441 | 626 | 2,010 | 3,016 | 4 | 11 | 6 | 4 |
| 3 | Claims Settled during the period | 247 | 1,705 | 3,293 | 1,567 | 7,254 | 613 | 2,010 | 3,016 | 2 | 6 | 4 | 5 |
| 4 | Claims Repudiated during the period | 5 | - | - | - | - | - | - | - | - | - | - | - |
| a | Less than 2 years from the date of acceptance of risk | 4 | - | - | - | - | - | - | - | - | - | - | - |
| b | Grater than 2 year from the date of acceptance of risk | 1 | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Claims Written Back | - | 203 | - | - | - | - | - | - | - | - | - | - |
| 6 | Claims O/S at End of the period | 3 | 1,055 | 22 | 849 | 188 | 13 | - | - | - | 33 | - | - |
| | Less than 3months | 3 | 585 | 22 | 212 | 188 | 13 | - | - | - | 6 | - | - |
| | 3 months to 6 months | - | 470 | - | 120 | - | - | - | - | - | 6 | - | - |
| | 6months to 1 year | - | - | - | 175 | - | - | - | - | - | 7 | - | - |
| | 1year and above | - | - | - | 342 | - | - | - | - | - | 14 | - | - |

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

** 15 cases in the surrender category were reversed from the opening balance as customer opted to continue

*** 1 cases in the PW category were reversed from the opening balance as customer opted to continue

**** 2 cases rejected in this quarter

***** 2 Cases rejected in this quarter

| GROUP | | No. of claims only | | | | | | | | | |
|---------|--|--------------------|--------------|------------------|-----------------------|---------------|----------------|-----|----------|------------------|-----------------------|
| Sl. No. | Claims Experience | For Death** | for Maturity | Survival Benefit | For Annuities/Pension | For Surrender | Other Benefits | ADB | Gratuity | Leave Encashment | For Auto Fore Closure |
| 1 | Claims O/S at the beginning of the period | - | - | - | - | - | - | - | - | - | - |
| 2 | Claims reported during the period* | 88 | - | - | - | 7 | - | - | 547 | 9 | 157 |
| 3 | Claims Settled during the period | 76 | - | - | - | 7 | - | - | 547 | 9 | 157 |
| 4 | Claims Repudiated during the period | - | - | - | - | - | - | - | - | - | - |
| a | Less than 2years from the date of acceptance of risk | - | - | - | - | - | - | - | - | - | - |
| b | Grater than 2 year from the date of acceptance of risk | - | - | - | - | - | - | - | - | - | - |
| 5 | Claims Written Back | - | - | - | - | - | - | - | - | - | - |
| 6 | Claims O/S at End of the period | 11 | - | - | - | - | - | - | - | - | - |
| | Less than 3months | 11 | - | - | - | - | - | - | - | - | - |
| | 3 months to 6 months | - | - | - | - | - | - | - | - | - | - |
| | 6months to 1 year | - | - | - | - | - | - | - | - | - | - |
| | 1year and above | - | - | - | - | - | - | - | - | - | - |

**1 case rejected in this quarter

| GRIEVANCE DISPOSAL | | | | | | | | |
|--------------------|------------------------------|-----------------|-------------|------------------------------|------------------|------------|--------------------|--|
| Sl No. | Particulars | Opening Balance | Additions** | Complaints Resolved/ settled | | | Complaints Pending | Total Complaints registered upto the quarter during the financial year |
| | | | | Fully Accepted | Partial Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| a) | Death Claims | - | 4 | - | 2 | 2 | - | 4 |
| b) | Policy Servicing | - | 211 | 10 | 157 | 44 | - | 211 |
| c) | Proposal Processing | - | 57 | 12 | 35 | 10 | - | 57 |
| d) | Survival Claims | - | 86 | - | 56 | 30 | - | 86 |
| e) | ULIP Related | - | 18 | - | 11 | 7 | - | 18 |
| f) | Unfair Business Practices | - | 319 | 19 | 93 | 207 | - | 319 |
| g) | Others | - | - | - | - | - | - | - |
| | Total Number | - | 695 | 41 | 354 | 300 | - | 695 |

| | | | | |
|----|---|------------------------------|-----------------------------------|----------|
| 2 | Total No. of policies during previous year: | 49523 | | |
| 3 | Total No. of claims during previous year: | 22176 | | |
| 4 | Total No. of policies during current year: | 6892 | | |
| 5 | Total No. of claims during current year: | 23848 | | |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year): | 878 | | |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current year): | 38 | | |
| 8 | Duration wise Pending Status | Complaints made by customers | Complaints made by intermediaries | Total |
| a) | Upto 7 days | 0 | 0 | 0 |
| b) | 7-15 days | 0 | 0 | 0 |
| c) | 15-30 days | 0 | 0 | 0 |
| d) | 30-90 days | 0 | 0 | 0 |
| e) | 90 days & Beyond | 0 | 0 | 0 |
| | Total Number of Complaints | 0 | 0 | 0 |

** Additions does not include the 21 duplicate complaints received during the quarter

L-42- Valuation Basis (Life Insurance)

A chapter on Valuation basis covering the following minimum criteria should also be displayed on the web-site of the Insurers.

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

1) Interest : Maximum and minimum interest rate taken for each segment

i. Individual Business

| S. No. | Product Category | Minimum | | Maximum | |
|--------|--|--|--|--|--|
| | | Unit Res | Non-Unit Res | Unit Res | Non-Unit Res |
| 1. | Life- Participating policies | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 2. | Life- Non-participating Policies | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 3. | Annuities- Participating policies | NA | NA | NA | NA |
| 4. | Annuities - Non-participating policies | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 5. | Annuities- Individual Pension Plan | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 6. | Unit Linked | 6.4% for first 5 years and 5.4% thereafter | 6.1% for first 5 years and 5.1% thereafter | 6.4% for first 5 years and 5.4% thereafter | 6.1% for first 5 years and 5.1% thereafter |
| 7. | Health Insurance | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 8. | India Bond | NA | 7.9% for first 5 years and 6.9% thereafter | NA | 7.9% for first 5 years and 6.9% thereafter |

ii. Group Business

For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis.
For single premium group term plan and group endowment type product the interest rate assumption is as for non par life product.

2) Mortality Rates : the mortality rates used for each segment

i. Individual Business (% of IALM 2006-08 Ultimate)

| S. No. | Product Category | Minimum | Maximum |
|--------|---|---------|---------|
| 1. | Life- Participating policies | 74.5% | 74.5% |
| 2. | Life- Non-participating Policies | 30.2% | 175.3% |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities - Non-participating policies* | 55.3% | 55.3% |
| 5. | Individual Pension Plan | 74.5% | 74.5% |
| 6. | Unit Linked | 74.5% | 74.5% |
| 7. | Health Insurance | 55.1% | 60.4% |

*These rates are a proportion of Mortality for Annuitants - LIC (a) (1996-98) Ultimate Rates

ii. Group Business

For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis.
For single premium group term plan and group endowment type product the mortality rate assumption varies from 96.0% to 155.8%.

3) Expense:

i. Individual Business -Fixed expenses

| S. No. | Product Category | Minimum | Maximum |
|--------|--|---------|---------|
| 1. | Life- Participating policies | 865 | 865 |
| 2. | Life- Non-participating Policies | 44 | 865 |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities - Non-participating policies | 865 | 865 |
| 5. | Individual Pension Plan | 865 | 865 |
| 6. | Unit Linked | 908 | 908 |
| 7. | Health Insurance | 649 | 649 |

ii. Group Business

For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis.
For single premium group term plan and group endowment type product the fixed expense assumption varies from 113.84 to 462.32

4) Bonus:

i. Individual Business

| S. No. | Product Category | Life | Pension |
|--------|--|---------------|---------|
| 1. | Life- Participating policies | * given below | 3.00% |
| 2. | Life- Non-participating Policies | NA | NA |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities - Non-participating policies | NA | NA |
| 5. | Annuities- Individual Pension Plan | NA | NA |
| 6. | Unit Linked | 6.00% | 6.00% |
| 7. | Health Insurance | NA | NA |

| Dhan Nirman | |
|-------------|--|
| Policy Term | Simple Reversionary Bonus Rate (as % of Sum Assured) |
| 18 | 3.75% |
| 21 | 4.00% |
| 25 | 4.00% |
| 30 | 4.25% |

| | |
|------------|-------|
| Money Back | 4.00% |
|------------|-------|

| Dhan Vriddhi Plus | |
|----------------------|--|
| Premium Payment Term | Simple Reversionary Bonus Rate (as % of Sum Assured) |
| 5 | 3.00% |
| 7 | 3.40% |
| 11 | 3.85% |

ii. Group Business

NA NA

L-42- Valuation Basis (Life Insurance)

- 5) **Policyholders Reasonable Expectations:**
PRE considerations are:
Ø The policyholders can reasonably expect that the benefits, which they have purchased, be paid and that the company is financially strong enough to meet these promises.
- Further, in respect of with profit policies, where unit value is guaranteed (never to decrease), an additional provision based on formula - [Unit Reserve (for UWP/PUWP) X max({p1x[(0.015)*DMT of assets] + p2 x 30%}, 3%] where p1 and p2 are the proportion of fixed interest assets and equities respectively backing the reserves as on the valuation date, is also kept besides the surplus available in policyholder's fund and for unit linked policies, where capital guarantees is provided to the extent of regular premium amount invested in the Secure fund an additional provision equal to 0.25% of Unit reserve has been made in order to increase the level of margin in reserve against guarantees.
- For highest NAV guaranteed product the reserve has been kept based on formula- Additional Charge For Guarantee X Fund Value as on Valuation Date X Elapsed Period (No. of years)
- Ø Under With Profits plan policyholders expect the bonus rates to be in line with the returns available on other investments in the market. Aviva considers this aspect when regular bonus rate is decided and the future rates of bonuse(s) has been allowed in reserve.
- Ø In line with company's bonus policy, the regular bonus rates have been increased or decreased in the past and have been accepted by the policyholders.
- 6) **Taxation and Shareholder Transfers:**
Ø Tax rate is assumed to be 14.42%
Ø Shareholder's Share in surplus of UWP fund is assumed to be 1/9th of the surplus distributed to the With Profits policyholders and 100% for Non Par policies
- 7) **Basis of provisions for Incurred but not reported (IBNR)**
Linked Business
Keeping in view the flexibility provided in the policy for the reporting of a claim, following provisions have been made towards unreported claims-
For Linked business
$$\text{IBNR Reserves} = \text{Average Monthly Risk Charge} * \text{Claim Intimation Delay in Months} * \text{Reinsurance retained proportion}$$

Non Linked Individual
$$\text{IBNR Reserves} = qx * \text{Sum at Risk after reinsurance} * \text{Delay Months}/12$$

For group business OYRGTA Business
$$\text{IBNR Reserves} = \text{Gross Premium}/12 * \text{Delay Months} * \text{Reinsurance retained proportion}$$

For SP Group Term Business
$$\text{IBNR Reserves} = qx * \text{Sum Assured} * \text{Delay Months}/12 * \text{Reinsurance retained proportion}$$
- 8) **Change in Valuation method or bases:**
(1) Economic Assumptions
There is no change in economic assumptions since last valuation.
(2) Expense Assumptions
Per policy maintenance expenses used are based on projected expenses for the year when Insurer acquires a stable level of business.
The valuation assumptions for expenses are as below:

Policy Maintenance Expenses (starting from 2nd policy month):

| S.No | Product Category | Per Policy | % of Premium | % of SA | % of Reserves |
|------|---|------------|--------------|---------|---------------|
| 1 | All Term Assurance Type Products (excluding i-Life, i-Shield, i-Life Total) | 649 | 0.76% | - | 0.0575% |
| 2 | All Traditional Endowment Products | 865 | 1.01% | - | 0.0575% |
| 3 | All UL Endowment (fully underwritten) | 908 | 1.07% | - | 0.2300% |
| 4 | All UL Endowment (Simplified Underwritten) | 908 | 1.07% | - | 0.2300% |
| 5 | All UL Pension Products | 908 | 1.07% | - | 0.2300% |
| 6 | Single Premium UL Products | 908 | 0.00% | - | 0.2300% |
| 7 | i-Life, i-Shield, i-Life Secure, i-Life Total | 649 | 1.73% | - | 0.0575% |
| 8 | Rural Endowment | 109 | 0.00% | - | 0.0575% |
| 9 | Rural Term Assurance | 44 to 127 | 0.00% | - | 0.0575% |
| 10 | Heath Secure | 649 | 1.73% | - | 0.0575% |
| 10 | Heart Care | 649 | 0.76% | - | 0.0575% |
| 11 | iGrowth | 908 | 1.73% | - | 0.2300% |

- (3) Mortality Assumptions**
There is no change in mortality assumptions since last valuation.