



IRDA Public Disclosures

FOR THE QUARTER ENDED DECEMBER 31, 2023

| Version No. | Date of Upload | Particulars of Change |
|--------------------|-----------------------|------------------------------|
| 1.0 | February 14, 2024 | NA |

Aviva Life Insurance Company India Limited
IRDAI Registration Code: 0122

Aviva Life Insurance Company India Limited (Registration Code: 0122)

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UNAUDITED REVENUE ACCOUNT FOR THE QUARTER ENDED DECEMBER 31, 2023
 Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | NON-LINKED | | | | | | | Q3'23-24 (Rs. In lacs) | Upto the quarter ended December 31, 2023 (Rs. In lacs) |
|---|----------|----------------|---------------|---------------|---------------|-------------------|---------------|---------------|---------------|--------------------|---------------------------|--|
| | | | | PARTICIPATING | | NON PARTICIPATING | | | | | | |
| | | LIFE | PENSION | LIFE | PENSION | LIFE | PENSION | ANNUITY | HEALTH | VARIABLE INSURANCE | | |
| | | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| Premiums earned – net | L-4 | 24,636 | 535 | 214 | 2 | 56,672 | 630 | 840 | 213 | 73 | 29,177 | 83,815 |
| (a) Premium | | | | | | | | | | | | |
| (b) Reinsurance ceded | | (191) | - | - | - | (5,551) | - | - | (4) | - | (1,767) | (5,746) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - |
| Income from Investments | | | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 7,718 | 682 | 200 | 6 | 38,826 | 1,058 | 436 | 177 | 15 | 16,547 | 49,119 |
| (b) Profit on sale/redemption of investments | | 23,393 | 2,582 | 15 | - | 716 | 32 | 13 | 2 | - | 11,014 | 26,753 |
| (c) (Loss) on sale/ redemption of investments | | (1,159) | (81) | - | - | (11) | (2) | (1) | - | - | (711) | (1,254) |
| (d) Transfer/Gain on revaluation/change in fair value | | 30,111 | 2,323 | - | - | - | - | - | - | - | 16,119 | 32,434 |
| (e) Amortisation of Premium / Discount on investments | | 2,179 | 99 | (1) | - | 9,106 | 31 | 5 | 2 | 10 | 3,779 | 11,430 |
| Other Income | | 1 | - | 1 | - | 176 | 2 | - | - | - | 35 | 180 |
| Contribution from Shareholders' A/c | | - | - | - | - | - | - | - | - | - | - | - |
| - towards extra mortality/other charges | | 43 | - | - | - | 17 | - | - | - | - | 8 | 60 |
| - towards excess of expense of management | | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (A) | | 84,731 | 6,140 | 429 | 8 | 99,951 | 1,751 | 1,293 | 390 | 98 | 74,201 | 1,96,791 |
| Commission* | L-5 | 1,436 | - | 3 | - | 3,203 | 4 | 1 | 1 | - | 2,306 | 4,648 |
| Operating Expenses related to Insurance Business | L-6 | 8,372 | 55 | 6 | - | 11,172 | 183 | 112 | 74 | 3 | 6,410 | 19,977 |
| Provision for Doubtful debts | | 42 | - | - | - | 57 | 1 | 1 | - | - | 7 | 101 |
| Bad debts written off | | 2,113 | 108 | - | - | - | - | - | - | - | 2,221 | 2,221 |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | (2,113) | (108) | - | - | - | - | - | - | - | (2,221) | (2,221) |
| (b) Others | | - | - | - | - | - | - | - | - | - | - | - |
| Goods and Services Tax on ULIP Charges | | 972 | 45 | - | - | - | - | - | - | - | 343 | 1,017 |
| TOTAL (B) | | 10,822 | 100 | 9 | - | 14,432 | 188 | 114 | 75 | 3 | 9,066 | 25,743 |
| Benefits Paid (Net) | L-7 | 37,975 | 3,731 | 138 | 6 | 24,217 | 1,190 | 353 | 154 | 122 | 22,156 | 67,886 |
| Interim Bonuses Paid | | 149 | 19 | 9 | 1 | - | - | - | - | - | 36 | 178 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | |
| (a) Gross | | (90) | (5) | 139 | (1) | 51,114 | (85) | 893 | 102 | (25) | 18,008 | 52,042 |
| (b) Amount ceded in Reinsurance | | (116) | (6) | - | - | (12) | 4 | (2) | - | - | 447 | (132) |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - |
| (d) Fund Reserve for Linked Policies | | 40,651 | 1,993 | - | - | - | - | - | - | - | 22,110 | 42,644 |
| (e) Fund for Discontinued Policies | | 1,445 | - | - | - | - | - | - | - | - | 60 | 1,445 |
| TOTAL (C) | | 80,014 | 5,732 | 284 | 6 | 75,319 | 1,109 | 1,244 | 256 | 97 | 62,817 | 1,64,063 |
| SURPLUS / (DEFICIT) (D) = (A)-(B)-(C) | | (4,105) | 308 | 134 | 2 | 10,200 | 454 | (65) | 59 | (2) | 2,318 | 6,985 |
| Amount transferred from Shareholders' Account (Non-technical Account) | | 5,028 | - | - | - | 42 | 4 | 64 | - | 1 | 1,440 | 5,139 |
| APPROPRIATIONS | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | 814 | 67 | 132 | 2 | - | - | - | - | - | 113 | 1,015 |
| Transfer to Balance sheet | | 109 | 239 | - | - | 10,243 | 458 | - | 61 | - | 3,645 | 11,110 |
| TOTAL (D) | | 923 | 306 | 132 | 2 | 10,243 | 458 | - | 61 | - | 3,758 | 12,125 |
| The break up of Total surplus is as under: | | | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 149 | 19 | 9 | 1 | - | - | - | - | - | 36 | 178 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | 923 | 306 | 132 | 2 | 10,243 | 458 | - | 61 | - | 3,758 | 12,125 |
| (d) Total Surplus: ((a)+(b)+(c)): | | 1,072 | 325 | 141 | 3 | 10,243 | 458 | - | 61 | - | 3,794 | 12,303 |

*Including Rewards and/or remuneration to agents, brokers or other intermediaries

FORM L-1-A-RA

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE QUARTER ENDED DECEMBER 31, 2022
Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | | | NON-LINKED | | | | | Q3'22-23 (Rs. In lacs) | Upto the quarter ended December 31, 2022 (Rs. In lacs) |
|---|------------|---------------|---------------|---------------|---------------|---------------|--------------|-------------------|--------------|--------------------|---------------------------|--|
| | | LIFE | | PENSION | | PARTICIPATING | | NON PARTICIPATING | | | | |
| | | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | LIFE | PENSION | ANNUITY | HEALTH | VARIABLE INSURANCE | | |
| Premiums earned – net | L-4 | 20,237 | 654 | 281 | 2 | 56,274 | 850 | 576 | 220 | 59 | 28,039 | 79,153 |
| (a) Premium | | | | | | | | | | | | |
| (b) Reinsurance ceded | | (192) | - | - | - | (4,996) | - | - | (84) | - | (1,972) | (5,272) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - |
| Income from Investments | | | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 7,043 | 674 | 178 | 5 | 34,719 | 1,124 | 413 | 124 | 17 | 14,761 | 44,297 |
| (b) Profit on sale/redemption of investments | | 18,443 | 1,829 | 13 | - | 289 | 42 | 15 | 1 | - | 4,910 | 20,632 |
| (c) (Loss) on sale/redemption of investments | | (3,837) | (333) | (1) | - | (21) | - | - | - | - | (637) | (4,194) |
| (d) Transfer/Gain on revaluation/change in fair value | | (7,192) | (583) | - | - | - | - | - | - | - | 8,065 | (7,775) |
| (e) Amortisation of Premium / Discount on investments | | 1,977 | 63 | (2) | - | 7,498 | 17 | - | - | (4) | 3,420 | 9,549 |
| Other Income | | | | | | | | | | | | |
| (a) Fee, Charges and Rent Income | | 1 | - | 1 | - | 178 | 2 | - | - | - | 31 | 182 |
| Contribution from Shareholders' A/c | | | | | | | | | | | | |
| - towards extra mortality/other charges | | 59 | - | - | - | 11 | - | - | - | - | 10 | 70 |
| - towards excess of expense of management | | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (A) | | 36,539 | 2,302 | 470 | 7 | 93,952 | 2,035 | 1,004 | 261 | 72 | 56,627 | 1,36,642 |
| Commission* | L-5 | 167 | 1 | 2 | - | 2,002 | 8 | 6 | - | - | 937 | 2,186 |
| Operating Expenses related to Insurance Business | L-6 | 5,839 | 46 | 3 | - | 13,178 | 301 | 762 | 4 | 13 | 7,047 | 20,146 |
| Provision for Doubtful debts | | 39 | - | - | - | 89 | 2 | 5 | - | - | 59 | 135 |
| Bad debts written off | | - | - | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | 53 | - | - | - | - | (1) | 53 |
| (b) Others | | - | - | - | - | - | - | - | - | - | - | - |
| Goods and Services Tax on ULIP Charges | | 952 | 49 | - | - | - | - | - | - | - | 336 | 1,001 |
| TOTAL (B) | | 6,997 | 96 | 5 | - | 15,322 | 311 | 773 | 4 | 13 | 8,378 | 23,521 |
| Benefits Paid (Net) | L-7 | 41,223 | 4,042 | 138 | 6 | 27,168 | 1,354 | 298 | 76 | 97 | 25,799 | 74,402 |
| Interim Bonuses Paid | | 100 | 7 | 5 | - | - | - | - | - | - | 43 | 112 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | |
| (a) Gross | | (205) | 71 | 222 | (1) | 44,213 | (153) | 553 | 73 | (25) | 18,390 | 44,748 |
| (b) Amount ceded in Reinsurance | | 146 | - | 3 | - | 3,697 | 2 | - | 703 | - | (128) | 4,551 |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - |
| (d) Fund Reserve for Linked Policies | | (12,650) | (2,066) | - | - | - | - | - | - | - | 3,226 | (14,716) |
| (e) Fund for Discontinued Policies | | 1,872 | - | - | - | - | - | - | - | - | (206) | 1,872 |
| TOTAL (C) | | 30,486 | 2,054 | 368 | 5 | 75,078 | 1,203 | 851 | 852 | 72 | 47,124 | 1,10,969 |
| SURPLUS / (DEFICIT) (D) = (A)-(B)-(C) | | (944) | 152 | 97 | 2 | 3,552 | 521 | (620) | (595) | (13) | 1,125 | 2,152 |
| Amount transferred from Shareholders' Account (Non-technical Account) | | 1,146 | - | - | - | 2,190 | - | 620 | 596 | 12 | 1,980 | 4,564 |
| APPROPRIATIONS | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | 173 | 2 | 97 | 2 | - | - | - | - | - | 268 | 274 |
| Transfer to Balance sheet | | 29 | 150 | - | - | 5,742 | 521 | - | - | - | 2,837 | 6,442 |
| TOTAL (D) | | 202 | 152 | 97 | 2 | 5,742 | 521 | - | - | - | 3,105 | 6,716 |
| The break up of Total surplus is as under: | | | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 100 | 7 | 5 | - | - | - | - | - | - | 43 | 112 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | 202 | 152 | 97 | 2 | 5,742 | 521 | - | - | - | 3,105 | 6,716 |
| (d) Total Surplus: ((a)+(b)+(c)): | | 302 | 159 | 102 | 2 | 5,742 | 521 | - | - | - | 3,148 | 6,828 |

FORM L-2-A-PL

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED DECEMBER 31, 2023
Shareholders' Account (Non-technical Account)

| Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|---|-------------------|---|-------------------|---|
| | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| Amount transferred from Policyholders Account (Technical Account) | - | - | - | - |
| Income From Investments | | | | |
| (a) Interest, Dividends and Rent – Gross | 1,057 | 2,946 | 944 | 2,771 |
| (b) Profit on sale/redemption of investments | - | 4 | - | 63 |
| (c) (Loss) on sale/ redemption of investments | - | (6) | - | (11) |
| (d) Amortisation of Premium / Discount on Investments | (24) | (91) | (43) | (130) |
| Other Income | - | - | - | - |
| TOTAL (A) | 1,033 | 2,853 | 901 | 2,693 |
| Expense other than those directly related to the insurance business | 197 | 699 | 156 | 699 |
| Contribution to the Policyholders Account (Technical Account) | | | | |
| (a) Towards Excess Expenses of Management | - | - | - | - |
| (b) Towards extra mortality/other charges | 9 | 61 | 10 | 70 |
| Interest on subordinated debt | - | - | - | - |
| Expenses towards CSR activities | - | - | - | - |
| Penalties | - | - | - | - |
| Bad debts written off | 193 | 193 | - | - |
| Amount Transferred to Policyholders' Account | 1,441 | 5,140 | 1,980 | 4,564 |
| Provisions (Other than taxation) | | | | |
| (a) For diminution in the value of investments (net) | (193) | (193) | - | - |
| (b) Provision for doubtful debts | - | - | - | - |
| (c) Others | - | - | - | - |
| TOTAL (B) | 1,647 | 5,900 | 2,146 | 5,333 |
| Profit/(Loss) before tax | (614) | (3,047) | (1,245) | (2,640) |
| Provision for Taxation | - | - | - | - |
| Profit/(Loss) after tax | (614) | (3,047) | (1,245) | (2,640) |
| APPROPRIATIONS | | | | |
| (a) Balance at the beginning of the period | (1,61,810) | (1,59,377) | (1,48,929) | (1,47,534) |
| (b) Interim dividends paid during the period | - | - | - | - |
| (c) Proposed final dividend | - | - | - | - |
| (d) Dividend distribution on tax | - | - | - | - |
| (e) Transfer to reserves/ other accounts | - | - | - | - |
| Profit/ (Loss) carried forward to the Balance Sheet | (1,62,424) | (1,62,424) | (1,50,174) | (1,50,174) |

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

FORM L-3-A-B5

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED BALANCE SHEET AS AT DECEMBER 31, 2023

| Particulars | Schedule | As At | As At |
|---|----------|-------------------|-------------------|
| | | December 31, 2023 | December 31, 2022 |
| | | (Rs. In lacs) | (Rs. In lacs) |
| SOURCES OF FUNDS | | | |
| SHAREHOLDERS' FUNDS: | | | |
| SHARE CAPITAL | L-8,L-9 | 2,18,990 | 2,00,490 |
| SHARE APPLICATION MONEY PENDING ALLOTMENT | | - | - |
| RESERVES AND SURPLUS | L-10 | - | - |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | 500 | - |
| Sub-Total | | 2,19,490 | 2,00,490 |
| BORROWINGS | | | |
| POLICYHOLDERS' FUNDS: | | | |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | 730 | 103 |
| SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account | | 11,110 | 6,442 |
| POLICY LIABILITIES | | 8,89,478 | 7,91,976 |
| FUNDS FOR DISCONTINUED POLICIES: | | 16,355 | 17,370 |
| (i) Discontinued on Account of non-payment of premiums | | - | - |
| INSURANCE RESERVES | | - | - |
| PROVISION FOR LINKED LIABILITIES | | 3,66,271 | 3,33,944 |
| Sub-Total | | 12,83,943 | 11,49,835 |
| FUNDS FOR FUTURE APPROPRIATIONS | | | |
| Linked | | 9,511 | 8,876 |
| Non-Linked (Non-PAR) | | - | - |
| Non-Linked (PAR) | | 546 | 406 |
| DEFERRED TAX LIABILITIES (Net) | | - | - |
| TOTAL | | 15,13,491 | 13,59,606 |
| APPLICATION OF FUNDS | | | |
| INVESTMENTS | | | |
| - Shareholders' | L-12 | 61,621 | 53,593 |
| - Policyholders' | L-13 | 8,89,341 | 7,90,266 |
| - Assets held to cover linked liabilities | L-14 | 3,92,136 | 3,60,191 |
| LOANS | L-15 | - | - |
| FIXED ASSETS | L-16 | 670 | 1,318 |
| DEFERRED TAX ASSETS (Net) | | - | - |
| CURRENT ASSETS | | | |
| Cash & Bank Balance | L-17 | 3,998 | 2,704 |
| Advances And Other Assets | L-18 | 41,133 | 37,244 |
| Sub-Total (A) | | 45,131 | 39,948 |
| CURRENT LIABILITIES | | | |
| PROVISIONS | L-19 | 37,095 | 34,979 |
| | L-20 | 737 | 905 |
| Sub-Total (B) | | 37,832 | 35,884 |
| NET CURRENT ASSETS (C) = (A - B) | | 7,299 | 4,064 |
| MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | L-21 | - | - |
| DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account) | | 1,62,424 | 1,50,174 |
| TOTAL | | 15,13,491 | 13,59,606 |

| Particulars | As At | As At |
|--|-------------------|-------------------|
| | December 31, 2023 | December 31, 2022 |
| Uncalled liability in Partly paid-up investments | Nil | Nil |
| Underwriting commitments outstanding (in respect of shares and securities) | Nil | Nil |
| Claims, other than those under policies, not acknowledged as debts | 255 | 75 |
| Guarantees given by or on behalf of the Company | 25 | 25 |
| Reinsurance obligations to the extent not provided for in accounts | Nil | Nil |
| Others (in relation to Claims against policies) | 1,920 | 2,070 |
| Statutory demands/liabilities in dispute, not provided for | 12,600 | 13,296 |

Management believes that at present, no additional provision is required in the books of account in respect of the above matters.

FORM L-4-PREMIUM SCHEDULE

| Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|---|---------------|---|---------------|---|
| | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| First year premiums | 6,818 | 21,253 | 6,859 | 17,985 |
| Renewal premiums | 21,673 | 59,217 | 20,636 | 59,768 |
| Single premiums | 686 | 3,345 | 544 | 1,400 |
| TOTAL PREMIUM | 29,177 | 83,815 | 28,039 | 79,153 |
| Premium Income from business written : | | | | |
| In India | 29,177 | 83,815 | 28,039 | 79,153 |
| Outside India | - | - | - | - |
| TOTAL PREMIUM | 29,177 | 83,815 | 28,039 | 79,153 |

FORM L-5-COMMISSION SCHEDULE

| Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|--|---------------|---|---------------|---|
| | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| Commission Paid | | | | |
| Direct - First year premiums | 440 | 1,549 | 653 | 1,623 |
| - Renewal premiums | 214 | 574 | 199 | 451 |
| - Single premiums | - | 7 | 4 | 4 |
| Total | 654 | 2,130 | 856 | 2,078 |
| Add : Commission on Re-insurance Accepted | - | - | - | - |
| Less : Commission on Re-insurance Ceded | - | - | - | - |
| NET COMMISSION | 654 | 2,130 | 856 | 2,078 |
| Rewards and/or remuneration to agents, brokers or other intermediaries | 1,652 | 2,518 | 81 | 108 |
| NET COMMISSION INCLUDING REWARDS | 2,306 | 4,648 | 937 | 2,186 |
| Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission): | | | | |
| Agents | 419 | 984 | 259 | 483 |
| Brokers | 52 | 293 | 92 | 217 |
| Corporate Agency | 1,549 | 2,896 | 545 | 1,370 |
| Referral | - | - | (1) | (1) |
| Others (Insurance Marketing Firm) | 286 | 475 | 42 | 117 |
| TOTAL (B) | 2,306 | 4,648 | 937 | 2,186 |
| Commission and Rewards on (Excluding Reinsurance) Business written : | | | | |
| In India | 2,306 | 4,648 | 937 | 2,186 |
| Outside India | - | - | - | - |
| TOTAL COMMISSION AND REWARDS | 2,306 | 4,648 | 937 | 2,186 |

FORM L-6-OPERATING EXPENSES SCHEDULE

| Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|---|---------------|---|---------------|---|
| | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| Employees' remuneration and welfare benefits | 3,235 | 11,237 | 3,803 | 11,094 |
| Travel, conveyance and vehicle running expenses | 96 | 312 | 87 | 217 |
| Training expenses | 3 | 2 | 1 | 2 |
| Rents, rates and taxes | 402 | 1,190 | 382 | 1,436 |
| Repairs & Office Maintenance | 190 | 471 | 174 | 743 |
| Printing and stationery | 40 | 131 | 35 | 115 |
| Communication expenses | 101 | 292 | 123 | 339 |
| Legal and professional charges | 882 | 1,329 | 141 | 326 |
| Medical fees | 20 | 24 | 22 | 45 |
| Auditors' fees, expenses etc | | | | |
| a) as auditor | 18 | 50 | 15 | 48 |
| b) as adviser or in any other capacity, in respect of | | | | |
| (i) Taxation matters | - | - | - | - |
| (ii) Insurance matters | - | - | - | - |
| (iii) Management services; and | - | - | - | - |
| c) in any other capacity | 3 | 7 | - | 3 |
| Advertisement and publicity | 310 | 790 | 650 | 1,618 |
| Business Development and Sales Promotion Expenses | (87) | 648 | 491 | 833 |
| Stamp duty on policies | 170 | 654 | 124 | 258 |
| Interest and bank charges | 33 | 102 | 27 | 111 |
| Depreciation | 187 | 590 | 305 | 880 |
| Information technology and related expenses | 494 | 1,453 | 511 | 1,499 |
| Goods and Service Tax on premium | 28 | 89 | 22 | 76 |
| Others | | | | |
| a) Electricity | 54 | 180 | 51 | 195 |
| b) Recruitment | 158 | 269 | 38 | 225 |
| c) Miscellaneous expenses | 73 | 157 | 45 | 83 |
| TOTAL | 6,410 | 19,977 | 7,047 | 20,146 |
| In India | 6,410 | 19,977 | 7,047 | 20,146 |
| Outside India | - | - | - | - |

FORM L-7-BENEFITS PAID SCHEDULE

| Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|-----------------------------------|---------------|---|---------------|---|
| | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) | (Rs. In lacs) |
| Insurance Claims | | | | |
| (a) Claims by Death, | 4,000 | 10,212 | 3,055 | 8,942 |
| (b) Claims by Maturity, | 4,515 | 12,695 | 7,103 | 19,375 |
| (c) Annuities/Pension payment | 92 | 309 | 375 | 569 |
| (d) Periodical Benefit | 971 | 3,454 | 1,359 | 4,906 |
| (e) Health | 47 | 220 | 48 | 133 |
| (f) Surrender | 11,382 | 39,338 | 14,350 | 40,312 |
| (g) Other benefits | | | | |
| - Riders | - | 12 | (2) | 4 |
| - Interest to policy holders | 259 | 799 | 258 | 650 |
| - Withdrawals | 2,567 | 5,046 | 733 | 3,807 |
| (Amount ceded in reinsurance): | | | | |
| (a) Claims by Death, | (1,677) | (4,133) | (1,445) | (4,239) |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Periodical Benefit | - | - | - | - |
| (e) Health | - | (66) | (35) | (57) |
| (f) Surrender | - | - | - | - |
| (g) Other benefits | | | | |
| - Riders | - | - | - | - |
| - Interest to policy holders | - | - | - | - |
| - Withdrawals | - | - | - | - |
| (Amount accepted in reinsurance): | | | | |
| (a) Claims by Death, | - | - | - | - |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Periodical Benefit | - | - | - | - |
| (e) Health | - | - | - | - |
| (f) Surrender | - | - | - | - |
| (g) Other benefits | | | | |
| - Riders | - | - | - | - |
| - Interest to policy holders | - | - | - | - |
| - Withdrawals | - | - | - | - |
| TOTAL | 22,156 | 67,886 | 25,799 | 74,402 |
| Benefits paid (Net) | | | | |
| In India | 22,156 | 67,886 | 25,799 | 74,402 |
| Outside India | - | - | - | - |
| Total Benefits paid (Net) | 22,156 | 67,886 | 25,799 | 74,402 |

FORM L-8-SHARE CAPITAL SCHEDULE

| Particulars | As At | As At |
|--|-------------------|-------------------|
| | December 31, 2023 | December 31, 2022 |
| | (Rs. In lacs) | (Rs. In lacs) |
| Authorized Capital 2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each | 2,50,000 | 2,50,000 |
| Issued, Subscribed and Called up Capital 2,189,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up) | 2,18,990 | 2,00,490 |
| Less : Calls unpaid | - | - |
| Add : Shares forfeited (Amount originally paid up) | - | - |
| Less: Par value of Equity Shares bought back | - | - |
| Less: Preliminary expenses | - | - |
| Expenses including commission or brokerage or underwriting or subscription of shares. | - | - |
| TOTAL | 2,18,990 | 2,00,490 |

FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

| Shareholder | As At December 31, 2023 | | As At December 31, 2022 | |
|--|----------------------------|--------------|----------------------------|--------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| - Indian - Dabur Invest Corp.(Partnership Firm) | 56,93,74,000 | 26% | 52,12,74,000 | 26% |
| - Foreign - Aviva International Holdings Limited, UK | 1,62,05,26,000 | 74% | 1,48,36,26,000 | 74% |
| - Others | - | 0% | - | 0% |
| TOTAL | 2,18,99,00,000 | 100% | 2,00,49,00,000 | 100% |

FORM L-9A- PART A:PATTERN OF SHAREHOLDING SCHEDULE AS AT DECEMBER 31, 2023

| S.No. | Category | No. of Investors | Number of Shares | % of Holding | Paid up equity (Rs. In lakhs) | Shares pledged or otherwise encumbered | | Shares under Lock In Period | |
|------------|--|------------------|-----------------------|--------------|-------------------------------|--|--------------------------------------|-----------------------------|--------------------------------------|
| | | | | | | Number of shares | As a percentage of Total Shares held | Number of shares | As a percentage of Total Shares held |
| A | Promoters & Promoters Group | | | | | | | | |
| A.1 | Indian Promoters | | | | | | | | |
| i) | Individuals/HUF (Names of major shareholders):* | | | | | | | | |
| | (i) Mr V C Burman | - | 56,93,73,660 | 26% | 56,937 | - | - | - | - |
| | (ii) Ms Asha Burman | - | 100 | | 0 | - | - | - | - |
| | (iii) Mr Pradip Burman | - | 100 | | 0 | - | - | - | - |
| | (iv) Ms Indira Burman | - | 100 | | 0 | - | - | - | - |
| | (v) Mr Ashok Kumar Jain | - | 10 | | 0 | - | - | - | - |
| | (vi) Ms Gagan Ahluwalia | - | 10 | | 0 | - | - | - | - |
| | (vii) Mr Abhay Kumar Aggarwal | - | 10 | | 0 | - | - | - | - |
| | (viii) Mr Arun Gupta | - | 10 | | 0 | - | - | - | - |
| | *Indian Shareholding is held by Dabur Invest Corp ("DIC"), a Partnership firm. Persons mentioned at A.1 i) (i) to A.1 i) (iii) above are partners of the firm who have been nominated by DIC to hold the shares on its behalf. Persons mentioned in A.1 i) (iv) to A.1 i) (viii) are affiliates of Dabur Invest Corp and hold shares for the beneficial interest of Dabur Invest Corp. | | | | | | | | |
| ii) | Bodies Corporate: | | | | | | | | |
| iii) | Financial Institutions/ Banks | - | - | - | - | - | - | - | - |
| iv) | Central Government/ State Government(s) / President of India | - | - | - | - | - | - | - | - |
| v) | Persons acting in concert (Please specify) | - | - | - | - | - | - | - | - |
| vi) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| A.2 | Foreign Promoters | | | | | | | | |
| i) | Individuals (Name of major shareholders): | | | | | | | | |
| ii) | Bodies Corporate: | - | 1,62,05,26,000 | 74% | 1,62,053 | - | - | - | - |
| iii) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| B. | Non Promoters | | | | | | | | |
| B.1 | Public Shareholders | | | | | | | | |
| 1.1) | Institutions | | | | | | | | |
| i) | Mutual Funds | - | - | - | - | - | - | - | - |
| ii) | Foreign Portfolio Investors | - | - | - | - | - | - | - | - |
| iii) | Financial Institutions/Banks | - | - | - | - | - | - | - | - |
| iv) | Insurance Companies | - | - | - | - | - | - | - | - |
| v) | FII belonging to Foreign promoter | - | - | - | - | - | - | - | - |
| vi) | FII belonging to Foreign Promoter of Indian Promoter | - | - | - | - | - | - | - | - |
| vii) | Provident Fund/Pension Fund | - | - | - | - | - | - | - | - |
| viii) | Alternative Investment Fund | - | - | - | - | - | - | - | - |
| ix) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| 1.2) | Central Government/ State Government(s)/ President of India | - | - | - | - | - | - | - | - |
| 1.3) | Non-Institutions | | | | | | | | |
| i) | Individual share capital upto Rs. 2 Lacs | - | - | - | - | - | - | - | - |
| ii) | Individual share capital in excess of Rs. 2 Lacs | - | - | - | - | - | - | - | - |
| iii) | NBFCs registered with RBI | - | - | - | - | - | - | - | - |
| iv) | Others: | | | | | | | | |
| | - Trusts | - | - | - | - | - | - | - | - |
| | - Non Resident Indian | - | - | - | - | - | - | - | - |
| | - Clearing Members | - | - | - | - | - | - | - | - |
| | - Non Resident Indian Non Repatriable | - | - | - | - | - | - | - | - |
| | - Bodies Corporate | - | - | - | - | - | - | - | - |
| | - IEPF | - | - | - | - | - | - | - | - |
| v) | Any other (Please Specify) | - | - | - | - | - | - | - | - |
| B.2 | Non Public Shareholders | | | | | | | | |
| 2.1) | Custodian/DR Holder | - | - | - | - | - | - | - | - |
| 2.2) | Employee Benefit Trust | - | - | - | - | - | - | - | - |
| 2.3) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| | TOTAL | - | 2,18,99,00,000 | 100% | 2,18,990 | - | - | - | - |

FORM L-9A- PART B: PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

| S.No. | Shareholder | No. of Investors | Number of Shares | % of Holding | Paid up equity (Rs. In lakhs) | Shares pledged or otherwise encumbered | | Shares under Lock In Period | |
|------------|---|------------------|------------------|--------------|-------------------------------|--|--------------------------------------|-----------------------------|--------------------------------------|
| | | | | | | Number of shares | As a percentage of Total Shares held | Number of shares | As a percentage of Total Shares held |
| A | Promoters & Promoters Group | | | | | | | | |
| A.1 | Indian Promoters | | | | | | | | |
| i) | Individuals/HUF (Names of major shareholders):* | | | | | | | | |
| | (i) Ms Shivani Burman | - | NA | 19% | NA | - | - | - | - |
| | (ii) Mr V C Burman | - | NA | 24% | NA | - | - | - | - |
| | (iii) Ms Asha Burman | - | NA | 19% | NA | - | - | - | - |
| | (iv) Mr Praclip Burman | - | NA | 38% | NA | - | - | - | - |
| | * Indian Promoter, Dabur Invest Corp, is a partnership firm and the partners in the partnership firm share the profits and losses of the firm in a fixed proportion as indicated above. | | | | | | | | |
| ii) | Bodies Corporate: | - | - | - | - | - | - | - | - |
| iii) | Financial Institutions/ Banks | - | - | - | - | - | - | - | - |
| iv) | Central Government/ State Government(s) / President of India | - | - | - | - | - | - | - | - |
| v) | Persons acting in concert (Please specify) | - | - | - | - | - | - | - | - |
| vi) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| A.2 | Foreign Promoters | | | | | | | | |
| i) | Individuals (Name of major shareholders): | - | - | - | - | - | - | - | - |
| ii) | Bodies Corporate: | - | - | - | - | - | - | - | - |
| iii) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| B. | Non Promoters | | | | | | | | |
| B.1 | Public Shareholders | | | | | | | | |
| 1.1) | Institutions | | | | | | | | |
| i) | Mutual Funds | - | - | - | - | - | - | - | - |
| ii) | Foreign Portfolio Investors | - | - | - | - | - | - | - | - |
| iii) | Financial Institutions/Banks | - | - | - | - | - | - | - | - |
| iv) | Insurance Companies | - | - | - | - | - | - | - | - |
| v) | FII belonging to Foreign promoter # | - | - | - | - | - | - | - | - |
| vi) | FII belonging to Foreign Promoter of Indian Promoter # | - | - | - | - | - | - | - | - |
| vii) | Provident Fund/Pension Fund | - | - | - | - | - | - | - | - |
| viii) | Alternative Investment Fund | - | - | - | - | - | - | - | - |
| ix) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| 1.2) | Central Government/ State Government(s)/ President of India | - | - | - | - | - | - | - | - |
| 1.3) | Non-Institutions | | | | | | | | |
| i) | Individual share capital upto Rs. 2 Lacs | - | - | - | - | - | - | - | - |
| ii) | Individual share capital in excess of Rs. 2 Lacs | - | - | - | - | - | - | - | - |
| iii) | NBFCs registered with RBI | - | - | - | - | - | - | - | - |
| iv) | Others: | | | | | | | | |
| | - Trusts | - | - | - | - | - | - | - | - |
| | - Non Resident Indian | - | - | - | - | - | - | - | - |
| | - Clearing Members | - | - | - | - | - | - | - | - |
| | - Non Resident Indian Non Repatriable | - | - | - | - | - | - | - | - |
| | - Bodies Corporate | - | - | - | - | - | - | - | - |
| | - IEPF | - | - | - | - | - | - | - | - |
| v) | Any other (Please Specify) | - | - | - | - | - | - | - | - |
| B.2 | Non Public Shareholders | | | | | | | | |
| 2.1) | Custodian/DR Holder | - | - | - | - | - | - | - | - |
| 2.2) | Employee Benefit Trust | - | - | - | - | - | - | - | - |
| 2.3) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | 100% | - | - | - | - | - |

FORM L-10-RESERVES AND SURPLUS SCHEDULE

| Particulars | As At | As At |
|--|-------------------|-------------------|
| | December 31, 2023 | December 31, 2022 |
| | (Rs. In lacs) | (Rs. In lacs) |
| Capital Reserve | - | - |
| Capital Redemption Reserve | - | - |
| Share Premium | - | - |
| Revaluation Reserve | - | - |
| General Reserves | - | - |
| Less: Debit balance in Profit and Loss Account, if any | - | - |
| Less: Amount utilized for Buy-back | - | - |
| Catastrophe Reserve | - | - |
| Other Reserves | - | - |
| Balance of profit in Profit and Loss Account | - | - |
| TOTAL | - | - |

FORM L-11-BORROWINGS SCHEDULE

| Particulars | As At | As At |
|------------------------|-------------------|-------------------|
| | December 31, 2023 | December 31, 2022 |
| | (Rs. In lacs) | (Rs. In lacs) |
| Debentures/ Bonds | - | - |
| Banks | - | - |
| Financial Institutions | - | - |
| Others | - | - |
| TOTAL | - | - |

FORM L-12-INVESTMENT - SHAREHOLDERS

| Particulars | As At | As At |
|---|------------------------------------|------------------------------------|
| | December 31, 2023 (Rs. In lacs) | December 31, 2022 (Rs. In lacs) |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 13,239 | 17,075 |
| (Market value (Rs. In Lacs) Current Year 13,176 Previous Year 16,876) | | |
| Other Approved Securities | 30,562 | 25,682 |
| (Market value (Rs. In Lacs) Current Year 30,065 Previous Year 25,086) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (e) Other Securities (Term Deposits) | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 8,470 | 4,649 |
| Non Convertible Debentures | | |
| (Market value (Rs. In Lacs) Current Year 8,419 Previous Year 4,630) | | |
| Equity Shares | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| Other Investments | | |
| Debentures/ Bonds | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| Equity Shares | 1,356 | 1 |
| (Historical value (Rs. In Lacs) Current Year 856 Previous Year 1) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 2,997 | 133 |
| (Market value (Rs. In Lacs) Current Year 2988 Previous Year 132) | | |
| Other Approved Securities | 2,517 | - |
| (Market value (Rs. In Lacs) Current Year 2,516 Previous Year NIL) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits) | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (f) Other Securities (Reverse Repo)/(Treps) | 697 | 310 |
| (Market value (Rs. In Lacs) Current Year 697 Previous Year 310) | | |
| (g) Subsidiaries | - | - |
| (h) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 1,783 | 5,743 |
| Non Convertible Debentures | | |
| (Market value (Rs. In Lacs) Current Year 1,773 Previous Year 5,716) | | |
| Other Investments | | |
| Mutual Funds | - | - |
| Debentures/ Bonds | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| TOTAL | 61,621 | 53,593 |
| INVESTMENTS | | |
| In India | 61,621 | 53,593 |
| Outside India | - | - |
| TOTAL | 61,621 | 53,593 |

Form L-13-INVESTMENTS-POLICYHOLDERS

| Particulars | As At | As At |
|---|------------------------------------|------------------------------------|
| | December 31, 2023 (Rs. In lacs) | December 31, 2022 (Rs. In lacs) |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 5,11,018 | 4,99,320 |
| (Market value (Rs. In Lacs) Current Year 507,065 Previous Year 494,144) | | |
| Other Approved Securities | 1,93,385 | 1,38,337 |
| (Market value (Rs. In Lacs) Current Year 188,629 Previous Year 133,008) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | 229 | 188 |
| (Historical value (Rs. In Lacs) Current Year 114 Previous Year 93) | | |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 2,965 | - |
| (Market value (Rs. In Lacs) Current Year 3011 Previous Year NIL) | | |
| (e) Other Securities (Term Deposits) | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 1,57,150 | 1,30,806 |
| Non Convertible Debentures | | |
| (Market value (Rs. In Lacs) Current Year 155,965 Previous Year 131,657) | | |
| Equity Shares | 4 | 11 |
| (Historical value (Rs. In Lacs) Current Year 2 Previous Year 6) | | |
| Other Investments | | |
| Non Convertible debentures | 421 | 421 |
| (Market value (Rs. In Lacs) Current Year 421 Previous Year 421) | | |
| Equity Shares | 1,660 | 13 |
| (Historical value (Rs. In Lacs) Current Year 1,048 Previous Year 13) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 13,285 | 13,954 |
| (Market value (Rs. In Lacs) Current Year 13,280 Previous Year 13,936) | | |
| Other Approved Securities | 511 | 401 |
| (Market value (Rs. In Lacs) Current Year 505 Previous Year 401) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits) | 1,577 | 1,432 |
| (Market value (Rs. In Lacs) Current Year 1,577 Previous Year 1432) | | |
| (f) Other Securities (Reverse Repo)/(Treps) | 6,417 | 5,033 |
| (Market value (Rs. In Lacs) Current Year 6,417 Previous Year 5,033) | | |
| (g) Subsidiaries | - | - |
| Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 719 | 350 |
| Non Convertible Debentures | | |
| (Market value (Rs. In Lacs) Current Year 717 Previous Year 350) | | |
| Commercial Paper | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| Other Investments | | |
| Non Convertible Debentures | - | - |
| (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| TOTAL | 8,89,341 | 7,90,266 |
| INVESTMENTS | | |
| In India | 8,89,341 | 7,90,266 |
| Outside India | - | - |
| TOTAL | 8,89,341 | 7,90,266 |

Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

| Particulars | As At December 31, 2023 (Rs. In lacs) | As At December 31, 2022 (Rs. In lacs) |
|---|---|---|
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills | 58,001 | 48,932 |
| (Historical value (Rs. In Lacs) Current Year 57,938 Previous Year 48,900) | | |
| Other Approved Securities | 16,121 | 30,884 |
| (Historical value (Rs. In Lacs) Current Year 16,439 Previous Year 31,223) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | 1,96,282 | 1,58,538 |
| (Historical value (Rs. In Lacs) Current Year 136,031 Previous Year 109,111) | | |
| (bb) Preference | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | 1,539 |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year 5,844) | | |
| (c) Derivative Instruments | - | - |
| (d) Debentures/Bonds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers) | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure, Social Sector and Housing : | | |
| (a) Non Convertible Debentures | 22,119 | 22,459 |
| (Historical value (Rs. In Lacs) Current Year 22,277 Previous Year 22,574) | | |
| (b) Equity | 34,344 | 18,706 |
| (Historical value (Rs. In Lacs) Current Year 20,325 Previous Year 13,496) | | |
| Other Investments | | |
| (a) Equity Shares | 7,932 | 14,635 |
| (Historical value (Rs. In Lacs) Current Year 5,226 Previous Year 13,552) | | |
| (b) Debentures/Bonds | 6,798 | 886 |
| (Historical value (Rs. In Lacs) Current Year 9,974 Previous Year 4,000) | | |
| (c) Mutual Funds | 18,459 | 22,174 |
| (Historical value (Rs. In Lacs) Current Year 14,457 Previous Year 8,200) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills | | |
| Government Securities and Government guaranteed bonds | 13,718 | 16,892 |
| (Historical value (Rs. In Lacs) Current Year 13,550 Previous Year 16,606) | | |
| Other Approved Securities | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers) | 8,004 | 10,047 |
| (Historical value (Rs. In Lacs) Current Year 7,774 Previous Year 10,001) | | |
| (f) Other Securities (Reverse Repo)/(Treps) | 9,660 | 10,813 |
| (Historical value (Rs. In Lacs) Current Year 9,655 Previous Year 10,809) | | |
| (g) Subsidiaries | - | - |
| (h) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure, Social Sector and Housing : | | |
| Non Convertible Debentures | - | 958 |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year 969) | | |
| Other Investments | | |
| (a) Mutual Funds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| (a) Debentures/Bonds | - | - |
| (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL) | | |
| Balances in Bank | 1,661 | (290) |
| Other Current Assets (net) | (963) | 3,018 |
| TOTAL | 3,92,136 | 3,60,191 |
| INVESTMENTS | | |
| In India | 3,92,136 | 3,60,191 |
| Outside India | - | - |
| TOTAL | 3,92,136 | 3,60,191 |

FORM L-15-LOANS SCHEDULE

| Particulars | As At | As At |
|--|------------------------------------|------------------------------------|
| | December 31, 2023 (Rs. In lacs) | December 31, 2022 (Rs. In lacs) |
| Security-Wise Classification | | |
| Secured | | |
| (a) On mortgage of property | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) On Shares, Bonds, Govt. Securities etc | - | - |
| (c) Loans against policies | - | - |
| (d) Others (to be specified) | - | - |
| Unsecured | - | - |
| TOTAL | | |
| BORROWER-WISE CLASSIFICATION | | |
| (a) Central and State Governments | - | - |
| (b) Banks and Financial Institutions | - | - |
| (c) Subsidiaries | - | - |
| (d) Companies | - | - |
| (e) Loans against policies | - | - |
| (f) Others | - | - |
| TOTAL | | |
| PERFORMANCE-WISE CLASSIFICATION | | |
| (a) Loans classified as standard | - | - |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) Non-standard loans less provisions | - | - |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| TOTAL | | |
| MATURITY-WISE CLASSIFICATION | | |
| (a) Short Term | - | - |
| (b) Long Term | - | - |
| Total | - | - |

FORM L-16-FIXED ASSETS SCHEDULE

(Rs. In lacs)

| Particulars | Cost/ Gross Block | | | | Depreciation / Amortisation | | | | Net Block | |
|----------------------------------|--------------------------------------|-----------------------------|-------------------------|-------------------------|--------------------------------------|----------------|--------------------|-------------------------|-------------------------|----------------------|
| | Opening Balance as on April 01, 2023 | Additions during the period | Deductions/ Adjustments | As At December 31, 2023 | Opening Balance as on April 01, 2023 | For the period | Sales/ Adjustments | As At December 31, 2023 | As At December 31, 2023 | As At March 31, 2023 |
| Intangibles | | | | | | | | | | |
| Software | 6,080 | 31 | - | 6,111 | 5,927 | 105 | - | 6,032 | 79 | 153 |
| Tangibles | | | | | | | | | | |
| Leasehold Improvements | 3,060 | 73 | 2,162 | 971 | 2,728 | 143 | 2,152 | 719 | 252 | 332 |
| Buildings | - | - | - | - | - | - | - | - | - | - |
| Furniture & Fittings | 1,311 | 13 | 1,032 | 292 | 1,287 | 19 | 1,031 | 275 | 17 | 24 |
| Information Technology Equipment | 4,495 | - | 1,206 | 3,289 | 4,105 | 226 | 1,206 | 3,125 | 164 | 390 |
| Vehicles | 78 | 2 | 1 | 79 | 43 | 20 | 1 | 62 | 17 | 35 |
| Office Equipment | 790 | 23 | 197 | 616 | 593 | 77 | 195 | 475 | 141 | 197 |
| Sub Total | 15,814 | 142 | 4,598 | 11,358 | 14,683 | 590 | 4,585 | 10,688 | 670 | 1,131 |
| Capital Work In Progress | - | - | - | - | - | - | - | - | - | - |
| TOTAL | 15,814 | 142 | 4,598 | 11,358 | 14,683 | 590 | 4,585 | 10,688 | 670 | 1,131 |
| PREVIOUS YEAR | 15,076 | 1,124 | 404 | 15,796 | 13,987 | 880 | 389 | 14,478 | 1,318 | |

FORM L-17-CASH AND BANK BALANCE SCHEDULE

| Particulars | As At | As At |
|---|------------------------------------|------------------------------------|
| | December 31, 2023 (Rs. In lacs) | December 31, 2022 (Rs. In lacs) |
| Cash (including cheques, drafts and stamps) | 486 | 81 |
| Bank Balances | | |
| (a) Deposit Accounts* | | |
| (aa) Short-term (due within 12 months of the date of balance sheet) | 1,719 | 1,508 |
| (bb) Others | - | 425 |
| (b) Current Accounts | 1,793 | 690 |
| (c) Others | - | - |
| Money at Call and Short Notice | | |
| (a) With Banks | - | - |
| (b) With other Institutions | - | - |
| Others | - | - |
| TOTAL | 3,998 | 2,704 |
| <i>Balances with non-scheduled banks included above</i> | - | - |
| CASH AND BANK BALANCES | | |
| In India | 3,998 | 2,704 |
| Outside India | - | - |
| TOTAL | 3,998 | 2,704 |

* Current Year amount includes brought forward Fixed Deposit amounting to Rs. 325 (In lacs) (Previous Year Rs. 425 (In lacs)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

| Particulars | As At December 31, 2023 (Rs. In lacs) | | As At December 31, 2022 (Rs. In lacs) | |
|--|---|---------------|---|---------------|
| | | | | |
| ADVANCES | | | | |
| Reserve deposits with ceding companies | | - | | - |
| Application money for investments | | - | | - |
| Prepayments | | 353 | | 392 |
| Advances to Directors/Officers | | - | | - |
| Advance tax paid and taxes deducted at source | 501 | | 26 | |
| Less: Provision for doubtful debts | (1) | 500 | (1) | 25 |
| Others (includes vendor, travel advances & salary recoverable) | 2,121 | | 1,470 | |
| Less : Provision for doubtful debts | (227) | 1,894 | (275) | 1,195 |
| TOTAL (A) | | 2,747 | | 1,612 |
| OTHER ASSETS | | | | |
| Income accrued on investments | | 16,218 | | 13,315 |
| Outstanding Premiums | | 2,513 | | 2,130 |
| Agents' Balances | 1,179 | | 976 | |
| Less : Provision for doubtful debts | (948) | 231 | (898) | 78 |
| Foreign Agencies' Balances | | - | | - |
| Due from other entities carrying on insurance business (including reinsurers) | | 36 | | - |
| Others | | | | |
| - Investment pertaining to Unclaimed Policyholders Fund | | 12,033 | | 13,806 |
| - Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC) | | 3,580 | | 3,327 |
| - Refundable Security Deposits | 2,086 | | 2,107 | |
| Less: Provision for doubtful security deposit | (226) | 1,860 | (148) | 1,959 |
| - Receivables against unsettled investment contracts | | - | | - |
| - Goods and Service Tax/Service Tax Unutilised Credit | | 1,294 | | 1,017 |
| - Other Reinsurance assets | | 621 | | - |
| TOTAL (B) | | 38,386 | | 35,632 |
| TOTAL (A+B) | | 41,133 | | 37,244 |

FORM L-19-CURRENT LIABILITIES SCHEDULE

| Particulars | As At | As At |
|---|------------------------------------|------------------------------------|
| | December 31, 2023 (Rs. In lacs) | December 31, 2022 (Rs. In lacs) |
| Agents' Balances | 665 | 660 |
| Balances due to other insurance companies | 397 | 59 |
| Deposits held on re-insurance ceded | - | - |
| Premiums received in advance | 1,358 | 1,022 |
| Unallocated premium | 1,937 | 709 |
| Sundry creditors | | |
| Micro, Small & Medium Enterprises | - | - |
| Others | 126 | 268 |
| Unclaimed Amount - Policyholders | 12,033 | 13,806 |
| Interest on Investment pertaining to Unclaimed Policyholders Fund | 3,580 | 3,327 |
| Claims Outstanding | 3,247 | 4,856 |
| Annuities Due | 240 | 171 |
| Due to Officers/Directors | - | - |
| Others: | | |
| - Accrual for expenses | 7,847 | 7,755 |
| - Goods and Service Tax /Service Tax payable | 601 | 661 |
| - Payables for unsettled investment contracts | 3,456 | - |
| - Statutory Dues Payable | 344 | 330 |
| - Employee and other dues Payable | 723 | 676 |
| - Other Reinsurance Liability | 541 | 679 |
| TOTAL | 37,095 | 34,979 |

FORM L-20-PROVISIONS SCHEDULE

| Particulars | As At | As At |
|---|----------------------------------|----------------------------------|
| | December 31, 2023 (Rs. 000's) | December 31, 2022 (Rs. 000's) |
| For taxation (less payments and taxes deducted at source) | - | - |
| Others: | | |
| Provision for Gratuity | 118 | 205 |
| Provision for Leave Encashment | 263 | 221 |
| Provision for Other Long Term Benefits | 218 | 315 |
| Provision for Other Employee Benefits | 138 | 164 |
| TOTAL | 737 | 905 |

FORM L-21-MISC EXPENDITURE SCHEDULE

| Particulars | As At | As At |
|---|-------------------|-------------------|
| | December 31, 2023 | December 31, 2022 |
| | (Rs. In lacs) | (Rs. In lacs) |
| Discount Allowed in issue of shares/ debentures | - | - |
| Others | - | - |
| TOTAL | - | - |

FORM L-22- ANALYTICAL RATIOS

| S.No. | Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|-------|--|----------|---|----------|---|
| 1 | New business premium income growth rate - segment wise | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 54% | 59% | (10)% | 14% |
| | b) Pension | 38% | 46% | (77)% | (52)% |
| | c) Health | NA | NA | NA | NA |
| | d) Variable Insurance | NA | NA | NA | NA |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | NA | NA | NA | NA |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | NA | NA | NA | NA |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | NA | NA | NA | NA |
| | Non Participating: | | | | |
| | a) Life | (31)% | 8% | 44% | 3% |
| | b) Annuity | 72% | 46% | (23)% | 5% |
| | c) Pension | (3)% | (25)% | (32)% | (34)% |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | 45% | 24% | 5% | 44% |
| 2 | Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business) | 9% | 10% | 6% | 8% |
| 3 | Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business) | 55% | 53% | 36% | 31% |
| 4 | Net Retention Ratio | 94% | 93% | 93% | 93% |
| 5 | Conservation Ratio | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 74% | 69% | 60% | 69% |
| | b) Pension | 78% | 82% | 73% | 84% |
| | c) Health | NA | NA | NA | NA |
| | d) Variable Insurance | NA | NA | NA | NA |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | 74% | 76% | 76% | 84% |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | 87% | 95% | 95% | 80% |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | NA | NA | NA | NA |
| | Non Participating: | | | | |
| | a) Life | 81% | 79% | 88% | 78% |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | 50% | 56% | 78% | 75% |
| | d) Health | 97% | 97% | 96% | 94% |
| | e) Variable Insurance | 0% | 0% | 0% | 0% |
| 6 | Expense of Management to Gross Direct Premium Ratio | 30% | 30% | 29% | 28% |
| 7 | Commission Ratio (Gross commission paid to Gross Premium) | 8% | 6% | 3% | 3% |
| 8 | Business Development and Sales Promotion Expenses to New Business Premium | (1)% | 3% | 3% | 2% |
| 9 | Brand/Trade Mark Usage Fee/ Charges to New Business Premium | NA | NA | NA | NA |
| 10 | Ratio of policy holder's fund to shareholder's funds | 22.68 | 22.68 | 23.04 | 23.04 |
| 11 | Ratio of surplus to policyholders' liability | 0.01 | 0.01 | 0.00 | 0.00 |
| 12 | Change in net worth (Rs. in Lacs) | 11,420 | 11,420 | -9,557 | -9,557 |
| 13 | Growth in Networth | 20% | 20% | (14)% | (14)% |
| 14 | Profit after tax/Total Income | (1)% | (2)% | (2)% | (2)% |
| 15 | (Total real estate + loans)/(Cash & invested assets) | NA | NA | NA | NA |
| 16 | Total investments/(Capital + Surplus) | 19.70 | 19.70 | 21.21 | 21.21 |
| 17 | Total affiliated investments/(Capital+ Surplus) | NA | NA | NA | NA |
| 18A | Investment Yield (Gross) with total gains | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 2% | 6% | 2% | 6% |
| | R2. Non-PAR | 2% | 6% | 2% | 6% |
| | R3.Sub-TOTAL | 2% | 6% | 2% | 6% |
| | Linked: | | | | |
| | R4. PAR | 3% | 8% | 3% | 2% |
| | R5. Non-PAR | 8% | 19% | 4% | 4% |
| | R6. Sub-TOTAL | 8% | 19% | 4% | 4% |
| | R7.Grand Total | 4% | 10% | 3% | 5% |
| | Shareholders' Funds | 2% | 5% | 2% | 5% |
| 18B | Investment Yield (Net) (Only realized gains) | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 2% | 6% | 2% | 6% |
| | R2. Non-PAR | 2% | 6% | 2% | 6% |
| | R3.Sub-TOTAL | 2% | 6% | 2% | 6% |
| | Linked: | | | | |
| | R4. PAR | 3% | 7% | 2% | 5% |
| | R5. Non-PAR | 3% | 9% | 2% | 6% |
| | R6. Sub-TOTAL | 3% | 9% | 2% | 6% |
| | R7.Grand Total | 2% | 7% | 2% | 6% |
| | Shareholders' Funds | 2% | 5% | 2% | 5% |

FORM L-22- ANALYTICAL RATIOS

| S.No. | Particulars | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|-------|--|-----------|---|-----------|---|
| 19 | Persistency Ratio - Number of Policy Basis (Regular Premium/Limited Premium Payment under Individual category) | | | | |
| | For 13th month | 57% | 57% | 61% | 70% |
| | For 25th month | 51% | 58% | 49% | 54% |
| | For 37th month | 43% | 48% | 48% | 50% |
| | For 49th Month | 46% | 48% | 72% | 63% |
| | for 61st month | 42% | 44% | 42% | 42% |
| | Persistency Ratio - Premium Basis (Regular Premium/Limited Premium Payment under Individual category) | | | | |
| | For 13th month | 63% | 71% | 70% | 77% |
| | For 25th month | 58% | 64% | 57% | 60% |
| | For 37th month | 50% | 52% | 49% | 49% |
| | For 49th Month | 47% | 47% | 47% | 50% |
| | for 61st month | 43% | 44% | 44% | 44% |
| 20 | NPA Ratio | | | | |
| | Gross NPA Ratio | | | | |
| | Shareholders' Funds | - | - | 0% | 0% |
| | Policyholders' Funds | 0% | 0% | 1% | 1% |
| | Net NPA Ratio | | | | |
| | Shareholders' Funds | - | - | - | - |
| | Policyholders' Funds | 0% | 0% | 0% | 0% |
| 21 | Solvency Ratio - As per Form KT-3 | 188% | 188% | 186% | 186% |
| 22 | Debt Equity Ratio | NA | NA | NA | NA |
| 23 | Debt Service Coverage Ratio | NA | NA | NA | NA |
| 24 | Interest Service Coverage Ratio | NA | NA | NA | NA |
| 25 | Average ticket size in Rs. - Individual premium (Non-Single) | 75,980 | 72,506 | 66,794 | 67,704 |
| | Equity Holding Pattern for Life Insurers | | | | |
| | (a) No. of shares (in Lakhs) | 21,899 | | 20,049 | |
| | (b) Percentage of shareholding (Indian / Foreign) | 26% / 74% | | 26% / 74% | |
| | (c) % of Government holding (in case of public sector insurance companies) | Nil | | Nil | |
| | (a) Basic and diluted EPS before extraordinary items (net of tax expense) | (0.14) | | (0.13) | |
| | (b) Basic and diluted EPS after extraordinary items (net of tax expense) | (0.14) | | (0.13) | |
| | (iv) Book value per share (Rs) | 3.09 | | 2.83 | |

FORM L-24-VALUATION OF NET LIABILITIES

(Rs. In lacs)

| Type | Category of business | Mathematical Reserves As At December 31, 2023 | Mathematical Reserves As At December 31, 2022 |
|-----------------|---------------------------|--|--|
| Par | Non-Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 3,381 | 3,153 |
| | General Annuity | - | - |
| | Pension | 92 | 87 |
| | Health | - | - |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 4,034 | 4,515 |
| General Annuity | - | - | |
| Pension | 316 | 310 | |
| Health | - | - | |
| | Total Par | 7,823 | 8,065 |
| Non-Par | Non-Linked -VIP | | |
| | Life | 624 | 373 |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 8,52,152 | 7,56,627 |
| | General Annuity | 8,004 | 7,073 |
| | Pension | 18,633 | 18,758 |
| | Health | 3,177 | 2,568 |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 3,49,120 | 3,17,480 |
| General Annuity | - | - | |
| Pension | 32,570 | 32,346 | |
| Health | - | - | |
| | Total Non Par | 12,64,281 | 11,35,224 |
| Total Business | Non-Linked -VIP | | |
| | Life | 624 | 373 |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 8,55,533 | 7,59,780 |
| | General Annuity | 8,004 | 7,073 |
| | Pension | 18,725 | 18,846 |
| | Health | 3,177 | 2,568 |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 3,53,154 | 3,21,995 |
| General Annuity | - | - | |
| Pension | 32,887 | 32,656 | |
| Health | - | - | |
| | Total | 12,72,104 | 11,43,289 |

(Rs. In lacs)

| Geographical Distribution of Total Business | | | | | | | | | | | | |
|---|--------------------------------|-----------------------------------|------------|--------------|-----------------------------------|--------------|---------------|---------------------------------|--------------|---------------|-----------------|--|
| SL. No. | State/Union Territory | New Business - Rural (Individual) | | | New Business - Urban (Individual) | | | Total New Business (Individual) | | | Renewal Premium | Total Premium (New Business and Renewal) |
| | | No of Policies | Premium | Sum Assured | No of Policies | Premium | Sum Assured | No of Policies | Premium | Sum Assured | | |
| | STATES | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | 1 | - | 78 | 54 | 983 | 78 | 55 | 983 | 396 | 451 |
| 2 | Arunachal Pradesh | - | - | - | 8 | 24 | 302 | 8 | 24 | 302 | 106 | 130 |
| 3 | Assam | - | 0 | - | 76 | 80 | 599 | 76 | 80 | 599 | 530 | 610 |
| 4 | Bihar | 220 | 53 | 810 | 1,288 | 348 | 5,011 | 1,508 | 400 | 5,822 | 639 | 1,039 |
| 5 | Chhattisgarh | 8 | 4 | 469 | 49 | 23 | 187 | 57 | 27 | 657 | 123 | 150 |
| 6 | Goa | (1) | (0) | (2) | 20 | 25 | 120 | 19 | 24 | 118 | 65 | 90 |
| 7 | Gujarat | 1 | 1 | 2 | 99 | 190 | 1,141 | 100 | 190 | 1,143 | 725 | 916 |
| 8 | Haryana | 324 | 54 | 831 | 332 | 274 | 3,613 | 656 | 329 | 4,445 | 1,564 | 1,893 |
| 9 | Himachal Pradesh | - | - | - | 3 | 2 | 32 | 3 | 2 | 32 | 50 | 52 |
| 10 | Jharkhand | 6 | 1 | 18 | 46 | 24 | 320 | 52 | 26 | 337 | 167 | 192 |
| 11 | Karnataka | - | - | - | 149 | 188 | 2,624 | 149 | 188 | 2,624 | 1,932 | 2,120 |
| 12 | Kerala | - | - | - | 45 | 51 | 765 | 45 | 51 | 765 | 392 | 443 |
| 13 | Madhya Pradesh | 37 | 11 | 271 | 99 | 61 | 1,300 | 136 | 72 | 1,571 | 448 | 520 |
| 14 | Maharashtra | 180 | 78 | 2,374 | 1,302 | 1,079 | 21,256 | 1,482 | 1,157 | 23,630 | 4,348 | 5,506 |
| 15 | Manipur | - | - | - | (2) | (7) | (67) | (2) | (7) | (67) | 18 | 11 |
| 16 | Meghalaya | - | - | - | 3 | 1 | 13 | 3 | 1 | 13 | 23 | 25 |
| 17 | Mizoram | - | - | - | 1 | 0 | 1 | 1 | 0 | 1 | 3 | 3 |
| 18 | Nagaland | - | - | - | 1 | 1 | 1 | 1 | 1 | 1 | 11 | 11 |
| 19 | Odisha | 10 | 8 | 88 | 113 | 79 | 1,098 | 123 | 87 | 1,187 | 622 | 709 |
| 20 | Punjab | 2 | 0 | 3 | 48 | 40 | 881 | 50 | 40 | 884 | 415 | 455 |
| 21 | Rajasthan | 175 | 123 | 1,106 | 169 | 214 | 2,655 | 344 | 337 | 3,762 | 443 | 780 |
| 22 | Sikkim | - | - | - | 2 | 3 | 21 | 2 | 3 | 21 | 8 | 10 |
| 23 | Tamil Nadu | - | - | - | 299 | 346 | 4,482 | 299 | 346 | 4,482 | 1,548 | 1,894 |
| 24 | Telangana | - | 0 | - | 112 | 169 | 2,741 | 112 | 169 | 2,741 | 1,032 | 1,201 |
| 25 | Tripura | 9 | 5 | 56 | 70 | 35 | 351 | 79 | 39 | 407 | 141 | 181 |
| 26 | Uttarakhand | - | - | - | (1) | 0 | (9) | (1) | 0 | (9) | 88 | 88 |
| 27 | Uttar Pradesh | 20 | 4 | 50 | 246 | 312 | 4,966 | 266 | 315 | 5,016 | 1,647 | 1,963 |
| 28 | West Bengal | 3 | 1 | 12 | 325 | 366 | 3,497 | 328 | 368 | 3,509 | 1,230 | 1,598 |
| | TOTAL | 994 | 343 | 6,091 | 4,980 | 3,982 | 58,887 | 5,974 | 4,326 | 64,978 | 18,717 | 23,043 |
| | UNION TERRITORIES | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | (2) | (2) |
| 2 | Chandigarh | - | - | - | 16 | 30 | 311 | 16 | 30 | 311 | 115 | 144 |
| 3 | Dadra and Nagar Haveli and Diu | - | - | - | - | - | - | - | - | - | 8 | 8 |
| 4 | Govt. of NCT of Delhi | 1 | 1 | 11 | 223 | 791 | 6,331 | 224 | 792 | 6,342 | 2,764 | 3,556 |
| 5 | Jammu & Kashmir | - | - | - | 1 | 0 | 51 | 1 | 0 | 51 | 52 | 52 |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | 10 | 7 | 170 | 10 | 7 | 170 | 19 | 26 |
| | TOTAL | 1 | 1 | 11 | 250 | 828 | 6,863 | 251 | 829 | 6,874 | 2,956 | 3,785 |
| | Grand Total | 995 | 344 | 6,102 | 5,230 | 4,810 | 65,750 | 6,225 | 5,154 | 71,851 | 21,673 | 26,828 |
| | IN INDIA | | | | | | | 6,225 | 5,154 | 71,851 | 21,673 | 26,828 |
| | OUTSIDE INDIA | | | | | | | - | - | - | - | - |

| Geographical Distribution of Total Business | | | | | | | | | | | | |
|---|-------------------------------|-----------------------------------|-------------|---------------|-----------------------------------|---------------|------------------|---------------------------------|---------------|-----------------|-----------------|--|
| Sl. No. | State/Union Territory | New Business - Rural(Individual) | | | New Business - Urban(Individual) | | | Total New Business(Individual) | | | Renewal Premium | Total Premium (New Business and Renewal) |
| | | No of Policies | Premium | Sum Assured | No of Policies | Premium | Sum Assured | No of Policies | Premium | Sum Assured | | |
| | STATES | | | | | | | | | | | |
| 1 | Andhra Pradesh | 4 | 7 | 47 | 280 | 279 | 3,800 | 284 | 286 | 3,846 | 984 | 1,270 |
| 2 | Arunachal Pradesh | - | - | - | 46 | 166 | 2,803 | 46 | 166 | 2,803 | 356 | 522 |
| 3 | Assam | 2 | 2 | 7 | 343 | 308 | 2,985 | 345 | 310 | 2,992 | 1,464 | 1,773 |
| 4 | Bihar | 773 | 167 | 2,547 | 3,264 | 896 | 13,479 | 4,037 | 1,063 | 16,026 | 1,592 | 2,655 |
| 5 | Chhattisgarh | 12 | 4 | 476 | 97 | 55 | 612 | 109 | 59 | 1,088 | 361 | 420 |
| 6 | Goa | 2 | 0 | 3 | 39 | 44 | 390 | 41 | 44 | 393 | 147 | 191 |
| 7 | Gujarat | 1 | 1 | (31) | 434 | 712 | 5,115 | 435 | 713 | 5,083 | 2,073 | 2,785 |
| 8 | Haryana | 1,473 | 252 | 3,983 | 1,427 | 736 | 9,427 | 2,900 | 988 | 13,410 | 4,337 | 5,325 |
| 9 | Himachal Pradesh | - | - | - | 16 | 16 | 169 | 16 | 16 | 169 | 132 | 148 |
| 10 | Jharkhand | 14 | 4 | 67 | 157 | 97 | 1,490 | 171 | 101 | 1,556 | 493 | 594 |
| 11 | Karnataka | 2 | 1 | 24 | 556 | 779 | 10,458 | 558 | 780 | 10,482 | 5,340 | 6,120 |
| 12 | Kerala | 1 | 0 | 3 | 200 | 200 | 2,379 | 201 | 200 | 2,382 | 1,054 | 1,254 |
| 13 | Madhya Pradesh | 121 | 42 | 868 | 379 | 223 | 3,928 | 500 | 265 | 4,796 | 1,210 | 1,475 |
| 14 | Maharashtra | 687 | 324 | 7,226 | 3,773 | 3,260 | 52,484 | 4,460 | 3,584 | 59,710 | 11,516 | 15,099 |
| 15 | Manipur | - | - | - | 4 | (3) | (34) | 4 | (3) | (34) | 36 | 32 |
| 16 | Meghalaya | - | - | - | 12 | 6 | 79 | 12 | 6 | 79 | 51 | 57 |
| 17 | Mizoram | - | - | - | 2 | 1 | 12 | 2 | 1 | 12 | 5 | 7 |
| 18 | Nagaland | - | - | - | 21 | 26 | 432 | 21 | 26 | 432 | 33 | 59 |
| 19 | Odisha | 77 | 35 | 491 | 388 | 366 | 4,702 | 465 | 401 | 5,193 | 1,648 | 2,050 |
| 20 | Punjab | 4 | 1 | 7 | 126 | 107 | 1,910 | 130 | 108 | 1,916 | 1,114 | 1,222 |
| 21 | Rajasthan | 189 | 127 | 1,222 | 332 | 441 | 5,329 | 521 | 568 | 6,551 | 1,224 | 1,793 |
| 22 | Sikkim | - | - | - | 3 | 3 | 26 | 3 | 3 | 26 | 17 | 20 |
| 23 | Tamil Nadu | - | - | - | 963 | 1,144 | 13,073 | 963 | 1,144 | 13,073 | 4,458 | 5,602 |
| 24 | Telangana | 4 | 1 | 61 | 378 | 531 | 7,261 | 382 | 532 | 7,322 | 2,725 | 3,257 |
| 25 | Tripura | 32 | 14 | 148 | 269 | 150 | 1,558 | 301 | 164 | 1,707 | 346 | 509 |
| 26 | Uttarakhand | - | - | - | 23 | 30 | 351 | 23 | 30 | 351 | 247 | 277 |
| 27 | Uttar Pradesh | 39 | 8 | 128 | 755 | 855 | 12,605 | 794 | 863 | 12,733 | 4,419 | 5,282 |
| 28 | West Bengal | 22 | 6 | 81 | 1,146 | 1,069 | 10,392 | 1,168 | 1,075 | 10,472 | 3,308 | 4,383 |
| | TOTAL | 3,459 | 995 | 17,357 | 15,433 | 12,497 | 1,67,215 | 18,892 | 13,493 | 1,84,572 | 50,689 | 64,182 |
| | UNION TERRITORIES | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | 2 | 2 |
| 2 | Chandigarh | - | - | - | 48 | 64 | 799 | 48 | 64 | 799 | 312 | 376 |
| 3 | Dadra and Nagar Haveli and Di | - | - | - | 6 | 3 | 82 | 6 | 3 | 82 | 23 | 27 |
| 4 | Govt. of NCT of Delhi | 1 | 1 | 11 | 845 | 2,171 | 19,175 | 846 | 2,172 | 19,186 | 8,017 | 10,189 |
| 5 | Jammu & Kashmir | - | - | - | 1 | 0 | 51 | 1 | 0 | 51 | 129 | 129 |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | 33 | 28 | 515 | 33 | 28 | 515 | 44 | 72 |
| | TOTAL | 1 | 1.01 | 11.00 | 933 | 2,266 | 20,621.62 | 934 | 2,267 | 20,633 | 8,528 | 10,795 |
| | Grand Total | 3,460 | 996 | 17,368 | 16,366 | 14,763 | 1,87,837 | 19,826 | 15,760 | 2,05,205 | 59,217 | 74,977 |
| | IN INDIA | | | | | | | 19,826 | 15,760 | 2,05,205 | 59,217 | 74,977 |
| | OUTSIDE INDIA | | | | | | | - | - | - | - | - |

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | | | |
|--|-------------------------------|-----------------------------|-----------------------|---------|-------------|-----------------------------|-----------------|--------------|------------------|---------------------------|-----------------|--------------|------------------|-----------------|--|
| Sl. No. | State / Union Territory | New Business - Rural(Group) | | | | New Business - Urban(Group) | | | | Total New Business(Group) | | | | Renewal Premium | Total Premium (New Business and Renewal) |
| | | No. of Schemes | No. of Lives (Actual) | Premium | Sum Assured | No. of Schemes | No. of Lives | Premium | Sum Assured | No. of Schemes | No. of Lives | Premium | Sum Assured | | |
| STATES | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | 1 | 1,562 | 10 | 7,810 | 1 | 1,562 | 10 | 7,810 | - | 10 |
| 2 | Arunachal Pradesh | - | - | - | - | 1 | 472 | 4 | 2,360 | 1 | 472 | 4 | 2,360 | - | 4 |
| 3 | Assam | - | - | - | - | 2 | 3,425 | 26 | 17,120 | 2 | 3,425 | 26 | 17,120 | - | 26 |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | 4 | 841 | 7 | 5,245 | 4 | 841 | 7 | 5,245 | - | 7 |
| 7 | Gujarat | - | - | - | - | 3 | 1,903 | 24 | 15,271 | 3 | 1,903 | 24 | 15,271 | - | 24 |
| 8 | Haryana | - | - | - | - | 10 | 3,620 | 120 | 48,352 | 10 | 3,620 | 120 | 48,352 | - | 120 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jharkhand | - | - | - | - | 1 | 1,582 | 12 | 7,910 | 1 | 1,582 | 12 | 7,910 | - | 12 |
| 11 | Karnataka | - | - | - | - | 11 | 21,990 | 290 | 1,59,774 | 11 | 21,990 | 290 | 1,59,774 | - | 290 |
| 12 | Kerala | - | - | - | - | 1 | 1,336 | 10 | 6,680 | 1 | 1,336 | 10 | 6,680 | - | 10 |
| 13 | Madhya Pradesh | - | - | - | - | 1 | 545 | 20 | 18,806 | 1 | 545 | 20 | 18,806 | - | 20 |
| 14 | Maharashtra | - | - | - | - | 11 | 13,496 | 614 | 1,70,459 | 11 | 13,496 | 614 | 1,70,459 | - | 614 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | - | - | - | - | 1 | 108 | 4 | 1,782 | 1 | 108 | 4 | 1,782 | - | 4 |
| 20 | Punjab | - | - | - | - | 1 | 879 | 26 | 8,447 | 1 | 879 | 26 | 8,447 | - | 26 |
| 21 | Rajasthan | - | - | - | - | 4 | 5,117 | 48 | 26,256 | 4 | 5,117 | 48 | 26,256 | - | 48 |
| 22 | Sikkim | - | - | - | - | - | 1 | 1 | 239 | - | 1 | 1 | 239 | - | 1 |
| 23 | Tamil Nadu | - | - | - | - | 5 | 13,474 | 144 | 93,597 | 5 | 13,474 | 144 | 93,597 | - | 144 |
| 24 | Telangana | - | - | - | - | 4 | 11,970 | 100 | 31,645 | 4 | 11,970 | 100 | 31,645 | - | 100 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | 7 | 1,03,573 | 180 | 5,04,204 | 7 | 1,03,573 | 180 | 5,04,204 | - | 180 |
| 28 | West Bengal | - | - | - | - | 1 | 3,657 | 202 | 23,046 | 1 | 3,657 | 202 | 23,046 | - | 202 |
| | TOTAL | - | - | - | - | 69 | 1,89,551 | 1,842 | 11,49,003 | 69 | 1,89,551 | 1,842 | 11,49,003 | - | 1,842 |
| UNION TERRITORIES | | | | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Di | - | - | - | - | 1 | 1,975 | 11 | 5,925 | 1 | 1,975 | 11 | 5,925 | - | 11 |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 8 | 10,109 | 497 | 56,718 | 8 | 10,109 | 497 | 56,718 | - | 497 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puduchery | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 9 | 12,084 | 508 | 62,643 | 9 | 12,084 | 508 | 62,643 | - | 508 |
| | Grand Total | - | - | - | - | 78 | 2,01,635 | 2,350 | 12,11,646 | 78 | 2,01,635 | 2,350 | 12,11,646 | - | 2,350 |
| | IN INDIA | - | - | - | - | - | - | - | - | 78 | 2,01,635 | 2,350 | 12,11,646 | - | 2,350 |
| | OUTSIDE INDIA | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

(Rs. In lacs)

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | | | |
|--|-------------------------------|----------------|--------------|---------|-------------|----------------|-----------------|--------------|------------------|----------------|-----------------|--------------|------------------|-----------------|--|
| Sl. No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | | Renewal Premium | Total Premium (New Business and Renewal) |
| | | No. of Schemes | No. of Lives | Premium | Sum Assured | No. of Schemes | No. of Lives | Premium | Sum Assured | No. of Schemes | No. of Lives | Premium | Sum Assured | | |
| STATES | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | 1 | 1,562 | 10 | 7,810 | 1 | 1,562 | 10 | 7,810 | - | 10 |
| 2 | Arunachal Pradesh | - | - | - | - | 1 | 472 | 4 | 2,360 | 1 | 472 | 4 | 2,360 | - | 4 |
| 3 | Assam | - | - | - | - | 2 | 3,430 | 26 | 17,145 | 2 | 3,430 | 26 | 17,145 | - | 26 |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | 25 | (0) | 125 | - | 25 | (0) | 125 | - | (0) |
| 6 | Goa | - | - | - | - | 7 | 1,716 | 25 | 16,013 | 7 | 1,716 | 25 | 16,013 | - | 25 |
| 7 | Gujarat | - | - | - | - | 11 | 13,280 | 240 | 79,969 | 11 | 13,280 | 240 | 79,969 | - | 240 |
| 8 | Haryana | - | - | - | - | 23 | 11,621 | 348 | 1,95,182 | 23 | 11,621 | 348 | 1,95,182 | - | 348 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jharkhand | - | - | - | - | 1 | 1,582 | 12 | 7,910 | 1 | 1,582 | 12 | 7,910 | - | 12 |
| 11 | Karnataka | - | - | - | - | 26 | 30,420 | 452 | 2,98,945 | 26 | 30,420 | 452 | 2,98,945 | - | 452 |
| 12 | Kerala | - | - | - | - | 3 | 17,123 | 98 | 15,340 | 3 | 17,123 | 98 | 15,340 | - | 98 |
| 13 | Madhya Pradesh | - | - | - | - | 4 | 7,335 | 222 | 1,58,072 | 4 | 7,335 | 222 | 1,58,072 | - | 222 |
| 14 | Maharashtra | - | - | - | - | 63 | 1,05,106 | 3,180 | 10,41,385 | 63 | 1,05,106 | 3,180 | 10,41,385 | - | 3,180 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | - | - | - | - | 2 | 377 | 7 | 2,858 | 2 | 377 | 7 | 2,858 | - | 7 |
| 20 | Punjab | - | - | - | - | 2 | 2,333 | 28 | 22,785 | 2 | 2,333 | 28 | 22,785 | - | 28 |
| 21 | Rajasthan | - | - | - | - | 4 | 6,904 | 126 | 35,410 | 4 | 6,904 | 126 | 35,410 | - | 126 |
| 22 | Sikkim | - | - | - | - | - | 41 | 7 | 2,241 | - | 41 | 7 | 2,241 | - | 7 |
| 23 | Tamil Nadu | - | - | - | - | 13 | 92,042 | 590 | 2,74,183 | 13 | 92,042 | 590 | 2,74,183 | - | 590 |
| 24 | Telangana | - | - | - | - | 7 | 34,483 | 354 | 89,171 | 7 | 34,483 | 354 | 89,171 | - | 354 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | 25 | 2,43,679 | 776 | 12,57,472 | 25 | 2,43,679 | 776 | 12,57,472 | - | 776 |
| 28 | West Bengal | - | - | - | - | 7 | 29,641 | 497 | 1,61,037 | 7 | 29,641 | 497 | 1,61,037 | - | 497 |
| | TOTAL | - | - | - | - | 202 | 6,03,172 | 7,000 | 36,85,412 | 202 | 6,03,172 | 7,000 | 36,85,412 | - | 7,000 |
| UNION TERRITORIES | | | | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Daadra and Nagar Haveli and D | - | - | - | - | 1 | 1,975 | 11 | 5,925 | 1 | 1,975 | 11 | 5,925 | - | 11 |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 19 | 1,40,247 | 1,827 | 4,11,372 | 19 | 1,40,247 | 1,827 | 4,11,372 | - | 1,827 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 20 | 1,42,222 | 1,839 | 4,17,297 | 20 | 1,42,222 | 1,839 | 4,17,297 | - | 1,839 |
| | Grand Total | - | - | - | - | 222 | 7,45,394 | 8,839 | 41,02,709 | 222 | 7,45,394 | 8,839 | 41,02,709 | - | 8,839 |
| | IN INDIA | | | | | | | | | 222 | 7,45,394 | 8,839 | 41,02,709 | - | 8,839 |
| | OUTSIDE INDIA | | | | | | | | | | | | | - | |

FORM L-26-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A
 (Read with Regulation 10)
 Statement as on: 31st December 2023
 Statement of Investment Assets (Life Insurers)
 (Business within India)
 Periodicity of Submission: Quarterly

| Section I | | (Rs. In lacs) | |
|--|----------------------------------|---------------|---------------------|
| No | PARTICULARS | SCH | AMOUNT |
| 1 | Investments (Shareholders) | 8 | 61,620.75 |
| | Investments (Policyholders) | 8A | 8,89,339.93 |
| | Investments (Linked Liabilities) | 8B | 3,92,137.26 |
| 2 | Loans | 9 | 0.00 |
| 3 | Fixed Assets | 10 | 670.42 |
| 4 | Current Assets | | 0.00 |
| | a. Cash & Bank Balance | 11 | 3,997.54 |
| | b. Advances & Other Assets | 12 | 41,132.63 |
| 5 | Current Liabilities | | 0.00 |
| | a. Current Liabilities | 13 | 37,093.97 |
| | b. Provisions | 14 | 737.00 |
| | c. Misc. Exp not Written Off | 15 | 0.00 |
| | d. Debit Balance of P&L A/c | | 1,62,595.26 |
| Application of Funds as per Balance Sheet (A) | | | 15,13,662.83 |
| Less: Other Assets | | | Amount |
| 1 | Loans (if any) | 9 | 0.00 |
| 2 | Fixed Assets (if any) | 10 | 670.42 |
| 3 | Cash & Bank Balance (if any) | 11 | 3,997.54 |
| 4 | Advances & Other Assets (if any) | 12 | 41,132.63 |
| 5 | Current Liabilities | 13 | 37,093.97 |
| 6 | Provisions | 14 | 737.00 |
| 7 | Misc. Exp not Written Off | 15 | 0.00 |
| 8 | Investments held outside India | | 0.00 |
| 9 | Debit Balance of P&L A/c | | 1,62,595.26 |
| TOTAL (B) | | | 1,70,564.89 |
| Investment Assets (A-B) | | | 13,43,097.94 |

| Reconciliation of Investment Assets | | (Rs. In lacs) |
|---|--|---------------------|
| Total Investment Assets (as per Balance Sheet) | | 13,43,097.94 |
| Balance Sheet Value of: | | |
| A. Life Fund | | 9,22,950.97 |
| B. Pension & General Annuity and Group Business | | 28,009.71 |
| C. Unit Linked Funds | | 3,92,137.26 |
| | | 13,43,097.94 |

| Section II | | (Rs. In lacs) | | | | | | | | | | |
|------------------------|--|-------------------|-------------|-------------------|-----------------|-----------------|--------------------|--------------------|--------------------|-------------------------|--------------------|--------------------|
| NON - LINKED BUSINESS | | % as per Reg | SH | | PH | | | Book Value (SH+PH) | Actual % | FVC Amount [@] | Total Fund | Market Value |
| A. LIFE FUND | | | Balance | FRSM [*] | UL-Non Unit Res | PAR | NON PAR | | | | | |
| | | | (a) | (b) | (c) | (d) | (e) | (f) = (a+b+c+d+e) | (g) = [(f) - (a)]% | (h) | (i)=(a+f+h) | (j) |
| 1 | Central Govt. Sec | Not Less than 25% | 0.00 | 16,235.91 | 2,017.63 | 1,928.43 | 4,98,850.00 | 5,19,031.98 | 56.2% | 0.00 | 5,19,031.98 | 5,14,594.92 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 50% | 0.00 | 49,314.44 | 2,786.23 | 2,974.87 | 6,88,882.35 | 7,43,957.88 | 80.6% | 0.00 | 7,43,957.88 | 7,34,283.74 |
| 3 | Investment subject to Exposure Norms | | | | | | | | | 0.00 | | |
| | a. Infrastructure/ Social/ Housing Sector | | | | | | | | | 0.00 | | |
| | 1. Approved Investments | Not Less than 15% | 0.00 | 10,253.48 | 615.65 | 813.35 | 1,52,215.86 | 1,63,898.34 | 17.8% | 2.25 | 1,63,900.59 | 1,62,568.77 |
| | 2. Other Investments | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.0% | 0.00 | 0.00 | 0.00 |
| | b. i) Approved Investments | Not exceeding 35% | 0.00 | 697.00 | 43.04 | 158.23 | 10,642.39 | 11,540.67 | 1.3% | 114.85 | 11,655.52 | 11,701.84 |
| | ii) Other Investments | | 0.00 | 855.84 | 11.88 | 1.86 | 2,938.41 | 3,808.00 | 0.4% | -371.01 | 3,436.98 | 3,436.98 |
| TOTAL LIFE FUND | | | 0.00 | 61,120.76 | 3,456.80 | 3,948.31 | 8,54,679.01 | 9,23,204.89 | | -253.91 | 9,22,950.97 | 9,11,991.34 |

| B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS | | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value |
|---|--|-------------------|-------------|------------------|------------------|-------------|------------|------------------|------------------|
| | | | PAR | NON PAR | | | | | |
| | | | (a) | (b) | (c) = (a+b) | (d) | (e) | (f)=(c+e) | (g) |
| 1 | Central Govt. Sec | Not Less than 20% | 0.00 | 21,506.64 | 21,506.64 | 77% | 0.00 | 21,506.64 | 21,913.31 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 40% | 0.00 | 23,554.82 | 23,554.82 | 84% | 0.00 | 23,554.82 | 23,938.81 |
| 3 | Balance in Approved investment | Not Less than 40% | 0.00 | 4,454.89 | 4,454.89 | 16% | 0.00 | 4,454.89 | 4,536.90 |
| TOTAL PENSION, GENERAL ANNUITY FUND | | | 0.00 | 28,009.71 | 28,009.71 | 100% | | 28,009.71 | 28,475.71 |

| LINKED BUSINESS | | (Rs. In lacs) | | | | |
|------------------------------------|----------------------|-------------------|------------------|--------------------|--------------------|---------------|
| C. LINKED FUNDS | | % as per Reg | PH | | Total Fund | Actual % |
| | | | PAR | NON PAR | | |
| | | | (a) | (b) | (c) = (a+b) | (d) |
| 1 | Approved Investments | Not Less than 75% | 13,837.69 | 3,45,110.95 | 3,58,948.63 | 92% |
| 2 | Other Investments | Not More than 25% | 23.84 | 33,164.79 | 33,188.63 | 8% |
| TOTAL LINKED INSURANCE FUND | | | 13,861.52 | 3,78,275.74 | 3,92,137.26 | 100.0% |

CERTIFICATION:
 Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

- Note:
- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin shall have a separate Custody Account.
 - Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
[@] FVC includes impairment provisions taken on bonds and equities

Chief Financial Officer

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2023

PART - B
(Rs. In lacs)

| PARTICULARS | Group Superannuation & Gratuity | Group Superannuation & Gratuity Cash | Group Superannuation & Gratuity Debt | Group Superannuation & Gratuity | Group Superannuation & Gratuity |
|---|---------------------------------|--------------------------------------|--------------------------------------|---------------------------------|---------------------------------|
| | ULGF00210/03/2006GROUPEBALAN122 | ULGF00531/03/2006GROUPECASHF122 | ULGF00310/03/2006GROUPEDEBT122 | ULGF00410/03/2006GROUPEGROWT122 | ULGF00113/07/2005GROUPESECUR122 |
| Opening Balance (Market Value) | 1,649.17 | 293.75 | 22,716.15 | 2,478.31 | 5,447.59 |
| Add: Inflow during the Quarter | 48.57 | 326.75 | 1,575.35 | 155.10 | 31.33 |
| Increase / (Decrease) Value of Inv (Net) | 76.90 | 4.55 | 317.99 | 160.32 | 167.72 |
| Less: Outflow during the Quarter | 29.63 | 341.15 | 3,122.72 | 35.27 | 56.48 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 1,745.00 | 283.90 | 21,486.77 | 2,758.47 | 5,590.15 |

| INVESTMENT OF UNIT FUND | ULGF00210/03/2006GROUPEBALAN122 | | ULGF00531/03/2006GROUPECASHF122 | | ULGF00310/03/2006GROUPEDEBT122 | | ULGF00410/03/2006GROUPEGROWT122 | | ULGF00113/07/2005GROUPESECUR122 | |
|---|---------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 572.27 | 32.8% | 236.45 | 83.3% | 10442.86 | 48.6% | 646.82 | 23.4% | 2269.13 | 40.6% |
| State Government Securities | 125.99 | 7.2% | 0.00 | 0.0% | 2766.97 | 12.9% | 169.79 | 6.2% | 445.39 | 8.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 174.68 | 10.0% | 0.00 | 0.0% | 4432.07 | 20.6% | 211.96 | 7.7% | 955.86 | 17.1% |
| Equity | 612.80 | 35.1% | 0.00 | 0.0% | 0.00 | 0.0% | 1390.01 | 50.4% | 1036.11 | 18.5% |
| Money Market Investments | 147.94 | 8.5% | 47.37 | 16.7% | 2680.15 | 12.5% | 177.20 | 6.4% | 620.74 | 11.1% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 1633.68 | 93.6% | 283.82 | 100.0% | 20322.05 | 94.6% | 2595.78 | 94.1% | 5327.23 | 95.3% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 25.22 | 1.4% | 0.01 | 0.0% | 433.21 | 2.0% | 21.51 | 0.8% | 93.94 | 1.7% |
| Dividend Receivable | (0.00) | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | 0.96 | 0.1% | 0.11 | 0.0% | 50.46 | 0.2% | 8.35 | 0.3% | 0.34 | 0.0% |
| Receivable for Sale of Investments | 18.68 | 1.1% | - | 0.0% | 298.25 | 1.4% | 4.39 | 0.2% | 15.22 | 0.3% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | - | 0.0% | - | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (55.18) | -3.2% | - | 0.0% | (693.29) | -3.2% | (44.97) | -1.6% | (163.66) | -2.9% |
| Fund Mgmt Charges Payable | (0.23) | 0.0% | (0.04) | 0.0% | (2.81) | 0.0% | (0.35) | 0.0% | (0.72) | 0.0% |
| Other Current Liabilities (for Investments) | (0.01) | 0.0% | (0.00) | 0.0% | (0.13) | 0.0% | (0.01) | 0.0% | (0.03) | 0.0% |
| Sub Total (B) | -10.55 | -0.6% | 0.08 | 0.0% | 85.70 | 0.4% | -11.07 | -0.4% | -54.91 | -1.0% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 90.57 | 5.2% | 0.00 | 0.0% | 1079.03 | 5.0% | 24.30 | 0.9% | 265.06 | 4.7% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 31.31 | 1.8% | 0.00 | 0.0% | 0.00 | 0.0% | 53.21 | 1.9% | 52.77 | 0.9% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 96.25 | 3.5% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 121.88 | 7.0% | 0.00 | 0.0% | 1079.03 | 5.0% | 173.76 | 6.3% | 317.83 | 5.7% |
| Total (A + B + C) | 1745.00 | 100.0% | 283.90 | 100.0% | 21486.77 | 100.0% | 2758.47 | 100.0% | 5590.15 | 100.0% |
| Fund Carried Forward (as per LB 2) | 1745.00 | | 283.90 | | 21486.77 | | 2758.47 | | 5590.15 | |

Note:

- The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Pc Date)
- Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
- Other Investments' are as permitted under Sec 27A(2)
- Market value of the securities considered for NAV calculation shall be taken for the purpose of applicability of Pattern of Investments for ULIP
- All the assets of linked funds at the segregated level including net current assets shall be considered in the denominator for limit monitoring

Chief Financial Officer

Date :
14-Feb-2024

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
 (Read with Regulation 10)
 Unit Linked Insurance Business
 Periodicity of Submission: Quarterly
 Statement as on: December 31, 2023

PART - B
 (Rs. In lacs)

| | Grp Superann-Short Term Debt fund | Pension Unit Linked Balanced Fund | Pension Unit Linked Balanced - II Fund | Pension Unit linked Growth fund | Pension Unit Linked Growth - II Fund |
|---|-----------------------------------|-----------------------------------|--|---------------------------------|--------------------------------------|
| PARTICULARS | ULGF00613/02/2009GROUPSDEBT122 | ULIF00311/02/2003PNSBALANCE122 | ULIF02325/01/2010PNBALAN-II122 | ULIF00703/03/2005PNSNGROWTH122 | ULIF02425/01/2010PNGROWT-II122 |
| Opening Balance (Market Value) | 27.51 | 4,342.79 | 680.21 | 4,115.64 | 1,081.30 |
| Add: Inflow during the Quarter | 0.00 | 59.17 | 1.47 | 125.73 | 12.61 |
| Increase / (Decrease) Value of Inv (Net) | 0.41 | 193.14 | 28.20 | 247.40 | 66.46 |
| Less: Outflow during the Quarter | 0.00 | 276.34 | 101.15 | 273.60 | 3.74 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 27.92 | 4,318.76 | 608.73 | 4,215.16 | 1,156.63 |

| INVESTMENT OF UNIT FUND | ULGF00613/02/2009GROUPSDEBT122 | | ULIF00311/02/2003PNSBALANCE122 | | ULIF02325/01/2010PNBALAN-II122 | | ULIF00703/03/2005PNSNGROWTH122 | | ULIF02425/01/2010PNGROWT-II122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 26.54 | 95.0% | 1408.94 | 32.6% | 185.18 | 30.4% | 946.12 | 22.4% | 274.21 | 23.7% |
| State Government Securities | 0.00 | 0.0% | 302.98 | 7.0% | 87.56 | 14.4% | 144.96 | 3.4% | 81.27 | 7.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 505.86 | 11.7% | 22.76 | 3.7% | 415.40 | 9.9% | 52.64 | 4.6% |
| Equity | 0.00 | 0.0% | 1587.17 | 36.8% | 234.27 | 38.5% | 2191.39 | 52.0% | 580.47 | 50.2% |
| Money Market Investments | 1.30 | 4.7% | 251.06 | 5.8% | 31.00 | 5.1% | 165.24 | 3.9% | 74.55 | 6.4% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 27.84 | 99.7% | 4056.01 | 93.9% | 560.77 | 92.1% | 3863.13 | 91.6% | 1063.14 | 91.9% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | (0.00) | 0.0% | 54.43 | 1.3% | 9.03 | 1.5% | 38.15 | 0.9% | 10.83 | 0.9% |
| Dividend Recievable | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | 0.09 | 0.3% | 8.60 | 0.2% | 0.41 | 0.1% | 3.91 | 0.1% | 0.57 | 0.0% |
| Receivable for Sale of Investments | - | 0.0% | 9.81 | 0.2% | 1.23 | 0.2% | 39.40 | 0.9% | 14.05 | 1.2% |
| Other Current Assets (for Investments) | - | 0.0% | (0.00) | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | - | 0.0% | (100.16) | -2.3% | (14.30) | -2.3% | (80.76) | -1.9% | (31.81) | -2.8% |
| Fund Mgmt Charges Payable | (0.00) | 0.0% | (0.87) | 0.0% | (0.13) | 0.0% | (1.02) | 0.0% | (0.25) | 0.0% |
| Other Current Liabilities (for Investments) | (0.00) | 0.0% | (0.02) | 0.0% | (0.00) | 0.0% | (0.01) | 0.0% | (0.00) | 0.0% |
| Sub Total (B) | 0.08 | 0.3% | -28.21 | -0.7% | -3.77 | -0.6% | -0.33 | 0.0% | -6.63 | -0.6% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 209.87 | 4.9% | 39.75 | 6.5% | 107.15 | 2.5% | 36.45 | 3.2% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.00 | 0.0% | 81.09 | 1.9% | 11.98 | 2.0% | 83.47 | 2.0% | 22.11 | 1.9% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 161.75 | 3.8% | 41.55 | 3.6% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.00 | 0.0% | 290.96 | 6.7% | 51.73 | 8.5% | 352.37 | 8.4% | 100.11 | 8.7% |
| Total (A + B + C) | 27.92 | 100.0% | 4318.76 | 100.0% | 608.73 | 100.0% | 4215.16 | 100.0% | 1156.63 | 100.0% |
| Fund Carried Forward (as per LB 2) | 27.92 | | 4318.76 | | 608.73 | | 4215.16 | | 1156.63 | |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2023

PART - B
(Rs. In lacs)

| | Pension Unit Linked Infrastructure fund | Pension Unit Linked Index Fund | Pension Unit Linked Index - II fund | Pension Unit Linked PSU fund | Pension Unit linked Secure fund |
|---|---|--------------------------------|-------------------------------------|--------------------------------|---------------------------------|
| PARTICULARS | ULIF02525/01/2010PNSNINFRAF122 | ULIF01122/01/2008PNSNINDEXF122 | ULIF02625/01/2010PNINDEX-II122 | ULIF02725/01/2010PNSNPSUFND122 | ULIF00803/03/2005PNSNSECURE122 |
| Opening Balance (Market Value) | 305.10 | 11,766.72 | 2,572.08 | 927.48 | 1,052.21 |
| Add: Inflow during the Quarter | 29.45 | 97.75 | 8.98 | 25.24 | 37.41 |
| Increase / (Decrease) Value of Inv (Net) | 51.41 | 1,103.02 | 251.04 | 215.07 | 27.67 |
| Less: Outflow during the Quarter | 4.21 | 995.72 | 64.94 | 4.58 | 82.81 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 381.76 | 11,971.76 | 2,767.17 | 1,163.20 | 1,034.49 |

| INVESTMENT OF UNIT FUND | ULIF02525/01/2010PNSNINFRAF122 | | ULIF01122/01/2008PNSNINDEXF122 | | ULIF02625/01/2010PNINDEX-II122 | | ULIF02725/01/2010PNSNPSUFND122 | | ULIF00803/03/2005PNSNSECURE122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 427.43 | 41.3% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 84.49 | 8.2% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 140.88 | 13.6% |
| Equity | 337.36 | 88.4% | 10511.18 | 87.8% | 2399.53 | 86.7% | 1057.11 | 90.9% | 165.63 | 16.0% |
| Money Market Investments | 11.20 | 2.9% | 34.80 | 0.3% | 37.60 | 1.4% | 6.70 | 0.6% | 81.43 | 7.9% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 348.56 | 91.3% | 10545.98 | 88.1% | 2437.13 | 88.1% | 1063.81 | 91.5% | 899.87 | 87.0% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 20.24 | 2.0% |
| Dividend Recievable | - | 0.0% | (0.00) | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | 9.70 | 2.5% | (7.48) | -0.1% | 6.76 | 0.2% | 23.02 | 2.0% | 0.12 | 0.0% |
| Receivable for Sale of Investments | 25.36 | 6.6% | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 3.27 | 0.3% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | - | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (25.96) | -6.8% | (23.53) | -0.2% | (5.42) | -0.2% | (21.89) | -1.9% | (29.89) | -2.9% |
| Fund Mgmt Charges Payable | (0.08) | 0.0% | (1.43) | 0.0% | (0.44) | 0.0% | (0.25) | 0.0% | (0.17) | 0.0% |
| Other Current Liabilities (for Investments) | (0.00) | 0.0% | (0.01) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% |
| Sub Total (B) | 9.01 | 2.4% | -32.45 | -0.3% | 0.90 | 0.0% | 0.88 | 0.1% | -6.44 | -0.6% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 132.59 | 12.8% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 24.19 | 6.3% | 188.96 | 1.6% | 41.63 | 1.5% | 3.94 | 0.3% | 8.47 | 0.8% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 1269.27 | 10.6% | 287.52 | 10.4% | 94.57 | 8.1% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 24.19 | 6.3% | 1458.23 | 12.2% | 329.15 | 11.9% | 98.51 | 8.5% | 141.05 | 13.6% |
| Total (A + B + C) | 381.76 | 100.0% | 11971.76 | 100.0% | 2767.17 | 100.0% | 1163.20 | 100.0% | 1034.49 | 100.0% |
| Fund Carried Forward (as per LB 2) | 381.76 | | 11971.76 | | 2767.17 | | 1163.20 | | 1034.49 | |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2023

PART - B
(Rs. In lacs)

| | Pension Unit Linked Protector Fund | Pension Unit Linked Protector -II fund | Pension Unifised with Profit fund | Unit Linked Balanced fund | Unit Linked Balanced- II fund |
|---|------------------------------------|--|-----------------------------------|--------------------------------|--------------------------------|
| PARTICULARS | ULIF01408/02/2008PNSPROTECT122 | ULIF02825/01/2010PNPROTE-II122 | ULIF00411/02/2003PNSWPROFIT122 | ULIF00106/06/2002LIFBALANCE122 | ULIF01508/01/2010LIBALAN-II122 |
| Opening Balance (Market Value) | 1,195.46 | 196.20 | 1,381.38 | 24,025.40 | 14,498.71 |
| Add: Inflow during the Quarter | 248.48 | 66.94 | 33.86 | 383.55 | 2,021.34 |
| Increase / (Decrease) Value of Inv (Net) | 33.47 | 6.74 | 38.04 | 1,072.61 | 698.95 |
| Less: Outflow during the Quarter | 146.98 | 2.48 | 39.53 | 1,450.55 | 3,058.35 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 1,330.44 | 267.41 | 1,413.76 | 24,031.01 | 14,160.64 |

| INVESTMENT OF UNIT FUND | ULIF01408/02/2008PNSPROTECT122 | | ULIF02825/01/2010PNPROTE-II122 | | ULIF00411/02/2003PNSWPROFIT122 | | ULIF00106/06/2002LIFBALANCE122 | | ULIF01508/01/2010LIBALAN-II122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 589.62 | 44.3% | 128.97 | 48.2% | 575.11 | 40.7% | 7629.81 | 31.7% | 3898.68 | 27.5% |
| State Government Securities | 184.39 | 13.9% | 49.75 | 18.6% | 608.89 | 43.1% | 1417.41 | 5.9% | 1169.81 | 8.3% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 151.26 | 11.4% | 4.50 | 1.7% | 0.00 | 0.0% | 3210.40 | 13.4% | 1811.31 | 12.8% |
| Equity | 193.33 | 14.5% | 39.32 | 14.7% | 155.43 | 11.0% | 8878.44 | 36.9% | 6002.39 | 42.4% |
| Money Market Investments | 161.95 | 12.2% | 28.46 | 10.6% | 49.60 | 3.5% | 1427.52 | 5.9% | 612.02 | 4.3% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 1280.55 | 96.3% | 251.01 | 93.9% | 1389.03 | 98.3% | 22563.58 | 93.9% | 13494.21 | 95.3% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 22.42 | 1.7% | 3.82 | 1.4% | 23.34 | 1.7% | 314.17 | 1.3% | 170.79 | 1.2% |
| Dividend Recievable | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | (0.22) | 0.0% | 1.21 | 0.5% | (1.02) | -0.1% | (13.79) | -0.1% | 26.36 | 0.2% |
| Receivable for Sale of Investments | 21.47 | 1.6% | 2.68 | 1.0% | (0.00) | 0.0% | 52.00 | 0.2% | 104.82 | 0.7% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 0.00 | 0.0% | (0.00) | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (56.45) | -4.2% | (9.85) | -3.7% | - | 0.0% | (548.66) | -2.3% | (307.96) | -2.2% |
| Fund Mgmt Charges Payable | (0.21) | 0.0% | (0.06) | 0.0% | - | 0.0% | (4.84) | 0.0% | (3.08) | 0.0% |
| Other Current Liabilities (for Investments) | (0.01) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | (0.07) | 0.0% | (0.04) | 0.0% |
| Sub Total (B) | -13.00 | -1.0% | -2.20 | -0.8% | 22.32 | 1.6% | -201.20 | -0.8% | -9.12 | -0.1% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 53.04 | 4.0% | 16.58 | 6.2% | 0.00 | 0.0% | 1215.14 | 5.1% | 368.97 | 2.6% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 9.86 | 0.7% | 2.01 | 0.8% | 2.40 | 0.2% | 453.49 | 1.9% | 306.57 | 2.2% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 62.89 | 4.7% | 18.60 | 7.0% | 2.40 | 0.2% | 1668.64 | 6.9% | 675.54 | 4.8% |
| Total (A + B + C) | 1330.44 | 100.0% | 267.41 | 100.0% | 1413.76 | 100.0% | 24031.01 | 100.0% | 14160.64 | 100.0% |
| Fund Carried Forward (as per LB 2) | 1330.44 | | 267.41 | | 1413.76 | | 24031.01 | | 14160.64 | |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
 (Read with Regulation 10)
 Unit Linked Insurance Business
 Periodicity of Submission: Quarterly
 Statement as on: December 31, 2023

PART - B
 (Rs. In lacs)

| PARTICULARS | Unit Linked Debt Fund | Unit Linked Debt -II Fund | Unit Linked Enhancer fund | Unit Linked Enhancer - II fund | Unit Linked Growth Fund |
|---|--------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | ULIF01306/02/2008LIFEDEBTFU122 | ULIF01608/01/2010LIFEDEBT-II122 | ULIF01230/01/2008LIENHANCER122 | ULIF01708/01/2010LIFENHN-II122 | ULIF00527/01/2004LIFEGROWTH122 |
| Opening Balance (Market Value) | 505.97 | 7,267.66 | 6,179.16 | 77,982.12 | 71,646.15 |
| Add: Inflow during the Quarter | 187.08 | 5,118.90 | 296.15 | 9,675.91 | 1,209.81 |
| Increase / (Decrease) Value of Inv (Net) | 4.40 | 104.86 | 621.97 | 7,976.06 | 5,569.67 |
| Less: Outflow during the Quarter | 332.15 | 4,556.82 | 193.46 | 10,704.90 | 3,657.79 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 365.30 | 7,934.61 | 6,903.83 | 84,929.18 | 74,767.84 |

| INVESTMENT OF UNIT FUND | ULIF01306/02/2008LIFEDEBTFU122 | | ULIF01608/01/2010LIFEDEBT-II122 | | ULIF01230/01/2008LIENHANCER122 | | ULIF01708/01/2010LIFENHN-II122 | | ULIF00527/01/2004LIFEGROWTH122 | |
|---|--------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 199.21 | 54.5% | 4119.97 | 51.9% | 0.00 | 0.0% | 0.00 | 0.0% | 8960.63 | 12.0% |
| State Government Securities | 87.40 | 23.9% | 210.72 | 2.7% | 0.00 | 0.0% | 0.00 | 0.0% | 1655.66 | 2.2% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 12.51 | 3.4% | 1752.36 | 22.1% | 0.00 | 0.0% | 0.00 | 0.0% | 3973.98 | 5.3% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 5874.32 | 85.1% | 73407.37 | 86.4% | 52212.01 | 69.8% |
| Money Market Investments | 38.38 | 10.5% | 824.33 | 10.4% | 222.30 | 3.2% | 449.40 | 0.5% | 1073.02 | 1.4% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 337.50 | 92.4% | 6907.38 | 87.1% | 6096.62 | 88.3% | 73856.77 | 87.0% | 67875.29 | 90.8% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 7.34 | 2.0% | 185.72 | 2.3% | (0.00) | 0.0% | (0.00) | 0.0% | 384.90 | 0.5% |
| Dividend Recievable | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | (0.00) | 0.0% |
| Bank Balance | 0.57 | 0.2% | 52.71 | 0.7% | 40.60 | 0.6% | 859.29 | 1.0% | (98.23) | -0.1% |
| Receivable for Sale of Investments | 1.74 | 0.5% | 361.74 | 4.6% | 9.94 | 0.1% | 173.60 | 0.2% | 472.69 | 0.6% |
| Other Current Assets (for Investments) | - | 0.0% | 0.00 | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (8.30) | -2.3% | (355.30) | -4.5% | (57.11) | -0.8% | (311.89) | -0.4% | (833.80) | -1.1% |
| Fund Mgmt Charges Payable | (0.06) | 0.0% | (1.76) | 0.0% | (1.93) | 0.0% | (18.18) | 0.0% | (18.05) | 0.0% |
| Other Current Liabilities (for Investments) | (0.00) | 0.0% | (0.05) | 0.0% | (0.00) | 0.0% | (0.03) | 0.0% | (0.09) | 0.0% |
| Sub Total (B) | 1.28 | 0.3% | 243.07 | 3.1% | -8.50 | -0.1% | 702.78 | 0.8% | -92.57 | -0.1% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 26.52 | 7.3% | 784.16 | 9.9% | 0.00 | 0.0% | 0.00 | 0.0% | 1148.70 | 1.5% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 233.16 | 3.4% | 2944.37 | 3.5% | 1975.15 | 2.6% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 582.55 | 8.4% | 7425.26 | 8.7% | 3861.27 | 5.2% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 26.52 | 7.3% | 784.16 | 9.9% | 815.71 | 11.8% | 10369.63 | 12.2% | 6985.13 | 9.3% |
| Total (A + B + C) | 365.30 | 100.0% | 7934.61 | 100.0% | 6903.83 | 100.0% | 84929.18 | 100.0% | 74767.84 | 100.0% |
| Fund Carried Forward (as per LB 2) | 365.30 | | 7934.61 | | 6903.83 | | 84929.18 | | 74767.84 | |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2023

PART - B
(Rs. In lacs)

| PARTICULARS | Unit Linked Growth - II fund | Unit Linked Infrastructure fund | Unit Linked Index fund | Unit Linked Index - II fund | Unit Linked Protector Fund |
|---|--------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | ULIF01808/01/2010LIGROWT-II122 | ULIF01908/01/2010LIFEINFRAF122 | ULIF01002/01/2008LIFEINDEXF122 | ULIF02008/01/2010LIFINDX-II122 | ULIF00911/07/2006LIFPROTECT122 |
| Opening Balance (Market Value) | 16,353.95 | 6,990.38 | 5,475.08 | 7,800.67 | 2,317.95 |
| Add: Inflow during the Quarter | 816.05 | 1,704.54 | 71.18 | 190.19 | 130.29 |
| Increase / (Decrease) Value of Inv (Net) | 1,300.16 | 1,128.31 | 528.83 | 736.70 | 62.32 |
| Less: Outflow during the Quarter | 863.82 | 4,198.28 | 318.16 | 444.29 | 294.76 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 17,606.35 | 5,624.95 | 5,756.93 | 8,283.27 | 2,215.80 |

| INVESTMENT OF UNIT FUND | ULIF01808/01/2010LIGROWT-II122 | | ULIF01908/01/2010LIFEINFRAF122 | | ULIF01002/01/2008LIFEINDEXF122 | | ULIF02008/01/2010LIFINDX-II122 | | ULIF00911/07/2006LIFPROTECT122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 2143.29 | 12.2% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 888.96 | 40.1% |
| State Government Securities | 532.48 | 3.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 94.83 | 4.3% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 824.24 | 4.7% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 470.33 | 21.2% |
| Equity | 12144.73 | 69.0% | 5276.21 | 93.8% | 5007.96 | 87.0% | 7161.31 | 86.5% | 366.26 | 16.5% |
| Money Market Investments | 415.98 | 2.4% | 178.10 | 3.2% | 64.70 | 1.1% | 157.90 | 1.9% | 237.10 | 10.7% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 16060.71 | 91.2% | 5454.31 | 97.0% | 5072.66 | 88.1% | 7319.21 | 88.4% | 2057.48 | 92.9% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 83.45 | 0.5% | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 40.76 | 1.8% |
| Dividend Recievable | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | 35.72 | 0.2% | (30.99) | -0.6% | 5.46 | 0.1% | 12.97 | 0.2% | 5.83 | 0.3% |
| Receivable for Sale of Investments | 63.03 | 0.4% | 151.71 | 2.7% | (0.00) | 0.0% | (0.00) | 0.0% | 6.95 | 0.3% |
| Other Current Assets (for Investments) | (0.00) | 0.0% | 0.00 | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (161.80) | -0.9% | (212.29) | -3.8% | (11.31) | -0.2% | (16.26) | -0.2% | (60.44) | -2.7% |
| Fund Mgmt Charges Payable | (3.81) | 0.0% | (1.31) | 0.0% | (0.69) | 0.0% | (1.79) | 0.0% | (0.36) | 0.0% |
| Other Current Liabilities (for Investments) | (0.02) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | (0.01) | 0.0% | (0.01) | 0.0% |
| Sub Total (B) | 16.56 | 0.1% | -92.89 | -1.7% | -6.54 | -0.1% | -5.08 | -0.1% | -7.27 | -0.3% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 175.63 | 1.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 146.89 | 6.6% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 463.40 | 2.6% | 263.54 | 4.7% | 87.46 | 1.5% | 122.57 | 1.5% | 18.69 | 0.8% |
| Mutual funds- Exchange traded funds | 890.04 | 5.1% | 0.00 | 0.0% | 603.35 | 10.5% | 846.57 | 10.2% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 1529.07 | 8.7% | 263.54 | 4.7% | 690.81 | 12.0% | 969.14 | 11.7% | 165.59 | 7.5% |
| Total (A + B + C) | 17606.35 | 100.0% | 5624.95 | 100.0% | 5756.93 | 100.0% | 8283.27 | 100.0% | 2215.80 | 100.0% |
| Fund Carried Forward (as per LB 2) | 17606.35 | | 5624.95 | | 5756.93 | | 8283.27 | | 2215.80 | |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2023

PART - B
(Rs. In lacs)

| PARTICULARS | Unit Linked Protector - II fund | Unit Linked PSU fund | Unit Linked Secure fund | Unitised with Profit fund | Unit Linked Liquid Fund |
|---|---------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | ULIF02108/01/2010LIPROTE-II122 | ULIF02208/01/2010LIFEPSUFND122 | ULIF00627/01/2004LIFESECURE122 | ULIF00225/06/2002LIFWPROFIT122 | ULIF02903/05/2010LIFELIQUID122 |
| Opening Balance (Market Value) | 5,047.87 | 14,840.93 | 9,879.75 | 12,206.34 | - |
| Add: Inflow during the Quarter | 1,017.07 | 9,458.89 | 275.73 | 143.55 | - |
| Increase / (Decrease) Value of Inv (Net) | 130.96 | 3,617.14 | 265.28 | 338.20 | - |
| Less: Outflow during the Quarter | 994.43 | 3,332.16 | 620.71 | 240.33 | - |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 5,201.48 | 24,584.80 | 9,800.04 | 12,447.77 | - |

| INVESTMENT OF UNIT FUND | ULIF02108/01/2010LIPROTE-II122 | | ULIF02208/01/2010LIFEPSUFND122 | | ULIF00627/01/2004LIFESECURE122 | | ULIF00225/06/2002LIFWPROFIT122 | | ULIF02903/05/2010LIFELIQUID122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 2025.29 | 38.9% | 0.00 | 0.0% | 4061.67 | 41.4% | 5606.96 | 45.0% | 0.00 | 0.0% |
| State Government Securities | 529.76 | 10.2% | 0.00 | 0.0% | 377.62 | 3.9% | 4993.11 | 40.1% | 0.00 | 0.0% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 922.38 | 17.7% | 0.00 | 0.0% | 2073.99 | 21.2% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 786.92 | 15.1% | 22268.39 | 90.6% | 1558.81 | 15.9% | 1384.19 | 11.1% | 0.00 | 0.0% |
| Money Market Investments | 647.57 | 12.4% | 147.20 | 0.6% | 1096.46 | 11.2% | 277.00 | 2.2% | 0.00 | 0.0% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 4911.92 | 94.4% | 22415.59 | 91.2% | 9168.55 | 93.6% | 12261.26 | 98.5% | 0.00 | 0.0% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 86.03 | 1.7% | (0.00) | 0.0% | 196.50 | 2.0% | 211.58 | 1.7% | - | 0.0% |
| Dividend Recievable | (0.00) | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% |
| Bank Balance | 86.07 | 1.7% | 900.32 | 3.7% | (33.04) | -0.3% | (46.50) | -0.4% | - | 0.0% |
| Receivable for Sale of Investments | 12.97 | 0.2% | (0.00) | 0.0% | 29.01 | 0.3% | (0.00) | 0.0% | - | 0.0% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | (0.00) | 0.0% | - | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | (156.61) | -3.0% | (514.03) | -2.1% | (294.81) | -3.0% | - | 0.0% | - | 0.0% |
| Fund Mgmt Charges Payable | (1.11) | 0.0% | (5.06) | 0.0% | (1.58) | 0.0% | - | 0.0% | - | 0.0% |
| Other Current Liabilities (for Investments) | (0.03) | 0.0% | (0.02) | 0.0% | (0.05) | 0.0% | (0.01) | 0.0% | - | 0.0% |
| Sub Total (B) | 27.32 | 0.5% | 381.20 | 1.6% | -103.96 | -1.1% | 165.07 | 1.3% | 0.00 | 0.0% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 222.02 | 4.3% | 0.00 | 0.0% | 655.82 | 6.7% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 40.22 | 0.8% | 70.04 | 0.3% | 79.64 | 0.8% | 21.43 | 0.2% | 0.00 | 0.0% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 1717.97 | 7.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 262.23 | 5.0% | 1788.01 | 7.3% | 735.46 | 7.5% | 21.43 | 0.2% | 0.00 | 0.0% |
| Total (A + B + C) | 5201.48 | 100.0% | 24584.80 | 100.0% | 9800.05 | 100.0% | 12447.76 | 100.0% | 0.00 | 0.0% |
| Fund Carried Forward (as per LB 2) | 5201.48 | | 24584.80 | | 9800.05 | | 12447.76 | | 0.00 | 0.00 |

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
 (Read with Regulation 10)
 Unit Linked Insurance Business
 Periodicity of Submission: Quarterly
 Statement as on: December 31, 2023

PART - B
 (Rs. In lacs)

| | Unit Linked Wealth Builder fund | Group Superannuation & Gratuity | Unit Linked Dynamic P/E Fund | Discontinued Policy Fund | Total of All Funds |
|---|---------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------|
| PARTICULARS | ULIF03020/07/2010LIFEWEALTH122 | ULGF00728/03/2011GROUPINCOM122 | ULIF03201/08/2011LIFDYNAMIC122 | ULIF03127/01/2011LIDISCPLCY122 | |
| Opening Balance (Market Value) | - | 0.00 | 8,171.88 | 16,295.00 | 3,69,714.04 |
| Add: Inflow during the Quarter | - | - | 102.18 | 3,041.58 | 38,728.23 |
| Increase / (Decrease) Value of Inv (Net) | 0.00 | - | 634.83 | 259.36 | 28,040.16 |
| Less: Outflow during the Quarter | - | - | 262.09 | 3,240.77 | 44,345.17 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 0.00 | 0.00 | 8,646.80 | 16,355.17 | 3,92,137.26 |

| INVESTMENT OF UNIT FUND | ULIF03020/07/2010LIFEWEALTH122 | | ULGF00728/03/2011GROUPINCOM122 | | ULIF03201/08/2011LIFDYNAMIC122 | | ULIF03127/01/2011LIDISCPLCY122 | | Total of All Funds | |
|---|--------------------------------|-------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 0.00 | 0.0% | 0.00 | 0.0% | 1480.70 | 17.1% | 11974.78 | 73.2% | 71719.60 | 18.3% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 16121.24 | 4.1% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 22119.37 | 5.6% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 5806.12 | 67.1% | 0.00 | 0.0% | 230626.54 | 58.8% |
| Money Market Investments | 0.00 | 0.0% | 0.00 | 0.0% | 533.40 | 6.2% | 4653.31 | 28.5% | 17663.99 | 4.5% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 0.00 | 0.0% | 0.00 | 0.0% | 7820.23 | 90.4% | 16628.09 | 101.7% | 3,58,250.74 | 91.4% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | - | 0.0% | (0.00) | -0.1% | (0.00) | 0.0% | (0.00) | 0.0% | 2,437.40 | 0.6% |
| Dividend Recievable | - | 0.0% | - | 0.0% | - | 0.0% | - | 0.0% | (0.00) | 0.0% |
| Bank Balance | - | 0.0% | 0.00 | 100.1% | 23.14 | 0.3% | (271.51) | -1.7% | 1,660.85 | 0.4% |
| Receivable for Sale of Investments | - | 0.0% | - | 0.0% | 15.88 | 0.2% | - | 0.0% | 1,909.87 | 0.5% |
| Other Current Assets (for Investments) | - | 0.0% | - | 0.0% | (0.00) | 0.0% | - | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | - | 0.0% | - | 0.0% | (25.89) | -0.3% | - | 0.0% | (5,233.58) | -1.3% |
| Fund Mgmt Charges Payable | - | 0.0% | - | 0.0% | (1.87) | 0.0% | (1.34) | 0.0% | (75.89) | 0.0% |
| Other Current Liabilities (for Investments) | - | 0.0% | - | 0.0% | (0.02) | 0.0% | (0.07) | 0.0% | (0.76) | 0.0% |
| Sub Total (B) | 0.00 | 0.0% | 0.00 | 100.0% | 11.23 | 0.1% | -272.92 | -1.7% | 697.89 | 0.2% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 6798.23 | 1.7% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 234.67 | 2.7% | 0.00 | 0.0% | 7931.81 | 2.0% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 580.68 | 6.7% | 0.00 | 0.0% | 18458.59 | 4.7% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.00 | 0.0% | 0.00 | 0.0% | 815.35 | 9.4% | 0.00 | 0.0% | 33,188.63 | 8.5% |
| Total (A + B + C) | 0.00 | 0.0% | 0.00 | 100.0% | 8646.80 | 100.0% | 16355.17 | 100.0% | 3,92,137.26 | 100.0% |
| Fund Carried Forward (as per LB 2) | 0.00 | | 0.00 | | 8646.80 | | 16355.17 | | 3,92,137.26 | |

FORM L-28-UNIT LINKED NAV - 3A

FORM - 3A
(Read with Regulation 10)
Link to FORM 3A (Part B)
Statement as on: December 31, 2023
Periodicity of Submission: Quarterly
Statement of NAV of Segregated Funds

(Rs. In lacs)

| No | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date | NAV as per LB 2 | NAV as on the above date* | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | 4th Previous Qtr NAV | Return/Yield | 3 Year Rolling CAGR | Highest NAV since inception |
|--------------|---|-----------------------------------|----------------|-------------|---|-----------------|---------------------------|------------------|----------------------|----------------------|----------------------|--------------|---------------------|-----------------------------|
| 1 | Unit Linked Balanced fund | ULIF00106/006/2002LFBALANCEI122 | 06-Jun-02 | Non Par | 24,031.01 | 103.4388 | 103.4388 | 98.9000 | 97.2427 | 92.3262 | 92.9092 | 11.3% | 8.8% | 103.4388 |
| 2 | Pension Unit Linked Balanced Fund | ULIF00311/02/2003PNBALANCEI122 | 11-Feb-03 | Non Par | 4,318.76 | 79.8344 | 79.8344 | 76.3896 | 75.0828 | 71.3706 | 71.9102 | 11.0% | 8.7% | 79.8344 |
| 3 | Unit Linked Growth Fund | ULIF00527/01/2004LIFEGROWTHI122 | 27-Jan-04 | Non Par | 74,767.84 | 106.5187 | 106.5187 | 98.4836 | 96.5891 | 88.7568 | 91.4306 | 16.5% | 14.5% | 106.4477 |
| 4 | Unit Linked Secure fund | ULIF00427/01/2004LIFESECUREI122 | 27-Jan-04 | Non Par | 9,800.04 | 41.1020 | 41.1020 | 39.9989 | 39.4571 | 38.0939 | 37.8790 | 8.5% | 5.9% | 41.1020 |
| 5 | Pension Unit linked Growth fund | ULIF00703/03/2005PNNSNGROWTHI122 | 03-Mar-05 | Non Par | 4,215.14 | 57.6556 | 57.6556 | 54.3274 | 53.3538 | 49.8343 | 50.7976 | 13.5% | 11.4% | 57.6667 |
| 6 | Pension Unit linked Secure fund | ULIF00803/03/2005PNNSSECUREI122 | 03-Mar-05 | Non Par | 1,034.49 | 37.0225 | 37.0225 | 36.0628 | 35.5914 | 34.3637 | 34.1870 | 8.3% | 5.6% | 37.0225 |
| 7 | Group Superannuation & Gratuity Secure Fund | ULGF00113/07/2005GROUPECUR122 | 13-Jul-05 | Non Par | 5,590.15 | 41.0794 | 41.0794 | 39.8483 | 39.2709 | 37.8553 | 37.6692 | 9.1% | 7.0% | 41.0794 |
| 8 | Group Superannuation & Gratuity Debt Fund | ULGF00310/03/2006GROUPEBTFI122 | 10-Mar-06 | Non Par | 21,486.77 | 35.0737 | 35.0737 | 34.5773 | 34.2135 | 33.4794 | 32.9841 | 6.3% | 4.0% | 35.0737 |
| 9 | Group Superannuation & Gratuity Balanced Fund | ULGF00210/03/2006GROUPEBALANI122 | 10-Mar-06 | Non Par | 1,745.00 | 41.1417 | 41.1417 | 39.3213 | 38.6043 | 36.6639 | 36.8426 | 11.7% | 9.2% | 41.1417 |
| 10 | Group Superannuation & Gratuity Growth Fund | ULGF00410/03/2006GROUPEGROWTI122 | 10-Mar-06 | Non Par | 2,758.47 | 54.1737 | 54.1737 | 51.0545 | 50.0319 | 46.6955 | 47.4465 | 14.2% | 12.2% | 54.1972 |
| 11 | Group Superannuation & Gratuity Cash Fund | ULGF00531/03/2006GROUPECASHF122 | 31-Mar-06 | Non Par | 283.90 | 31.2152 | 31.2152 | 30.7426 | 30.2817 | 29.8280 | 29.4264 | 6.1% | 4.2% | 31.2152 |
| 12 | Unit Linked Protector Fund | ULIF00911/07/2006LIFPROTECTI122 | 11-Jul-06 | Non Par | 2,215.80 | 34.7608 | 34.7608 | 33.8226 | 33.3739 | 32.2137 | 32.0427 | 8.5% | 6.1% | 34.7608 |
| 13 | Unit Linked Index fund | ULIF01002/01/2008LIFEINDEXFI122 | 02-Jan-08 | Non Par | 5,756.93 | 37.9458 | 37.9458 | 34.4810 | 33.4945 | 30.3399 | 31.3908 | 20.9% | 18.3% | 38.0360 |
| 14 | Pension Unit Linked Index Fund | ULIF01122/01/2008PNNSINDEXFI122 | 22-Jan-08 | Non Par | 11,971.76 | 46.7029 | 46.7029 | 42.4630 | 41.2619 | 37.3646 | 38.6674 | 20.8% | 18.2% | 46.8142 |
| 15 | Unit Linked Enhancer fund | ULIF01230/01/2008LIENHANCERI122 | 30-Jan-08 | Non Par | 6,903.83 | 49.8053 | 49.8053 | 45.2578 | 44.1446 | 39.8081 | 41.5557 | 19.9% | 17.8% | 49.8970 |
| 16 | Unit Linked Debt Fund | ULIF01306/02/2008LIFEDEBTFU122 | 06-Feb-08 | Non Par | 365.30 | 30.3361 | 30.3361 | 29.9286 | 29.6400 | 28.9997 | 28.5707 | 6.2% | 3.7% | 30.3361 |
| 17 | Pension Unit Linked Protector Fund | ULIF01408/02/2008PNNSPROTECTI122 | 08-Feb-08 | Non Par | 1,330.44 | 30.6289 | 30.6289 | 29.7941 | 29.4047 | 28.4154 | 28.2371 | 8.5% | 6.2% | 30.6289 |
| 18 | Grp Superann-Short Term Debt fund | ULGF00613/02/2009GROUPESTDEBT122 | 13-Feb-09 | Non Par | 27.92 | 24.9458 | 24.9458 | 24.5793 | 24.2139 | 23.8508 | 23.5401 | 6.0% | 4.1% | 24.9458 |
| 19 | Unit Linked PSU fund | ULIF02208/01/2010LIFEPSUFUND122 | 08-Jan-10 | Non Par | 24,584.80 | 33.4617 | 33.4617 | 27.2485 | 23.1721 | 20.6735 | 21.2899 | 57.2% | 41.2% | 33.6241 |
| 20 | Unit Linked Index - II fund | ULIF02008/01/2010LIFEINDEX-II122 | 08-Jan-10 | Non Par | 8,283.27 | 40.2675 | 40.2675 | 36.7054 | 35.7417 | 32.4788 | 33.6287 | 19.7% | 17.4% | 40.3654 |
| 21 | Unit Linked Growth - II fund | ULIF01808/01/2010LIFEGROWTH-II122 | 08-Jan-10 | Non Par | 17,606.35 | 37.9501 | 37.9501 | 35.1678 | 34.4215 | 31.6688 | 32.6118 | 16.4% | 14.6% | 37.9946 |
| 22 | Unit Linked Balanced - II fund | ULIF01508/01/2010LIFEBALAN-II122 | 08-Jan-10 | Non Par | 14,160.64 | 31.7995 | 31.7995 | 30.2806 | 29.7525 | 28.3854 | 28.3854 | 12.0% | 9.6% | 31.7995 |
| 23 | Unit Linked Enhancer - II fund | ULIF01708/01/2010LIFEENH-II122 | 08-Jan-10 | Non Par | 84,929.19 | 48.9268 | 48.9268 | 44.4099 | 43.2535 | 39.0340 | 40.6627 | 20.3% | 18.2% | 49.0153 |
| 24 | Unit Linked Debt - II fund | ULIF01608/01/2010LIFEDEBT-II122 | 08-Jan-10 | Non Par | 7,934.61 | 24.6589 | 24.6589 | 24.3516 | 24.1351 | 23.6432 | 23.3318 | 5.7% | 3.4% | 24.6589 |
| 25 | Unit Linked Infrastructure fund | ULIF01908/01/2010LIFEINFRAFI122 | 08-Jan-10 | Non Par | 5,624.95 | 29.8548 | 29.8548 | 25.5014 | 23.5745 | 21.0991 | 21.6663 | 37.8% | 28.5% | 29.8570 |
| 26 | Unit Linked Protector - II fund | ULIF02108/01/2010LIFEPROTE-II122 | 08-Jan-10 | Non Par | 5,201.48 | 26.5385 | 26.5385 | 25.8590 | 24.6866 | 24.5539 | 24.5539 | 8.1% | 5.8% | 26.5385 |
| 27 | Pension Unit Linked Index - II fund | ULIF02625/01/2010PNINDEX-II122 | 25-Jan-10 | Non Par | 2,767.17 | 44.8265 | 44.8265 | 40.8052 | 39.7048 | 36.0696 | 37.2836 | 20.2% | 17.9% | 44.9340 |
| 28 | Pension Unit Linked PSU fund | ULIF02725/01/2010PNNSPSUFUND122 | 25-Jan-10 | Non Par | 1,163.20 | 34.5814 | 34.5814 | 28.1026 | 23.8134 | 21.2027 | 21.8323 | 58.4% | 41.8% | 34.7434 |
| 29 | Pension Unit Linked Balanced - II fund | ULIF02325/01/2010PNBALAN-II122 | 25-Jan-10 | Non Par | 608.73 | 30.1629 | 30.1629 | 28.8392 | 28.3630 | 26.9317 | 27.0613 | 11.5% | 9.1% | 30.1629 |
| 30 | Pension Unit Linked Growth - II fund | ULIF02425/01/2010PNGROWTH-II122 | 25-Jan-10 | Non Par | 1,156.63 | 35.1722 | 35.1722 | 33.1510 | 32.5405 | 30.4619 | 31.0050 | 13.4% | 11.6% | 35.1892 |
| 31 | Pension Unit Linked Infrastructure fund | ULIF02525/01/2010PNNSINFRAFI122 | 25-Jan-10 | Non Par | 381.76 | 30.1858 | 30.1858 | 25.9000 | 23.9617 | 21.4126 | 22.0219 | 37.1% | 28.2% | 30.1881 |
| 32 | Pension Unit Linked Protector-II fund | ULIF02825/01/2010PNPROTE-II122 | 25-Jan-10 | Non Par | 267.41 | 24.6641 | 24.6641 | 24.0376 | 23.7570 | 22.9500 | 22.8268 | 8.0% | 6.1% | 24.6641 |
| 33 | Unit Linked Liquid fund | ULIF02903/05/2010LIFEELIQUIDI122 | 03-May-10 | Non Par | - | NA | NA | NA | NA | NA | NA | NA | NA | 12.4150 |
| 34 | Unit Linked Wealth Builder fund | ULIF03020/07/2010LIFEWEALTHI122 | 20-Jul-10 | Non Par | - | NA | NA | NA | NA | NA | NA | NA | NA | 18.8686 |
| 35 | Discontinued Policy Fund | ULIF03127/01/2011LIFEISPLCYI122 | 27-Jan-11 | Non Par | 16,355.17 | 22.0038 | 22.0038 | 21.6604 | 21.3180 | 20.9769 | 20.6685 | 6.5% | 4.5% | 22.0038 |
| 36 | Group Superannuation & Gratuity Income fund | ULGF00728/03/2011GROUPEINCOMI122 | 25-Mar-11 | Non Par | 0.00 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 0.0% | 0.0% | 17.0397 |
| 37 | Unit Linked Dynamic P/E Fund | ULIF03201/08/2011LIFEDYNAMICI122 | 01-Aug-11 | Non Par | 8,646.80 | 38.8683 | 38.8683 | 36.0467 | 35.2012 | 32.1353 | 33.1343 | 17.3% | 15.3% | 38.9186 |
| 38 | Unitised with Profit fund | ULIF00225/06/2002LIFWPROFIT122 | 25-Jun-02 | Par | 12,447.77 | 58.4697 | 58.4697 | 55.6764 | 53.0094 | 50.4972 | 48.1297 | 21.5% | 17.4% | 58.4697 |
| 39 | Pension Unitised with Profit fund | ULIF00411/02/2003PNNSWPROFIT122 | 11-Feb-03 | Par | 1,413.76 | 59.1161 | 59.1161 | 56.4090 | 53.8189 | 51.3741 | 49.0653 | 20.5% | 16.4% | 59.1161 |
| Total | | | | | 3,92,137.26 | | | | | | | | | |

CERTIFICATION
Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE: 15-Jan-24
Note: 1. * NAV should reflect the published NAV on the reporting date
2. NAV should be upto 4 decimals
@ 2 funds viz. Unit Linked Wealth Builder Fund and Unit Linked Liquid fund are closed ended funds and are left with no policyholders.

Chief Financial Officer

FORM L-29- Detail regarding debt securities

Unit Linked

Rs. In Lacs

| Detail Regarding debt securities | | | | | | | | |
|--|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 31st December 2023 | as % of total for this class | As at 31st December 2022 | as % of total for this class | As at 31st December 2023 | as % of total for this class | As at 31st December 2022 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 1,22,959 | 91.5% | 1,30,189 | 91.8% | 1,23,285 | 89.3% | 1,30,648 | 89.9% |
| AA or better | 4,666 | 3.5% | 10,796 | 7.6% | 4,751 | 3.4% | 10,758 | 7.4% |
| Rated below AA but above A | 5,912 | 4.4% | 0 | 0.0% | 5,974 | 4.3% | 0 | 0.0% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 886 | 0.7% | 886 | 0.6% | 4,000 | 2.9% | 4,000 | 2.8% |
| | 1,34,422 | 100.0% | 1,41,871 | 100.0% | 1,38,010 | 100.0% | 1,45,407 | 100.0% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 31,382 | 23.3% | 38,710 | 27.3% | 31,382 | 22.7% | 38,709 | 26.6% |
| more than 1 year and upto 3years | 30,436 | 22.6% | 502 | 0.4% | 33,968 | 24.6% | 501 | 0.3% |
| More than 3years and up to 7years | 20,232 | 15.1% | 52,891 | 37.3% | 20,342 | 14.7% | 56,533 | 38.9% |
| More than 7 years and up to 10 years | 27,785 | 20.7% | 20,099 | 14.2% | 27,768 | 20.1% | 20,085 | 13.8% |
| More than 10 years and up to 15 years | 13,650 | 10.2% | 21,859 | 15.4% | 13,630 | 9.9% | 21,869 | 15.0% |
| More than 15 years and up to 20 years | 480 | 0.4% | 108 | 0.1% | 456 | 0.3% | 112 | 0.1% |
| Above 20 years | 10,457 | 7.8% | 7,703 | 5.4% | 10,463 | 7.6% | 7,598 | 5.2% |
| | 1,34,422 | 100.0% | 1,41,871 | 100.0% | 1,38,010 | 100.0% | 1,45,407 | 100.0% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 71,720 | 53.4% | 65,824 | 46.4% | 71,657 | 51.9% | 65,780 | 45.2% |
| State Government | 16,121 | 12.0% | 30,884 | 21.8% | 16,439 | 11.9% | 31,223 | 21.5% |
| Corporate Securities | 46,582 | 34.7% | 45,164 | 31.8% | 49,915 | 36.2% | 48,403 | 33.3% |
| | 1,34,422 | 100.0% | 1,41,871 | 100.0% | 1,38,010 | 100.0% | 1,45,407 | 100.0% |

Non Unit Linked

Rs. In Lacs

| Detail Regarding debt securities | | | | | | | | |
|--|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 31st December 2023 | as % of total for this class | As at 31st December 2022 | as % of total for this class | As at 31st December 2023 | as % of total for this class | As at 31st December 2022 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 9,46,981 | 99.8% | 8,42,897 | 99.7% | 9,46,981 | 99.8% | 8,42,897 | 99.7% |
| AA or better | 310 | 0.0% | 327 | 0.0% | 310 | 0.0% | 327 | 0.0% |
| Rated below AA but above A | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 1,904 | 0.2% | 1,904 | 0.2% | 1,904 | 0.2% | 1,904 | 0.2% |
| | 9,49,195 | 100.0% | 8,45,129 | 100.0% | 9,49,195 | 100.0% | 8,45,129 | 100.0% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 30,503 | 3.2% | 27,356 | 3.2% | 30,503 | 3.2% | 27,356 | 3.2% |
| more than 1 year and upto 3years | 36,162 | 3.8% | 15,766 | 1.9% | 36,162 | 3.8% | 15,766 | 1.9% |
| More than 3years and up to 7years | 1,49,254 | 15.7% | 98,198 | 11.6% | 1,49,254 | 15.7% | 98,198 | 11.6% |
| More than 7 years and up to 10 years | 1,77,293 | 18.7% | 1,92,004 | 22.7% | 1,77,293 | 18.7% | 1,92,004 | 22.7% |
| More than 10 years and up to 15 years | 2,75,641 | 29.0% | 2,72,468 | 32.2% | 2,75,641 | 29.0% | 2,72,468 | 32.2% |
| More than 15 years and up to 20 years | 1,81,870 | 19.2% | 1,49,445 | 17.7% | 1,81,870 | 19.2% | 1,49,445 | 17.7% |
| Above 20 years | 98,472 | 10.4% | 89,892 | 10.6% | 98,472 | 10.4% | 89,892 | 10.6% |
| | 9,49,195 | 100.0% | 8,45,129 | 100.0% | 9,49,195 | 100.0% | 8,45,129 | 100.0% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 5,41,343 | 57.0% | 5,31,288 | 62.9% | 5,41,343 | 57.0% | 5,31,288 | 62.9% |
| State Government | 2,26,170 | 23.8% | 1,63,614 | 19.4% | 2,26,170 | 23.8% | 1,63,614 | 19.4% |
| Corporate Securities | 1,81,683 | 19.1% | 1,50,226 | 17.8% | 1,81,683 | 19.1% | 1,50,226 | 17.8% |
| | 9,49,195 | 100.0% | 8,45,129 | 100.0% | 9,49,195 | 100.0% | 8,45,129 | 100.0% |

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

TREPS has been classified as Corporate Securities and AAA rated instrument.

AAA rated includes all Sovereign rated instruments#

Non-ULIP includes Shareholder fund.

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

FORM L-30 : Related Party Transactions

PART-A Related Party Transactions

(Rs. In lacs)

| Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Q3'23-24 | Upto the quarter ended December 31, 2023 | Q3'22-23 | Upto the quarter ended December 31, 2022 |
|--|--|---|----------|--|----------|--|
| Aviva International Holdings Limited, UK | Control | NA | - | - | - | - |
| Dabur Invest Corp. (Partnership Firm) | Significant Influence | NA | - | - | - | - |
| Aviva International Insurance Limited | Significant Influence | NA | - | - | - | - |
| Singapore Life Limited (Earlier known as Aviva Limited, Singapore) | Significant Influence | NA | - | - | - | - |
| Aviva Investors Global Services Limited, UK | Significant Influence | NA | - | - | - | - |
| Aviva Investors Asia Pte. Limited | Significant Influence | NA | - | - | - | - |
| Aviva Life Services UK Limited | Significant Influence | NA | - | - | - | - |
| Aviva Group Holdings Limited | Significant Influence | NA | - | - | - | - |
| Aviva PLC | Significant Influence | NA | - | - | - | - |
| Sesame Group India Pvt Ltd | Significant Influence | NA | - | - | - | - |
| Experian Credit Information Company of India Private Limited | Entity in which relative of director is a director | NA | - | - | - | - |
| Key Managerial Personnel | Key Managerial Personnel | Remuneration | (98.20) | (541.17) | (88.05) | (684.65) |
| AVIVA Central Services UK limited | Significant Influence | Recharge for the cost towards various projects. | 1,776.47 | 4,670.11 | 317.17 | 317.17 |
| Aviva Asia Management Pte Ltd | Significant Influence | Payable towards recharge of executive search fees | (112.95) | (112.95) | - | - |

PART-B Related Party Transaction Balances - As at the end of the Quarter ended December 31, 2023

(Rs. In lacs)

| Name of the Related Party | Nature of Relationship with the Company | Amount of Outstanding Balances including Commitments | Whether Payable / Receivable | Whether Secured? If so, Nature of consideration to be provided at the time of settlement | Details of any Guarantees given or received | Balance under Provision for doubtful debts relating to the outstanding balance receivable | Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party |
|-----------------------------------|---|--|------------------------------|--|---|---|--|
| AVIVA Central Services UK limited | Significant Influence | 595.53 | Receivable | NA | NA | NA | NA |

FORM L-31 LNL - 6 : Board of Directors & Key Person

| BOD and Key Person information | | | October - December 2023 |
|--------------------------------|---------------------------|--|--|
| Sl. No. | Name of person | Role/designation | Details of change in the period |
| 1 | Sumithasri EV | Chairwoman, Non - Executive Independent Director | NA |
| 2 | Lee Patrick Callaghan | Non - Executive Director | NA |
| 3 | Mohit Burman | Non - Executive Director | NA |
| 4 | Pritam Das Narang | Non - Executive Director | NA |
| 5 | Suresh Mahalingam | Non - Executive Independent Director | NA |
| 6 | Randy Lianggara | Non - Executive Director | NA |
| 7 | Andrew Maitland Dinwiddie | Non - Executive Director | NA |
| 8 | Amit Agarwal | Non - Executive Independent Director | NA |
| 9 | Asit Rath | Chief Executive Officer and Managing Director | NA |
| 10 | Joydev Sengupta | Head- Legal & Compliance | NA |
| 11 | Akhilesh Gupta | Chief Investment officer | NA |
| 12 | Kunal Anand | Chief Operations and Customer Services Officer | NA |
| 13 | Vinit Kapahi | Head- Marketing | NA |
| 14 | Vishnu Kumar | Chief Distribution Officer | NA |
| 15 | Sonali Athalye | Chief Financial Officer | NA |
| 16 | Ajai Kumar Tripathi | Appointed Actuary | NA |
| 17 | Anaahat Singh | Head- People Function | NA |
| 19 | Gyanendra Singh | Chief Technology Officer | NA |
| 20 | Gaurav Banka | Chief Risk Officer | Joined the Organization on 01st December 2023. |
| 21 | Komal Jolly | Company Secretary | NA |

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

Note:

- a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance
 b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

FORM L-32- Solvency Margin - KT 3

Available Solvency Margin And Solvency Ratio - Total Business

(Rs. In lacs)

| Item | Description | Notes No | Adjusted Value | |
|------|--|----------|----------------------------|----------------------------|
| | | | As At December 31, 2023 | As At December 31, 2022 |
| 01 | Available Assets in Policyholders' Fund: | 1 | 13,00,365 | 11,68,253 |
| | Deduct: | | | |
| 02 | Mathematical Reserves | 2 | 12,72,104 | 11,43,289 |
| 03 | Other Liabilities | 3 | 22,159 | 18,844 |
| 04 | Excess in Policyholders' funds | | 6,102 | 6,120 |
| 05 | Available Assets in Shareholders Fund: | 4 | 67,736 | 56,924 |
| | Deduct: | | | |
| 06 | Other Liabilities of shareholders' fund | 3 | 59 | 167 |
| 07 | Excess in Shareholders' funds | | 67,677 | 56,756 |
| 08 | Total ASM (04)+(07) | | 73,779 | 62,876 |
| 09 | Total RSM | | 39,228 | 33,809 |
| 10 | Solvency Ratio (ASM/RSM) | | 1.88 | 1.86 |

Certification:

I, Ajai Kumar Tripathi, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurugram
Date: 05-Feb-24

Sd/-
Ajai Kumar Tripathi
Appointed Actuary

Notes

- 1) Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/c;
- 2) Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- 3) Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- 4) Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : December 31, 2023

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

| NO | PARTICULARS | (Rs. In lacs) | | | | | | | | | | | |
|----|---|---------------------|----------------------------------|---------------------|----------------------------------|------------------------|----------------------------------|---------------------|----------------------------------|---------------------|----------------------------------|--|--|
| | | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | | | |
| | | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | | |
| 1 | Investment Assets (As per Form 5) | 1,69,570.02 | 1,49,346.94 | - | - | 8,461.98 | 25,315.18 | 7,45,172.89 | 6,84,486.22 | 9,23,204.89 | 8,59,148.34 | | |
| 2 | Gross NPA | 1,904.27 | 2,731.64 | - | - | - | - | - | - | 1,904.27 | 2,731.64 | | |
| 3 | % of Gross NPA on Investment Assets (2/1) | 1.12% | 1.83% | - | - | - | - | - | - | 0.21% | 0.32% | | |
| 4 | Provision Made on NPA | 1,483.47 | 2,310.84 | - | - | - | - | - | - | 1,483.47 | 2,310.84 | | |
| 5 | Provision as a % on NPA (4/2) | 77.90% | 84.60% | - | - | - | - | - | - | 77.90% | 84.60% | | |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | 0.00 | - | 0.00 | | |
| 7 | Net Investment Assets (1-4) | 1,67,190.75 | 1,46,967.67 | - | - | 8,461.98 | 25,315.18 | 7,45,172.89 | 6,84,486.22 | 9,20,825.62 | 8,56,769.07 | | |
| 8 | Net NPA (2-4) | 420.79 | 420.79 | - | - | - | - | - | - | 420.79 | 420.79 | | |
| 9 | % of Net NPA to Net Investment Assets (8/7) | 0.25% | 0.29% | - | - | - | - | - | - | 0.05% | 0.05% | | |
| 10 | Write off made during the period | 827.37 | - | - | - | - | - | - | - | 827.37 | - | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board
*Bonds/Debentures include the Bonds issued by Food Corporation of India having a Category Code of CGSL.
 ** The unrecovered amount of Rs 8.27 cr on DHFL bonds, post NCLT approved resolution, has been written off as bad debt in Nov'23.*

 Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122
 Statement As on : December 31, 2023
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund - Pension General Annuity Fund

(Rs. In lacs)

| NO | PARTICULARS | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|----|---|---------------------|----------------------------------|---------------------|----------------------------------|------------------------|----------------------------------|---------------------|----------------------------------|---------------------|----------------------------------|
| | | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) |
| 1 | Investment Assets (As per Form 5) | 4,226.29 | 4,348.41 | - | - | 228.60 | 141.15 | 23,554.82 | 23,096.33 | 28,009.71 | 27,585.89 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision Made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % on NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 4,226.29 | 4,348.41 | - | - | 228.60 | 141.15 | 23,554.82 | 23,096.33 | 28,009.71 | 27,585.89 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and UUP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

 Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : December 31, 2023

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -ULIP Fund

| NO | PARTICULARS | (Rs. In lacs) | | | | | | | | | | | |
|----|---|---------------------|----------------------------------|---------------------|----------------------------------|------------------------|----------------------------------|---------------------|----------------------------------|---------------------|----------------------------------|--|--|
| | | Bonds/Debtentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | | | |
| | | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | YTD (As on Date) | Prev. FY (As on MAR 31, 2023) | | |
| 1 | Investment Assets (As per Form 5) | 28,917.59 | 17,592.60 | - | - | 17,663.99 | 24,892.26 | 3,45,555.68 | 3,05,021.99 | 3,92,137.26 | 3,47,506.86 | | |
| 2 | Gross NPA | 4,000.00 | 5,586.80 | - | - | - | - | - | - | 4,000.00 | 5,586.80 | | |
| 3 | % of Gross NPA on Investment Assets (2/1) | 13.83% | 31.76% | - | - | - | - | - | - | 1.02% | 1.61% | | |
| 4 | Provision Made on NPA | 3,114.12 | 4,700.92 | - | - | - | - | - | - | 3,114.12 | 4,700.92 | | |
| 5 | Provision as a % on NPA (4/2) | 77.85% | 84.14% | - | - | - | - | - | - | 77.85% | 84.14% | | |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - | | |
| 7 | Net Investment Assets (1-4)** | 28,917.59 | 17,592.60 | - | - | 17,663.99 | 24,892.26 | 3,45,555.68 | 3,05,021.99 | 3,92,137.26 | 3,47,506.86 | | |
| 8 | Net NPA (2-4) | 885.88 | 885.88 | - | - | - | - | - | - | 885.88 | 885.88 | | |
| 9 | % of Net NPA to Net Investment Assets (8/7) | 3.06% | 5.04% | - | - | - | - | - | - | 0.23% | 0.25% | | |
| 10 | Write off made during the period | 1,586.80 | - | - | - | - | - | - | - | 1,586.80 | - | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the board

* The provisions for NPA disclosed above (Rs 31.14 cr) are already netted off in the carrying value of ULIP assets of Rs. 3921.37 cr.

** The unrecovered amount of Rs 15.87 cr on DHFL bonds, post NCLT approved resolution, has been written off as bad debt in Nov'23.

Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
 (Read with Regulation 10)
 Statement as on: December 31, 2023
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Name of the Fund - LIFE FUND

(Rs. In lacs)

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ¹ | | | | | | |
|----------|--|---------------|-------------------------------|----------------------------|-----------------|----------------------------|-------------------------------|----------------------------|-----------------|----------------------------|---|----------------------------|-----------------|----------------------------|--|--|--|
| | | | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | | | |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 5,04,484.44 | 10,299.67 | 2.0% | 2.0% | 4,97,319.16 | 29,865.22 | 6.0% | 6.0% | 4,96,657.75 | 30,163.98 | 6.1% | 6.1% | | | |
| | Treasury Bills | CTRB | 10,058.54 | 172.58 | 1.7% | 1.7% | 12,936.13 | 674.17 | 5.2% | 5.2% | 9,412.91 | 380.95 | 4.0% | 4.0% | | | |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 2,15,822.56 | 3,842.07 | 1.8% | 1.8% | 2,05,045.31 | 10,907.04 | 5.3% | 5.3% | 1,43,557.27 | 7,394.64 | 5.2% | 5.2% | | | |
| | Central Government Guaranteed Loans/Bonds | CGSL | 804.42 | 17.44 | 2.2% | 2.2% | 804.67 | 52.13 | 6.5% | 6.5% | 805.69 | 52.28 | 6.5% | 6.5% | | | |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 2,317.17 | 40.34 | 1.7% | 1.7% | 2,316.85 | 121.02 | 5.2% | 5.2% | 2,315.57 | 121.02 | 5.2% | 5.2% | | | |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 11,276.75 | 224.40 | 2.0% | 2.0% | 11,286.77 | 673.94 | 6.0% | 6.0% | 11,590.89 | 683.49 | 5.9% | 5.9% | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | 8,539.47 | 143.06 | 1.7% | 1.7% | 8,564.73 | 427.63 | 5.0% | 5.0% | 8,665.53 | 429.01 | 5.0% | 5.0% | | | |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 0.66 | 0.02 | 3.2% | 3.2% | 0.66 | 0.08 | 12.8% | 12.8% | 0.60 | 0.08 | 12.8% | 12.8% | | | |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 1.18 | - | 0.0% | 0.0% | 3.08 | 4.86 | 157.9% | 157.9% | 5.43 | 0.47 | 8.7% | 8.7% | | | |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 1,18,788.24 | 2,245.25 | 1.9% | 1.9% | 1,18,159.94 | 7,089.48 | 6.0% | 6.0% | 99,030.69 | 5,624.76 | 5.7% | 5.7% | | | |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 500.89 | 8.63 | 1.7% | 1.7% | 585.01 | 30.32 | 5.2% | 5.2% | 1,503.94 | 79.77 | 5.3% | 5.3% | | | |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 22,389.25 | 423.14 | 1.9% | 1.9% | 19,623.01 | 1,109.98 | 5.7% | 5.7% | 3,051.96 | 167.05 | 5.5% | 5.5% | | | |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 3.60 | 0.03 | 0.01 | 0.01 | 3.59 | 0.43 | 0.12 | 0.12 | 3.06 | 0.18 | 0.06 | 0.06 | | | |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 110.29 | 0.90 | 0.8% | 0.8% | 108.84 | 11.97 | 11.0% | 11.0% | 83.35 | 9.17 | 11.0% | 11.0% | | | |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI | ECCB | - | 22.85 | 0.0% | 0.0% | - | 22.85 | 0.0% | 0.0% | 360.72 | 52.76 | 14.6% | 14.6% | | | |
| | Deposits - CDs with Scheduled Banks | EDCD | 2,077.88 | 36.71 | 1.8% | 1.8% | 2,137.09 | 114.53 | 5.4% | 5.4% | 592.95 | 23.89 | 4.0% | 4.0% | | | |
| | Deposits - Repo / Reverse Repo | ECMR | 3,983.52 | 67.45 | 1.7% | 1.7% | 4,905.60 | 240.37 | 4.9% | 4.9% | 4,877.32 | 175.31 | 3.6% | 3.6% | | | |
| | Corporate Securities - Debentures | ECOS | 2,373.76 | 46.94 | 2.0% | 2.0% | 794.13 | 46.94 | 5.9% | 5.9% | 143.87 | 3.45 | 2.4% | 2.4% | | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Reclassified Approved Investments - Debt | ORAD | 1,904.27 | - | 0.0% | 0.0% | 1,904.27 | - | 0.0% | 0.0% | 1,904.27 | (54.28) | -2.9% | -2.9% | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 1,903.80 | 0.11 | 0.0% | 0.0% | 1,447.48 | 0.19 | 0.0% | 0.0% | 13.94 | 1.72 | 12.3% | 12.3% | | | |
| | TOTAL | | 9,07,340.67 | 17,591.61 | 1.9% | 1.9% | 8,87,946.32 | 51,393.17 | 5.8% | 5.8% | 7,84,577.70 | 45,309.69 | 5.8% | 5.8% | | | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
 YTD Income on investment excludes the Income on Investment of Unclaimed Policyholders Fund

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
 (Read with Regulation 10)
 Statement as on: December 31, 2023
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Name of the Fund - Pension General Annuity Fund

(Rs. In lacs)

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ¹ | | | | | |
|----------|---|---------------|-------------------------------|----------------------------|-----------------|----------------------------|-------------------------------|----------------------------|-----------------|----------------------------|---|----------------------------|-----------------|----------------------------|---|---|
| | | | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ³ | | |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 21,022.78 | 403.42 | 1.9% | 1.9% | 21,075.41 | 1,212.39 | 5.8% | 5.8% | 21,572.82 | 1,300.29 | 6.0% | 6.0% | | |
| | Treasury Bills | CTRB | 445.46 | 7.55 | 1.7% | 1.7% | 524.90 | 26.90 | 5.1% | 5.1% | 117.41 | 4.19 | 3.6% | 3.6% | | |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 1,861.82 | 32.51 | 1.7% | 1.7% | 1,662.75 | 86.75 | 5.2% | 5.2% | 1,844.24 | 85.12 | 4.6% | 4.6% | | |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 130.00 | 2.31 | 1.8% | 1.8% | 130.00 | 6.90 | 5.3% | 5.3% | 130.00 | 6.91 | 5.3% | 5.3% | | |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 3,167.56 | 70.78 | 2.2% | 2.2% | 3,328.34 | 263.88 | 7.9% | 7.9% | 4,216.07 | 279.28 | 6.6% | 6.6% | | |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 928.50 | 16.96 | 1.8% | 1.8% | 733.12 | 39.51 | 5.4% | 5.4% | - | - | - | - | | |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | | | |
| | Deposits - Repo / Reverse Repo | ECMR | 134.12 | 2.28 | 1.7% | 1.7% | 131.62 | 6.49 | 4.9% | 4.9% | 141.10 | 5.08 | 3.6% | 3.6% | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | |
| | TOTAL | | 27,690.24 | 535.81 | 1.9% | 1.9% | 27,586.15 | 1,642.83 | 6.0% | 6.0% | 28,021.64 | 1,680.86 | 6.0% | 6.0% | | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
 (Read with Regulation 10)
 Statement as on: December 31, 2023
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Name of the Fund - ULIP Fund

(Rs. In lacs)

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ¹ | | | | | | |
|----------|--|---------------|-------------------------------|----------------------------|-----------------|---------------|-------------------------------|----------------------------|-----------------|---------------|---|----------------------------|-----------------|----------------------------|---|---|---|
| | | | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) | Investment (Rs.) ² | Income on Investment (Rs.) | Gross Yield (%) | Net Yield (%) ² | | | |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 48,299.61 | 1,014.67 | 2.1% | 2.1% | 49,975.72 | 2,691.32 | 5.4% | 5.4% | 45,487.89 | 1,322.10 | 2.0% | 2.0% | | | |
| | Treasury Bills | CTRB | 14,104.54 | 239.22 | 1.7% | 1.7% | 15,009.46 | 776.85 | 5.2% | 5.2% | 14,794.50 | 545.44 | 3.7% | 3.7% | | | |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 30,073.89 | 395.69 | 1.3% | 1.3% | 28,789.56 | 1,777.94 | 6.2% | 6.2% | 16,362.12 | 642.43 | 3.9% | 3.9% | | | |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 561.81 | 8.07 | 1.4% | 1.4% | 574.72 | 31.31 | 5.4% | 5.4% | 6,696.95 | 83.89 | 1.3% | 1.3% | | | |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | | | | |
| | TAXABLE BONDS | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 11,768.93 | 2,926.25 | 24.9% | 24.9% | 9,479.32 | 5,988.86 | 63.2% | 63.2% | 5,266.56 | 459.92 | 8.7% | 8.7% | | | |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 17,361.88 | 2,532.98 | 14.6% | 14.6% | 14,702.31 | 6,604.29 | 44.9% | 44.9% | 11,764.84 | 1,626.72 | 13.8% | 13.8% | | | |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 10,751.64 | 183.01 | 1.7% | 1.7% | 6,570.99 | 324.41 | 4.9% | 4.9% | 14,405.69 | 637.23 | 4.4% | 4.4% | | | |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 2,508.12 | 42.07 | 1.7% | 1.7% | 2,520.16 | 137.26 | 0.0% | 0.0% | 41.463 | 21.00 | | | | | |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 4,106.15 | 74.71 | 1.8% | 1.8% | 4,137.31 | 230.89 | 5.6% | 5.6% | 4,224.52 | 54.13 | 1.3% | 1.3% | | | |
| | OTHER INVESTMENTS | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Infrastructure - Equity (including unlisted) | IOEQ | - | - | - | - | - | - | 0.0% | 0.0% | 50.24 | (6.91) | -13.8% | -13.8% | | | |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAQ | 22,644.38 | 4,306.77 | 19.0% | 19.0% | 17,870.25 | 7,768.77 | 43.5% | 43.5% | 12,585.59 | 2,081.32 | 16.5% | 16.5% | | | |
| | Corporate Securities - Equity shares (Ordinary) - Quoted | EACE | 1,56,283.01 | 14,710.99 | 9.4% | 9.4% | 1,55,933.19 | 31,847.13 | 20.4% | 20.4% | 1,48,001.05 | 4,480.88 | 3.0% | 3.0% | | | |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCLI, RBI | ECDB | - | 0.19 | - | - | - | 0.19 | 0.0% | 0.0% | - | (0.16) | | | | | |
| | Deposits - CDs with Scheduled Banks | EDCD | 9,058.71 | 155.20 | 1.7% | 1.7% | 11,973.71 | 636.79 | 5.3% | 5.3% | 2,566.71 | 114.50 | 4.5% | 4.5% | | | |
| | Equity Exchange Traded Fund | EETF | 245.86 | (17.97) | -7.3% | -7.3% | 828.44 | 112.56 | 13.6% | 13.6% | 1,525.85 | (370.01) | -24.2% | -24.2% | | | |
| | Commercial Papers | ECCP | - | - | - | - | - | - | - | - | 1,797.33 | 57.63 | 3.2% | 3.2% | | | |
| | Deposits - Repo / Reverse Repo | ECMR | 10,887.37 | 184.87 | 1.7% | 1.7% | 12,641.07 | 623.43 | 4.9% | 4.9% | 15,603.44 | 583.95 | 3.7% | 3.7% | | | |
| | Corporate Securities - Debentures | ECOS | - | - | - | - | - | - | - | - | 32.84 | 1.81 | 5.5% | 5.5% | | | |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | 697.89 | - | - | - | 697.89 | - | - | - | 2,728.31 | - | - | - | | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 6,925.47 | 1,241.99 | 17.9% | 17.9% | 7,484.28 | 3,982.99 | 53.2% | 53.2% | 13,959.28 | 1,551.93 | 11.1% | 11.1% | | | |
| | Reclassified Approved Investments - Debt | ORAD | 6,793.58 | 51.06 | 0.8% | 0.8% | 6,866.14 | 288.58 | 4.2% | 4.2% | 961.41 | (114.12) | -11.9% | -11.9% | | | |
| | Equity Exchange Traded Fund | OETF | 18,305.65 | 1,316.32 | 7.2% | 7.2% | 19,018.89 | 3,598.62 | 18.9% | 18.9% | 21,773.18 | 3,715.58 | 17.1% | 17.1% | | | |
| | TOTAL | | 3,71,378.49 | 29,366.08 | 7.9% | 7.9% | 3,65,073.40 | 67,422.18 | 18.5% | 18.5% | 3,61,202.92 | 17,489.27 | 4.8% | 4.8% | | | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-35 - DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
 Statement as on: December 31, 2023
 Statement of Downgraded Investment
 Periodicity of Submission: Quarterly

Name of Fund - LIFE FUND

PART - A

(Rs. In lacs)

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|-----------|---|------|----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | 9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5) | ORAD | 1,904.27 | 28-Sep-16 | CARE | AAA | D | 23-Sep-19 | |

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

 Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
 Statement as on: December 31, 2023
 Statement of Downgraded Investment
 Periodicity of Submission: Quarterly

Name of Fund - Pension General Annuity Fund

PART - A

(Rs. In lacs)

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|-----------|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | During the Quarter ¹ | | | | | | | | |
| | | | | | | | | | |
| | | NIL | | | | | | | |
| B. | As on Date ² | | | | | | | | |
| | | | | | | | | | |
| | | NIL | | | | | | | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

 Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
 Statement as on: December 31, 2023
 Statement of Downgraded Investment
 Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

(Rs. In lacs)

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|-----------|---|------|----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | During the Quarter ¹ | | | | | | | | |
| | 8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8)* | ORAD | 5,912.34 | 28-Mar-18 | CRISIL | AAA | AA- | 11-Oct-23 | |
| B. | As on Date ² | | | | | | | | |
| | 8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8) | ORAD | 5,912.34 | 28-Mar-18 | CRISIL | AAA | AA- | 11-Oct-23 | |
| | 9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5) | ORAD | 885.88 | 9-Sep-16 | CARE | AAA | D | 23-Sep-19 | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-Jan-24

 Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
 - 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
 - 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
 - 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- * The security was downgraded basis rating downgrade of Indiabulls Housing Finance by CARE

FORM L-36-Premium and number of lives covered by policy type (Rs. In lacs)

| S.No. | Particulars | Q3'23-24 | | | | Q3'22-23 | | | | Upto the quarter ended December 31, 2023 | | | | Upto the quarter ended December 31, 2022 | | | |
|----------|------------------------|----------|-----------------|--------------|----------------------------------|----------|-----------------|--------------|----------------------------------|--|-----------------|--------------|----------------------------------|--|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 2 | Renewal Premium | | | | | | | | | | | | | | | | |
| | i Individual | | | | | | | | | | | | | | | | |
| | From 0-10000 | 1,310 | 17,234 | 15,993 | 6,82,342 | 1,285 | 17,216 | 16,956 | 6,97,359 | 3,560 | 59,421 | 55,277 | 22,04,013 | 3,543 | 60,324 | 59,638 | 22,27,489 |
| | From 10,000-25,000 | 2,817 | 13,771 | 12,610 | 4,20,283 | 3,007 | 14,695 | 14,395 | 4,29,460 | 8,022 | 52,237 | 48,918 | 14,72,126 | 8,514 | 55,833 | 55,029 | 14,94,861 |
| | From 25001-50,000 | 4,284 | 9,033 | 7,851 | 1,59,665 | 4,251 | 8,817 | 8,557 | 1,56,101 | 11,869 | 32,736 | 29,779 | 5,52,132 | 12,003 | 33,385 | 32,763 | 5,57,005 |
| | From 50,001-75,000 | 1,514 | 1,954 | 1,595 | 42,237 | 1,473 | 1,870 | 1,808 | 39,646 | 4,212 | 7,948 | 7,005 | 1,56,940 | 4,216 | 8,035 | 7,825 | 1,58,900 |
| | From 75,000-100,000 | 3,608 | 3,539 | 2,914 | 69,845 | 3,323 | 3,199 | 3,187 | 64,559 | 9,280 | 10,041 | 8,704 | 2,09,018 | 9,127 | 9,780 | 9,724 | 2,05,031 |
| | From 1,00,001-1,25,000 | 822 | 669 | 502 | 18,017 | 742 | 528 | 502 | 15,781 | 2,362 | 2,518 | 2,106 | 68,045 | 2,257 | 2,333 | 2,272 | 66,148 |
| | Above Rs. 1,25,000 | 7,318 | 2,380 | 1,720 | 1,26,571 | 6,555 | 2,166 | 2,145 | 1,13,952 | 19,913 | 7,233 | 5,688 | 4,13,899 | 19,675 | 7,066 | 6,994 | 4,11,486 |
| | ii Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | 2 | 263 | 13 | - | 2 | 175 | 9 | - | 12 | 11,150 | 547 | - | 13 | 30,238 | 1,283 |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | 1 | 1,940 | 360 | - | - | - | - | - | 1 | 1,940 | 360 |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | 1 | 191 | 440 |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | 432 | 12 | 9,997 | 2,33,462 |
| | iv Group- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note:
 1. Premium stands for premium amount.
 2. No. of lives means no. of lives insured under the policies.
 3. Premium collected for Annuity will be disclosed separately as stated above.

FORM L-37- Business Acquisition Through Different Channels (Group)

(Rs. In lacs)

| Business Acquisition through different channels (Group) | | | | | | | | | | | | | |
|---|---------------------------|----------------|----------------------|--------------|----------------|----------------------|--------------|--|----------------------|--------------|--|----------------------|--------------|
| Sl. No. | Channels | Q3'23-24 | | | Q3'22-23 | | | Upto the quarter ended December 31, 2023 | | | Upto the quarter ended December 31, 2022 | | |
| | | No. of Schemes | No. of Lives Covered | Premium | No. of Schemes | No. of Lives Covered | Premium | No. of Schemes | No. of Lives Covered | Premium | No. of Schemes | No. of Lives Covered | Premium |
| 1 | Individual agents | - | - | - | - | - | - | - | - | - | - | 170 | 9 |
| 2 | Corporate Agents-Banks | - | 595 | 85 | 2 | 7,773 | 73 | 1 | 1,902 | 302 | 8 | 48,248 | 386 |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Brokers | 78 | 1,93,021 | 1,084 | 67 | 1,55,654 | 1,335 | 201 | 6,65,066 | 4,742 | 85 | 2,00,461 | 1,814 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | - | 8,019 | 1,180 | 7 | 5,831 | 833 | 20 | 78,426 | 3,795 | 35 | 78,718 | 4,294 |
| 7 | IMF | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Others (Please Specify) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Total(A) | 78 | 2,01,635 | 2,350 | 76 | 1,69,258 | 2,241 | 222 | 7,45,394 | 8,839 | 128 | 3,27,597 | 6,503 |
| 1 | Referral Arrangements (B) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | 78 | 2,01,635 | 2,350 | 76 | 1,69,258 | 2,241 | 222 | 7,45,394 | 8,839 | 128 | 3,27,597 | 6,503 |

FORM L-38 - Business Acquisition through different channels (Individuals)

(Rs. In lacs)

| S.No. | Channels | Business Acquisition through different channels (Individuals) | | | | | | | |
|-------|------------------------------------|---|--------------|-----------------|--------------|--|---------------|--|---------------|
| | | Q3'23-24 | | Q3'22-23 | | Upto the quarter ended December 31, 2023 | | Upto the quarter ended December 31, 2022 | |
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 384 | 1,022 | 839 | 822 | 1,414 | 3,363 | 1,952 | 2,232 |
| 2 | Corporate Agents-Banks | 4,056 | 1,593 | 4,771 | 2,225 | 12,573 | 4,981 | 11,369 | 5,169 |
| 3 | Corporate Agents -Others | 23 | 37 | 120 | 94 | 103 | 123 | 442 | 354 |
| 4 | Brokers | 13 | 79 | 236 | 274 | 163 | 523 | 524 | 614 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - |
| 6 | Direct Business | | | | | | | | |
| | - Online (Through Company Website) | 2 | 1 | 4 | 7 | 3 | 2 | 14 | 61 |
| | - Others | 1,268 | 1,860 | 1,239 | 1,482 | 4,530 | 5,765 | 3,133 | 3,826 |
| 7 | IMF | 334 | 534 | 93 | 258 | 467 | 903 | 261 | 623 |
| 8 | Common Service Centres | - | - | - | - | - | - | - | - |
| 9 | Web Aggregators | - | - | - | - | - | - | - | - |
| 10 | Point of Sales | 145 | 27 | - | - | 573 | 97 | - | - |
| 11 | Others (Please Specify) | - | - | - | - | - | - | - | - |
| | Total (A) | 6,225 | 5,154 | 7,302 | 5,162 | 19,826 | 15,756 | 17,695 | 12,880 |
| 1 | Referral Arrangements (B) | - | 0 | - | - | - | 3 | - | - |
| | Grand Total (A+B) | 6,225 | 5,154 | 7,302 | 5,162 | 19,826 | 15,760 | 17,695 | 12,880 |

FORM L-39 - Data on Settlement of Claims for the quarter ended December 31, 2023

Date: December 31, 2023

Individual

| Ageing of Claims* | | | | | | | | | |
|-------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. in lacs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 1,243 | 19 | 7 | 6 | - | - | 1,275 | 4,065 |
| 2 | Survival Benefit | 1,810 | 770 | 1 | - | - | - | 2,581 | 976 |
| 3 | Annuities / Pension | 261 | 121 | 89 | 203 | 1,069 | 272 | 2,015 | 86 |
| 4 | Surrender | - | 1,845 | - | - | - | - | 1,845 | 8,354 |
| 5 | Other benefits | 8 | 1,111 | 8 | 1 | - | - | 1,128 | 2,969 |
| | Death Claims | | 186 | | | | | 186 | 2,836 |

Group

| Ageing of Claims* | | | | | | | | | |
|-------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. in lacs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | | - | | | | | - | - |
| 2 | Survival Benefit | | | | | | | - | |
| 3 | Annuities / Pension | | | | | | | - | |
| 4 | Surrender | | 3 | | | | | 3 | 16 |
| 5 | Other benefits | | 819 | | | | | 819 | 2,559 |
| | Death Claims | | 471 | | | | | 471 | 1,182 |

FORM L-39-Data on Settlement of Claims for the period ended December 31, 2023

Date: December 31, 2023

Individual

| Ageing of Claims* | | | | | | | | | |
|-------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. in lacs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 2,598 | 1,308 | 45 | 36 | - | - | 3,987 | 12,478 |
| 2 | Survival Benefit | 6,010 | 1,931 | 1 | - | - | - | 7,942 | 3,446 |
| 3 | Annuities / Pension | 2,114 | 1,279 | 269 | 434 | 1,296 | 614 | 6,006 | 279 |
| 4 | Surrender | - | 6,884 | 1 | - | - | - | 6,885 | 30,112 |
| 5 | Other benefits | 26 | 3,388 | 16 | 5 | 3 | 5 | 3,443 | 9,315 |
| | Death Claims | | 550 | | | | | 550 | 7,496 |

Group

| Ageing of Claims* | | | | | | | | | |
|-------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. in lacs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | | 1 | | | | | 1 | 0 |
| 2 | Survival Benefit | | | | | | | - | |
| 3 | Annuities / Pension | | | | | | | - | |
| 4 | Surrender | | 16 | | | | | 16 | 233 |
| 5 | Other benefits | | 2,135 | | | | | 2,135 | 5,044 |
| | Death Claims | | 1,258 | | | | | 1,258 | 2,931 |

FORM L-40 - Quarterly Claims data for Life for the quarter ended December 31, 2023

Date: December 31, 2023

Death Claims

No. of claims only

| Sl. No. | Claims Experience | Individual | Group |
|---------|--|------------|-------|
| 1 | Claims O/S at the beginning of the period | 18 | 41 |
| 2 | Claims Intimated / Booked during the period | 185 | 493 |
| (a) | Less than 3 years from the date of acceptance of risk | 38 | 486 |
| (b) | Greater than 3 years from the date of acceptance of risk | 147 | 7 |
| 3 | Claims Paid during the period | 186 | 471 |
| 4 | Claims Repudiated during the period | 2 | 4 |
| 5 | Claims Rejected | | |
| 6 | Unclaimed | | |
| 7 | Claims O/S at End of the period | 15 | 59 |
| | Outstanding Claims:- | | |
| | Less than 3 months | - | - |
| | 3 months and less than 6 months | | |
| | 6 months and less than 1 year | | |
| | 1 year and above | | |

Individual

No. of claims only

| Sl. No. | Claims Experience | Maturity | Survival Benefit1 | Annuities/ Pension | Surrender* | Other Benefits** |
|---------|---|----------|-------------------|-----------------------|------------|------------------|
| 1 | Claims O/S at the beginning of the period | 51 | 9 | 7,274 | 16 | 84 |
| 2 | Claims Booked during the period | 1,315 | 2,575 | 2,367 | 1,873 | 1,147 |
| 3 | Claims Paid during the period | 1,275 | 2,581 | 2,015 | 1,845 | 1,128 |
| 4 | Unclaimed | 10 | - | - | - | |
| 5 | Claims O/S at End of the period | 81 | 3 | 7,626 | 42 | 100 |
| | Outstanding Claims (Individual) | | | | | |
| | Less than 3 months | 61 | 2 | 606 | 42 | 100 |
| | 3 months and less than 6 months | 17 | 1 | 670 | | |
| | 6 months and less than 1 year | 4 | - | 1,226 | | |
| | 1 year and above | - | - | 5,124 | | |

*2 cases in the surrender category were reversed from the opening balance as customer opted to continue

** 3 case in the Partial Withdrawal category were reversed from the opening balance.

FORM L-40-Quarterly Claims data for Life for the period ended December 31, 2023

Date: December 31, 2023

Death Claims

No. of claims only

| Sl. No. | Claims Experience | Individual | Group |
|---------|--|------------|-------|
| 1 | Claims O/S at the beginning of the period | - | - |
| 2 | Claims Intimated / Booked during the period | 570 | 1,325 |
| (a) | Less than 3 years from the date of acceptance of risk | 95 | 1,287 |
| (b) | Greater than 3 years from the date of acceptance of risk | 475 | 38 |
| 3 | Claims Paid during the period | 550 | 1,258 |
| 4 | Claims Repudiated during the period | 5 | 8 |
| 5 | Claims Rejected | | |
| 6 | Unclaimed | | |
| 7 | Claims O/S at End of the period | 15 | 59 |
| | Outstanding Claims:- | | |
| | Less than 3 months | - | - |
| | 3 months and less than 6 months | | |
| | 6 months and less than 1 year | | |
| | 1 year and above | | |

Individual

No. of claims only

| Sl. No. | Claims Experience | Maturity | Survival Benefit1 | Annuities/ Pension | Surrender* | Other Benefits** |
|---------|---|----------|-------------------|-----------------------|------------|------------------|
| 1 | Claims O/S at the beginning of the period | 114 | - | 6,654 | 42 | 109 |
| 2 | Claims Booked during the period | 4,020 | 7,945 | 7,067 | 6,888 | 3,456 |
| 3 | Claims Paid during the period | 3,987 | 7,942 | 6,095 | 6,885 | 3,451 |
| 4 | Unclaimed | 66 | - | | | 5 |
| 5 | Claims O/S at End of the period | 81 | 3 | 7,626 | 42 | 100 |
| | Outstanding Claims (Individual) | | | | | |
| | Less than 3 months | 61 | 2 | 606 | 42 | 100 |
| | 3 months and less than 6 months | 17 | 1 | 670 | | |
| | 6 months and less than 1 year | 4 | - | 1,226 | | |
| | 1 year and above | - | - | 5,124 | | |

*3 cases in the surrender category were reversed from the opening balance as customer opted to continue

** 9 case in the Partial Withdrawal category were reversed from the opening balance.

| GRIEVANCE DISPOSAL | | | | | | | | |
|--------------------|-------------------------------------|-----------------|--|---|------------------|------------|--|--|
| SI No. | Particulars | Opening Balance | Additions during the quarter (net of duplicate complaints) | Complaints Resolved/ Settled during the quarter | | | Complaints Pending at the end of the quarter | Total Complaints registered upto the quarter during the financial year |
| | | | | Fully Accepted | Partial Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| a) | Death Claims | 0 | 2 | 2 | 0 | 0 | 0 | 6 |
| b) | Policy Servicing | 1 | 12 | 4 | 3 | 6 | 0 | 45 |
| c) | Proposal Processing | 0 | 4 | 3 | 1 | 0 | 0 | 11 |
| d) | Survival Claims | 0 | 9 | 3 | 3 | 3 | 0 | 38 |
| e) | ULIP Related | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| f) | Unfair Business Practices | 10 | 171 | 21 | 14 | 134 | 12 | 521 |
| g) | Others | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | Total Number | 11 | 198 | 33 | 21 | 143 | 12 | 623 |

| | | |
|---|---|--------|
| 2 | Total No. of policies during previous year: | 17,695 |
| 3 | Total No. of claims during previous year: | 602 |
| 4 | Total No. of policies during current year: | 19,826 |
| 5 | Total No. of claims during current year: | 570 |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year): | 15 |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current year): | 105 |

| 8 | Duration wise Pending Status | Complaints made by customers | | Complaints made by Intermediaries | | Total | Percentage to Pending complaints |
|----|-----------------------------------|------------------------------|----------------------------------|-----------------------------------|----------------------------------|-----------|----------------------------------|
| | | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints |
| a) | Up to 15 days | 12 | 100% | 0 | NA | 12 | 100% |
| b) | 15 - 30 days | 0 | 0% | 0 | NA | 0 | 0% |
| c) | 30 - 90 days | 0 | 0% | 0 | NA | 0 | 0% |
| d) | 90 days & Beyond | 0 | 0% | 0 | NA | 0 | 0% |
| | Total Number of Complaints | 12 | 100% | 0 | NA | 12 | 100% |

| Range (Minimum to Maximum) of parameters used for valuation | | | | | | | | | | | | | | | | | | |
|---|---------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------------------------|--------------------------------|----|
| Type | Category of business | Interest Rate | | Mortality Rate | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates (Assumption) | | |
| | | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.30% To 6.10% | 5.30% To 6.10% | 115.0% | 115.0% | NA | NA | 1159.00 | 1104.00 | 1.01% | 1.01% | 5% | 5% | 1.6% To 27.1% | 1.6% To 27.1% | 2.31% To 4.75% | 2.31% To 4.75% | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | 5.30% To 6.10% | 5.30% To 6.10% | 115.0% | 115.0% | NA | NA | 1159.00 | 1104.00 | 1.01% | 1.01% | 5% | 5% | 0.0% | 1.6% To 31.7% | 2.45% To 3.50% | 2.45% To 3.50% | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.10% To 5.95% | 5.10% To 5.95% | 51.0% To 115.0% | 51.0% To 115.0% | NA | NA | 1217.00 | 1159.00 | 0.00% To 1.07% | 0.00% To 1.07% | 5% | 5% | 4.5% To 68.6% | 8.5% To 68.6% | 6% | 6% | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | 5.10% To 5.95% | 5.10% To 5.95% | 51.0% To 115.0% | 51.0% To 115.0% | NA | NA | 1217.00 | 1159.00 | 1.07% | 1.07% | 5% | 5% | 3.9% To 65.5% | 7.4% To 65.5% | 6% | 6% | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | |
| | | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| Health | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Non-Linked -Others | | | | | | | | | | | | | | | | | | |
| Life | | 5.25% To 6.05% | 5.10% To 5.95% | 25.3% To 259.0% | 22.0% To 259.0% | NA | NA | 59.00 To 1159.00 | 56.00 To 1104.00 | 0.00% To 2.59% | 0.00% To 1.73% | 5% | 5% | 0.0% To 35.0% | 0.5% To 35.0% | | | |
| General Annuity | | 5.70% To 6.45% | 5.10% To 5.95% | 46.0% To 63.0% | 64.0% | NA | NA | 1159.00 | 1104.00 | 0.00% | 0.00% | 5% | 5% | 0.0% | 0.0% | | | |
| Pension | | 5.25% To 6.10% | 5.10% To 5.95% | 51.0% To 115.0% | 51.0% To 115.0% | NA | NA | 1159.00 | 1104.00 | 0.00% To 1.01% | 0.00% To 1.01% | 5% | 5% | 0.0% To 14.0% | 3.5% To 26.5% | | | |
| Health | | 5.25% To 6.05% | 5.10% To 5.95% | 51.0% To 85.0% | 63.8% To 115.0% | NA | NA | 869.00 | 828.00 | 0.76% To 1.73% | 0.76% To 1.73% | 5% | 5% | 2.4% To 17.5% | 2.8% To 21.0% | | | |
| Linked -VIP | | | | | | | | | | | | | | | | | | |
| Life | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| General Annuity | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Pension | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Health | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Linked -Others | | | | | | | | | | | | | | | | | | |
| Life | | 5.10% To 5.95% | 5.10% To 5.95% | 64.0% To 138.0% | 64.0% To 138.0% | NA | NA | 730.00 To 1217.00 | 696.00 To 1159.00 | 0.00% To 1.73% | 0.00% To 1.73% | 5% | 5% | 3.3% To 68.6% | 2.6% To 38.1% | | | |
| General Annuity | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Pension | | 5.10% To 5.95% | 5.10% To 5.95% | 64.0% To 138.0% | 64.0% To 138.0% | NA | NA | 1217.00 | 1159.00 | 0.00% To 1.07% | 0.00% To 1.07% | 5% | 5% | 7.4% To 65.5% | 4.0% To 35.3% | | | |
| Health | | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |

NOT APPLICABLE

1 Individual and Group Business are to be reported separately
 2 Fixed per policy expenses
 3 Premium related expenses
 4 Restricted to Lapse and Surrender
 In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

- Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system
- Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- Economic Assumptions
No changes made in economic assumptions since last valuation i.e. Mar'23
- Expense Assumptions
No changes made in expense assumptions since last valuation except that the fixed expenses have been increased by 5% w.e.f. 1st Jan'23.
- Mortality Assumptions
Experience analysis was done basis Dec'22 data for performing the statutory valuation as at Mar'23 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Dec'23.
- Withdrawal Assumptions
Experience analysis was done basis Dec'22 data for performing the statutory valuation as at Mar'23 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Dec'23.

Form L-42- Valuation Basis (Life Insurance) - Group

| Range (Minimum to Maximum) of parameters used for valuation | | | | | | | | | | | | | | | | | | |
|---|---------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------------------------|--------------------------------|--|
| Type | Category of business | Interest Rate | | Mortality Rate | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates (Assumption) | | |
| | | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | As at 31-Dec for the year 2023 | As at 31-Dec for the year 2022 | |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.25% To 6.05% | 5.10% To 5.95% | 36.8% To 716.6% | 36.2% To 226.0% | NA | NA | 0 To 152 | 0 To 590 | 0.00% To 0.3% | 0.00% To 0.3% | 5% | 5% | 0% To 3.5% | 0% To 10.5% | | | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |

NOT APPLICABLE

1 Individual and Group Business are to be reported separately
 2 Fixed per policy expenses
 3 Premium related expenses
 4 Restricted to Lapse and Surrender
 In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.
 1 Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system
 2 Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- (1) Economic Assumptions
No changes made in economic assumptions since last valuation i.e. Mar'23
- (2) Expense Assumptions
No changes made in expense assumptions since last valuation except that the fixed expenses have been increased by 5% w.e.f. 1st Jan'23.
- (3) Mortality Assumptions
No changes made in mortality assumptions since last valuation.
- (4) Withdrawal Assumptions
No changes made in withdrawal assumptions since last valuation.

*The above mentioned assumptions and details correspond to long term group products. For One Year Renewable Group Term Assurance business, reserves are maintained on UPR basis in excel models.

| Meeting Date | Investee Company Name | Type of Meeting | Description of the Proposal | Vote (For/Against/Abstain/Not Voted) | Reason supporting the vote decision | Investee Companies' Management Recommendation | Proposal by Management or Shareholder |
|--------------|---------------------------------------|-------------------------------|---|--------------------------------------|--|---|---------------------------------------|
| 03-Oct-2023 | IndusInd Bank Limited | Postal Ballot | Re-appointment of Mr. Sanjay Asher (DIN: 00008221) as the Non-Executive Independent Director of the Bank for second term of four consecutive years, from October 10, 2023 up to October 9, 2027 (both days inclusive) shall not be liable to retire by rotation. | Against | Mr. Sanjay Asher serves on the boards of seven listed companies (including IndusInd Bank limited). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. His responsibilities as Senior Partner - Crawford Bayley & Co., a law firm are equivalent to a whole-time directorship. Therefore, his high number of directorships on listed companies are not in keeping with the spirit of the regulation. | For | Management |
| 03-Oct-2023 | IndusInd Bank Limited | Postal Ballot | Re-appointment of Mrs. Bhavna Doshi (DIN: 00400508) as the Non-Executive Independent Director of the Bank for second term of four consecutive years, from January 14, 2024 up to January 13, 2028 (both days inclusive) shall not be liable to retire by rotation. | Against | Ms. Bhavna Doshi serves on the boards of five listed companies (including IndusInd Bank Limited). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. Her responsibilities as the Founding Partner of Bhavna Doshi & Associates LLP, an independent consultant, provides advisory services in the fields of taxation, accounting, corporate and regulatory matters are equivalent to a whole-time directorship. Therefore, her high number of directorships on listed companies are not in keeping with the spirit of the regulation. | For | Management |
| 12-Oct-2023 | 9.00% Reliance Capital NCD 09.09.2026 | Meeting of the CoC | Creation of additional corpus fund account amounting to INR 3 crores (total being increased from INR 12 crore to INR 15 crore) ["the CoC Fund"]. The CoC Fund funded amounts will bear an interest of 25 percent per annum from the date of funding and shall rank higher in priority to any payments to financial creditors pursuant to the resolution plan | For | No concern with the proposed recommendation | For | Management |
| 26-Oct-2023 | Axis Bank Limited | Postal Ballot | Appointment of Mini Ipe (DIN: 07791184) as the Non-Executive (Nominee) Director of the Bank with effect from July 29, 2023, liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 26-Oct-2023 | Reliance Industries Limited | Postal Ballot | Appointment of Ms. Isha M. Ambani (DIN: 06984175) as a Non-Executive Director of the Company, liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 26-Oct-2023 | Reliance Industries Limited | Postal Ballot | Appointment of Shri Akash M. Ambani (DIN: 06984194) as a Non-Executive Director of the Company, liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 26-Oct-2023 | Reliance Industries Limited | Postal Ballot | Appointment of Shri Anant M. Ambani (DIN: 07945702) as a Non-Executive Director of the Company, liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 30-Oct-2023 | Kotak Mahindra Bank Limited | Postal Ballot | Appointment of Mr. Dipak Gupta (DIN: 00004771) as the Managing Director & CEO of the Bank for the period commencing from September 2, 2023 until the earlier of December 31, 2023 or the time period as may be approved by the RBI for such appointment and payment of remuneration to him. | For | No concern with the proposed recommendation | For | Management |
| 31-Oct-2023 | Bajaj Finance Limited | Extraordinary General Meeting | To issue and allot up to 15,50,000 Warrants convertible into equivalent number of Equity Shares of the Company, in one or more tranches, at any time within eighteen months from the date of allotment of the Warrants under the SEBI ICDR Regulations for cash to Bajaj Finserv Limited, promoter and holding company of the Company (Proposed Allottee) on a preferential issue basis at a price, as determined by the Board in accordance with the pricing guidelines prescribed under Regulation 164 of the SEBI ICDR Regulations at an aggregate consideration not exceeding Rs.1,200 Crore. | For | No concern with the proposed recommendation | For | Management |
| 31-Oct-2023 | Bajaj Finance Limited | Extraordinary General Meeting | To create, offer, issue and allot (including with provisions for reservations on firm and/or competitive basis, or such part of issue and for such categories of persons as may be permitted) such number of fully paid-up Equity Shares to Qualified Institutional Buyers as defined in the SEBI ICDR Regulations, (QIBs) through a Qualified Institutions Placement (QIP) pursuant to and in accordance with the provisions of Chapter VI and other applicable provisions of the SEBI ICDR Regulations, whether or not such QIBs are Members of the Company on the basis of the preliminary placement document, placement document and/or other permissible/requisite offer documents to QIBs in accordance with Chapter VI of the SEBI ICDR Regulations, at such time or times in one or more tranche or tranches, for cash, at such price or prices as the Board may deem fit, including discount of up to 5% on the floor price calculated as per Regulation 176 of the SEBI ICDR Regulations, such that the total amount to be raised through the issue of Equity Shares shall not exceed Rs.8,800 Crore. | For | No concern with the proposed recommendation | For | Management |
| 31-Oct-2023 | Bajaj Finance Limited | Extraordinary General Meeting | Alteration of the Object Clause of the Memorandum of Association of the Company. | For | No concern with the proposed recommendation | For | Management |

| Meeting Date | Investee Company Name | Type of Meeting | Description of the Proposal | Vote (For/Against/Abstain/Not Voted) | Reason supporting the vote decision | Investee Companies' Management Recommendation | Proposal by Management or Shareholder |
|--------------|------------------------------------|-----------------|---|--------------------------------------|---|---|---------------------------------------|
| 05-Nov-2023 | Eicher Motors Limited | Postal Ballot | Appointment of Mr. Subramanian Madhavan (DIN: 06451889) as an Independent Director of the Company, not liable to retire by rotation, to hold office for a term of 5 consecutive years with effect from September 29, 2023 upto September 28, 2028. | For | No concern with the proposed recommendation | For | Management |
| 05-Nov-2023 | Eicher Motors Limited | Postal Ballot | Appointment of Mr. Tejpreet S. Chopra (DIN: 00317683) as an Independent Director of the Company, not liable to retire by rotation, to hold office for a term of 5 consecutive years with effect from September 29, 2023 upto September 28, 2028. | Against | Tejpreet Chopra serves on the boards of five listed companies. Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies (including Eicher Motors). As co-founder & CEO of BLP, his responsibilities are equivalent to a whole-time directorship. Therefore, his high number of directorships on listed companies are not in keeping with the spirit of the regulation. | For | Management |
| 05-Nov-2023 | Eicher Motors Limited | Postal Ballot | Re-appointment of Mr. Inder Mohan Singh (DIN: 07114750) as an Independent Director of the Company, not liable to retire by rotation, to hold office for a second term of 5 consecutive years with effect from November 12, 2023 upto November 11, 2028. | Against | There is a conflict of interest since Eicher Motors has paid professional fees of Rs. 6.6mn in FY23 to Shardul Amarchand Mangaldas & Co. Hence the Equity Partner - Mr. Inder Mohan Singh cannot be reappointed as an Independent Director in true sense. | For | Management |
| 16-Nov-2023 | Maruti Suzuki India Limited | Postal Ballot | To approve Related Party Transaction. | For | No concern with the proposed recommendation | For | Management |
| 16-Nov-2023 | Maruti Suzuki India Limited | Postal Ballot | To create, offer, issue and allot on a preferential basis, 1,23,22,514 equity shares of the Company having face value of INR 5/- each, at a price of INR 10,420.85/- per equity share, which is not less than the floor price determined in accordance with Chapter V of the ICDR Regulations (Subscription Shares) for consideration other than cash towards payment of the total purchase consideration of INR 12,841.1 Crores, payable by the Company to Suzuki Motor Corporation (SMC) (Proposed Allottee), for acquisition of 12,84,11,07,500 equity shares of INR 10/- each, representing 100% paid-up equity capital of Suzuki Motor Gujarat Private Limited (SMG) owned by Proposed Allottee (Purchase Shares). | For | No concern with the proposed recommendation | For | Management |
| 29-Nov-2023 | SBI Life Insurance Company Limited | Postal Ballot | Appointment of Mr. Amit Jhingran (DIN: 10255903) as the Managing Director & Chief Executive Officer of the Company with effect from October 01, 2023 for a period of two years and including remuneration. | For | No concern with the proposed recommendation | For | Management |
| 01-Dec-2023 | Axis Bank Limited | Postal Ballot | Appointment of Munish Sharda (DIN: 06796060) as a Director and Whole-time Director (designated as Executive Director) of the Bank and payment of remuneration. | For | No concern with the proposed recommendation | For | Management |
| 01-Dec-2023 | Axis Bank Limited | Postal Ballot | Alteration of Articles of Association of the Bank. | For | No concern with the proposed recommendation | For | Management |
| 06-Dec-2023 | IndusInd Bank Limited | Postal Ballot | Amendments to Articles of Association of the Bank. | For | No concern with the proposed recommendation | For | Management |
| 07-Dec-2023 | Bajaj Finserv Limited | Postal Ballot | Re-appointment of Shri Anami N Roy (DIN: 01361110) as a Non-executive Independent Director, not liable to retire by rotation, to hold office for a second term of five consecutive years, i.e., from 1 January 2024 upto 31 December 2028. | For | No concern with the proposed recommendation | For | Management |
| 08-Dec-2023 | Nestle India Limited | Postal Ballot | Sub-division/ split of equity shares of the Company, such that 1 equity share having face value of Rs.10/- each, fully paid-up, be sub-divided into 10 equity shares having face value of Re.1/- each. | For | No concern with the proposed recommendation | For | Management |
| 12-Dec-2023 | Tata Motors Limited | Postal Ballot | Material Modification to the approved Related Party Transaction(s) of the Company with Tata Steel Limited (TSL), identified subsidiaries/affiliates of TSL and Poshs Metals Industries Private Limited (a third party) through dealers of TSL. | For | No concern with the proposed recommendation | For | Management |
| 22-Dec-2023 | Kotak Mahindra Bank Limited | Postal Ballot | Appointment of Mr. Ashok Vaswani (DIN: 10227550) as a Director and Managing Director & Chief Executive Officer (Managing Director & CEO) of the Bank, for a period of three years, with effect from January 1, 2024 and including remuneration. | For | No concern with the proposed recommendation | For | Management |
| 22-Dec-2023 | Kotak Mahindra Bank Limited | Postal Ballot | Approval of Kotak Mahindra Equity Option Scheme 2023 for eligible employees of the Bank. | For | No concern with the proposed recommendation | For | Management |
| 22-Dec-2023 | Kotak Mahindra Bank Limited | Postal Ballot | Approval of Kotak Mahindra Equity Option Scheme 2023 for eligible employees of the subsidiaries of the Bank. | For | No concern with the proposed recommendation | For | Management |
| 21-Dec-2023 | ITC Limited | Postal Ballot | Appointment of Mr. Rahul Jain (DIN: 07442202) as a Director of the Company, liable to retire by rotation, with effect from 1st January, 2024 for a period of three years or till such earlier date upon withdrawal by the recommending institution or to conform with the policy on retirement and as may be determined by the Board of Directors of the Company and / or by any applicable statutes, rules, regulations or guidelines. | For | No concern with the proposed recommendation | For | Management |

| Meeting Date | Investee Company Name | Type of Meeting | Description of the Proposal | Vote (For/Against/Abstain/Not Voted) | Reason supporting the vote decision | Investee Companies' Management Recommendation | Proposal by Management or Shareholder |
|--------------|---------------------------------------|--------------------|--|--------------------------------------|---|---|---------------------------------------|
| 22-Dec-2023 | Asian Paints Limited | Postal Ballot | Appointment of Mr. Soumitra Bhattacharya (DIN: 02783243) as an Independent Director of the Company, not liable to retire by rotation, to hold office for a period of five consecutive years from 26th October 2023 to 25th October 2028. | For | No concern with the proposed recommendation | For | Management |
| 28-Dec-2023 | Titan Company Limited | Postal Ballot | Appointment of Mr. Arun Roy, IAS (DIN: 01726117) as a Director of the Company liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 28-Dec-2023 | Titan Company Limited | Postal Ballot | Appointment of Mr. Sandeep Nanduri, IAS (DIN: 07511216) as a Director of the Company liable to retire by rotation. | For | No concern with the proposed recommendation | For | Management |
| 30-Dec-2023 | Oil & Natural Gas Corporation Limited | Postal Ballot | Approval of Related Party Transaction for providing Letter of Comfort (LOC) for raising debt by OPaL up to a value of Rs.5,400 crore. | For | No concern with the proposed recommendation | For | Management |
| 21-Dec-2023 | 9.00% Reliance Capital NCD 09.09.2026 | Meeting of the CoC | To approve the fees charged by Datasite Singapore Pte. Ltd as the Virtual Data Room service provider amounting to: a) Investor VDR : First 78GB - 18,720 USD, Additional GB - 240 USD, Special media (Video/audio/zip/rar) per MB :- 7.00USD b) CoC VDR: First 1 GB - 500 USD, Additional GB - 500 USD, Special media (Video/audio/zip/rar) per MB-10.00 USD.The above cost shall form part of the insolvency resolution process cost. | For | No concern with the proposed recommendation | For | Management |

FORM L-45 OFFICES AND OTHER INFORMATION

| Sl. No. | Information | Number |
|---------|---|-----------------------------------|
| 1 | No. of offices at the beginning of the quarter* | 59 |
| 2 | No. of branches approved during the quarter | - |
| 3 | No. of branches opened during the quarter | Out of approvals of previous year |
| 4 | | Out of approvals of this year |
| 5 | No. of branches closed during the quarter | - |
| 6 | No of branches at the end of the quarter | 59 |
| 7 | No. of branches approved but not opened | - |
| 8 | No. of rural branches | - |
| 9 | No. of urban branches | 59 |
| 10 | No. of Directors:- | 9 |
| | (a) Independent Director | 3 |
| | (b) Executive Director | 1 |
| | (c) Non-executive Director (including Independent Director and women directors) | 8 |
| | (d) Women Director | 1 |
| | (e) Whole time director | 1 |
| 11 | No. of Employees | |
| | (a) On-roll: | 1,825 |
| | (b) Off-roll: | 61 |
| | (c) Total | 1,886 |
| 12 | No. of Insurance Agents and Intermediaries | |
| | (a) Individual Agents | 3,005 |
| | (b) Corporate Agents-Banks | 5 |
| | (c) Corporate Agents-Others | 4 |
| | (d) Insurance Brokers | 148 |
| | (e) Web Aggregators | - |
| | (f) Insurance Marketing Firm | 24 |
| | (g) Micro Agents | - |
| | (h) Point of Sales persons (DIRECT) | 1,475 |
| | (i) Other as allowed by IRDAI (To be specified) | - |

* including head office.

Employees and Insurance Agents and Intermediaries -Movement

| Particulars | Employees | Insurance Agents and Intermediaries |
|--|-----------|-------------------------------------|
| Number at the beginning of the quarter | 1,836 | 4,614 |
| Recruitments during the quarter | 435 | 311 |
| Attrition during the quarter | 446 | 264 |
| Number at the end of the quarter | 1,825 | 4,661 |